HARDWARE SUPPORT FOR ADVANCED DATA MANAGEMENT SYSTEMS

Thesis by

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ABSTRACT

This thesis considers the problem of the optimal hardware architecture for advanced data management systems, of which the REL system can be considered a prototype. Exploration of the space of architectures requires a new technique which applies widely varying work loads, performance constraints, and heuristic configuration rules with an analytic queueing network model to develop cost functions which cover a representative range of organizational requirements. The model computes cost functions, which are the ultimate basis for comparison of architectures, from a technology forecast. The discussion shows the application of the modeling technique to thirty trial architectures which reflect the major classifications of data base machine architectures and memory technologies. The results suggest practical design considerations for advanced data management systems.

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CHAPTER 1 STATEMENT OF THE PROBLEM

1.1 BACKGROUND

The development of the computer in the twentieth century has brought about a second "industrial revolution" in the way mankind conducts its everyday affairs. Just as the steam engine freed man from back-breaking physical labor, the second industrial revolution of the computer age promises to free man from mind-dulling routine, freeing more human potential for creative thinking to advance both intellectual and economic activity.

The short history of the computer industry can be viewed as a struggle to fulfill the promise of the second industrial revolution by making the power of the computer accessible to an ever-larger fraction of the population. In order for this to happen, computer hardware has dramatically declined in cost while increasing in speed. Supporting software has grown more complex and more capable. At the same time, the languages by which humans make their intentions known to the machine have improved.

Each of these developments can be classified into "generations." The first generation of commercial computers is usually thought of as the years 1955 to 1958, characterized by vacuum tube computers. Transistorized computers launched the second generation, usually ascribed to the years 1959 to about 1966. With the third generation, integrated circuits became the dominant means for implementing computers.

As shown by Figure 1-1, the complexity of state-of-the-art integrated circuits has grown exponentially. (*) This growth in functionality on silicon drives the computer industry into a contemplated fourth generation of computer hardware.

(*) The first industrial revolution provided perhaps two or three orders of magnitude growth in the mechanical energy available to human beings over a period of one hundred years. It is sobering to contemplate the prospect of seven orders of magnitude growth in just thirty years from the silicon revolution.

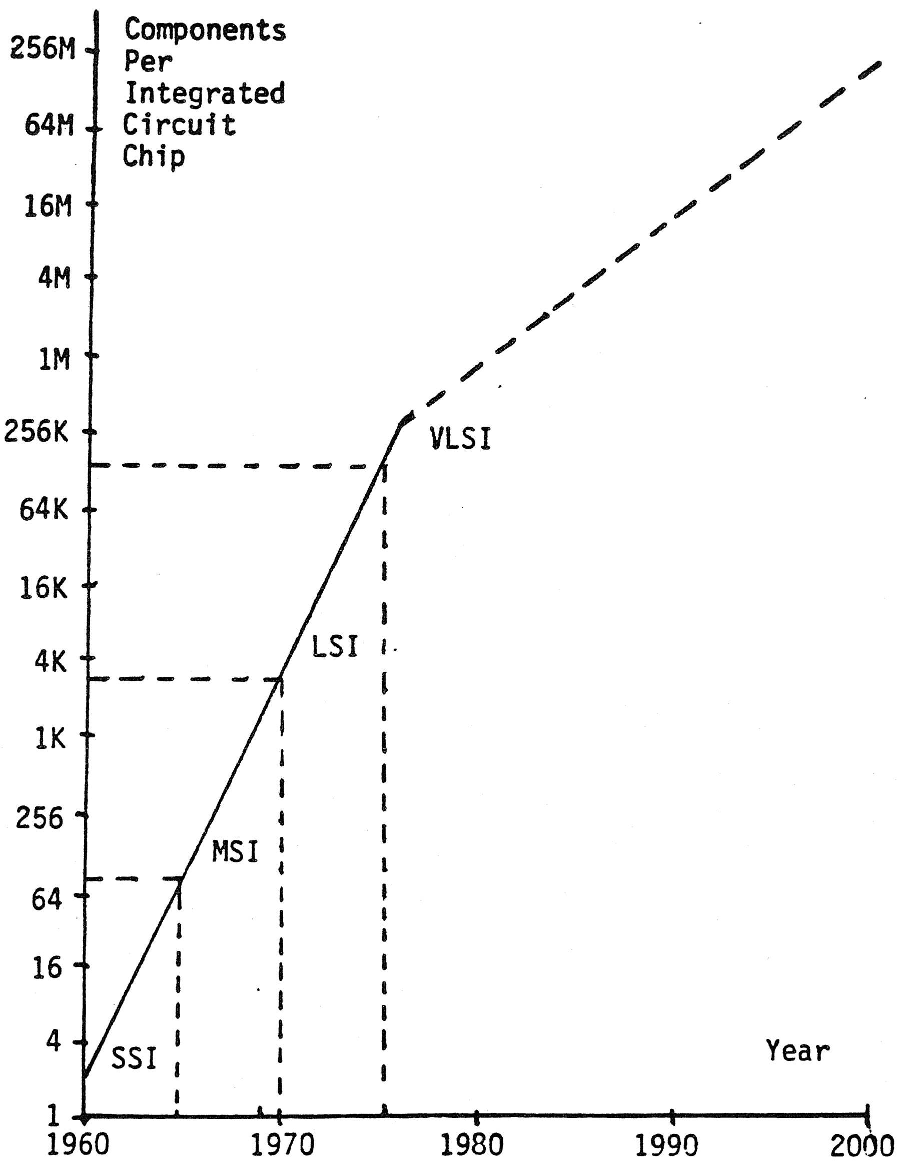

Figure 1-1. Moore's Law
Progress in Silicon Technology
(after [Noyce77])

The hardware revolution provides not only faster versions of existing computers and memories, but also the prospect of new kinds of devices and new fundamental problems in system design. Sutherland and Mead eloquently argue this point [Sutherland77], pointing out the rising relative cost of interconnection and sheer magnitude of the design task as the critical challenges facing hardware designers in the 1980's. At the same time, however, the opportunity to depart from conventional computer architecture lies inherent in the burgeoning technology.

As computer hardware has become more capable and less expensive, the software supporting operation of the computer has also grown apace. Figure 1-2 summarizes some of the historical trends in operating software as they affect the problem of management of data, which historically emerged early as the primary use of computers.

Figure 1-2. Trends in Data Management Systems

First Generation	Second Generation
Rudimentary i/o software, "hand crafted" for each job.	File storage software with "access methods."
Batch processing of sequential files on cards or magnetic tape.	Mostly batch processing with some on-line (disk) files.
Users given lengthy print-outs.	Users given "exception reports."
Data only as recent as last run (day, week, month) are available.	Data valid as of when the disk was loaded, usually start-of-day.
Managers requesting a new report often told they cannot have it.	Managers requesting a new report must wait for a program development cycle of weeks to months.
Once established, data base structures almost impossible to change; application program depends on nature of the physical storage device.	Operating system requests convert application program requests to hardware operations; some reformatting is possible.
First introduced in mid-1950's; example: any COBOL job shop.	First introduced in late-1950's; example; airline reservation systems.

Figure 1-2 (continued).

Trends in Data Management Systems

Third Generation	Fourth Generation
Data Base Management Systems (DBMS) software packages.	Advanced information systems.
Transaction-oriented on-line systems; both batch and realtime applications; simple on-line functions only.	System is totally interactive; almost all requests are made on-line.
Users given terminal inquiry for only certain pre-programmed functions.	Users can search the data base and generate unanticipated, tailored reports.
Data maintained in real time; periodic batch reorganization needed to maintain integrity.	Data maintained in real time.
Request for a new report takes days to months, depending on how well request fits existing data base structures.	Requests for new reports on existing information answered on the terminal with system response time of seconds.
Data base structures can be changed without recompiling applications programs; but structure change takes weeks to months to implement.	Data structures manipulated interactively.
Date from mid-1960's; examples include IMS, CODASYL, etc.	Evolution towards these systems culminating in the late 1980's.

The decreasing price of hardware and increasing capability of software combine to make computerized information management systems available to an increasing spectrum of the population which provide increasingly facile ways to assist human beings in planning, managing, and accounting for their activities. The state of the art has progressed greatly since the first generation of commercial computers. At present, systems can usually respond interactively to pre-programmed functions. Programs can be written under data base management systems without impacting the file requirements of other programs in the installation. Some capability exists for posing discretionary, unanticipated queries on-line, provided however that the data base is already properly organized to efficiently handle the request.

However, while systems have become extremely capable of managing rapid change in the <u>contents</u> of data bases, it is still difficult to modify the <u>structure</u> of a data base because control of data base structures resides in a central authority called the "data base administrator," who has only fairly awkward tools with which to manipulate the structure of the data base. In existing data base management systems, structural changes often require complete reorganization of the data base, thus retarding the ability of the organization which owns the system to make progress in its applications.

Structural change in a data base, however, cannot be avoided in a dynamically growing and changing organization [Thompson68]. The inability of present systems to adequately cope with this kind of change underlies the widely recognized problem of the high cost of application software maintenance which plagues the industry today [Share76].

Further, the lack of truly high-level languages hampers the ability of the non-programmer to interact in more than just a mechanistic way with a data base. While some systems offer "query languages" which can in many cases express a complex request in a few lines, the user of the system is forced to program a majority of functions in a conventional algorithmic language such as COBOL either for efficiency of use of resources or because the full capabilities of the system are not available through the query language.

The fourth generation of "advanced data management" systems takes shape against the background of rapidly changing hardware capability. What will characterize these advanced data management systems? Such systems will have a natural user interface, the ability to define problem-domain specific languages, and distribute the control of the data base to end-users.

The term "natural user interface" means a very high level language, which could approach natural language in expressiveness, but only for a limited domain of discourse [Thompson63]:

> The typical professional works in a narrow, highly technical context, a context in which many interlaced meanings have developed in the course of his own work. The clues that can be found in ordinary discourse are not sufficient to distinguish that highly specific context from all possible contexts. The idea that a single natural language processing system that will ingest all of the world's technical literature and then produce on demand the answer to a specific request may be an appealing one. But, at this time there are no useful insights [into] how context-delimiting algorithms would operate to meet the requirements of such a system. [Thompson75]

Within a limited domain, however, it will be possible to define problem-oriented, non-procedural, very high level languages which users can extend as required. A system of this sort will better fit the known tendency of human beings to express new knowledge and insight by moving from one recursive language to another [Randall70].

Problem-oriented languages employ constructs which fit the problem domain, as opposed to constructs which map onto the primitive operations of either the hardware or the operating system software. Under this definition, most contemporary computer languages are not problem oriented. To illustrate this distinction, consider a language for an inventory control application. In a problem-oriented language, stock items would be primitives of the language, whereas in a contemporary programming language, "stock item" would be expressed as a field in a record, for example.

Figure 1-3 amplifies on the notion of "high level" language as used in this context. By this standard, most existing programming languages are not high level, although some are quite far removed from the binary bits which comprise the machine program.

Figure 1-3. High- vs. Low- Level Languages

Attribute to Compare	High Level	Low Level
Length of the compiled code in terms of semantic primitives	short	long
Length of the execution path to interpret one rule of grammar	long - (routine)	short - (machine instr.)
Length of the statement the user must make to accomplish one unit of the user's work	short (sentence)	long (program)

The user must be able to extend the language. He should be able to introduce new vocabulary, and update both the intentional and extentional structure of the language. Extentional structure refers to data stored in the language version: the data themselves and any explicit relations among them. Intentional structure refers to concepts defined in terms of other vocabulary of the language (including intentional definitions) which in effect define new algorithms.

With these systems, the end-user can interact directly with the computer to satisfy most of his information needs. The role of the professional programmer will change from that of a necessary intervenor between the end-user and the computer system to that of an implementor of problem-specific languages which can solve the requirements of many end users. This role does not require the programmer to intervene in the end-user's job, which is currently a source of frustration not only to the end-user, but also to the programmer and the installation as well. [Share76] predicts that the evolution of the programmer into the "mid-user" of advanced systems will finally provide a satisfactory and professional environment to end-users, programmers, and installation managers.

1.2 THIS INVESTIGATION

Advanced data management systems will take shape in an era of great change in underlying hardware technology. The silicon revolution will provide the raw power to make these systems not only technologically possible, but also economically justifiable to ever-broader classes of users.

Advancing hardware technology introduces many new choices for the designers of these advanced data management systems. While existing types of memories and processors continue to improve in performance and decrease in cost, the silicon revolution brings new trade-offs in the forms of changed cost of interconnect and new types of devices. Many workers have proposed forming these elements into novel architectures for "data base machines."

What will the impact of these hardware developments be on the design of computer systems to support advanced data management? How will the new types of memory fit in, if at all? Do the parallel and associative processing schemes widely discussed in the literature offer an economic advantage as well as a performance advantage? If the intelligence of such a machine is to be distributed among many processing elements, how much intelligence should each unit possess?

None of the design trade-off decisions which affect these systems can be made in a vacuum: they strongly depend not only on the cost and performance of the hardware subsystems, but also on the pattern of use applied to them by the organization of people which will own the system. This work explores the interaction of the demands of a user organization and the architecture of an advanced data management hardware solution to elucidate some of these very high level design trade-offs.

The investigation considers the capabilities of the REL prototype system, as developed by Professors Frederick and Bozena Thompson and their students, as a fixed starting point which defines the capabilities of the class of advanced data management systems to be studied. The REL prototype exhibits many of the characteristics of fourth-generation data management systems discussed in the previous section: a natural user interface, (*) the ability to support many problem-domain-specific languages, (**) and distribution of control over data bases. (***)

The task of studying interactions of user organization demand on a variety of hardware architectures required a unique modeling system. The model described in Chapter Two builds upon the established technique of analytic queueing network modeling by applying both performance goal constraints and heuristic configuration grow procedures. The resulting model permits facile description of an architecture as a collection of hardware subsystems where the exact number and speed of each subsystem is parameterized. The hardware architecture is modeled in terms of

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(*) REL ENGLISH. See [Thompson78] for user's guide documentation.

(**) For example, the REL Animated Film Language [Thompson 74c]. Extensions to the capability for defining new languages are discussed by [Solovits73] and [Hess80].

(***) See [Yu80].

the highest level of system description, adapted from Bell and Newell's PMS language.

The described architecture can be subjected to a range of load conditions, simulating different sizes and kinds of user organizations. The model will find configurations or instances of the architecture which meet the performance constraints at minimum cost by applying the heuristic grow procedures, and report the cost of the resulting configuration.

Because the model deals in exact numbers and speeds of hardware subsystems, the cost functions are calculated with relatively good precision not only in the orders-of-magnitude, but also in the coefficients. In previous work on advanced data management architectures, costs have been treated only in terms of orders-of-magnitude, with a few numeric examples for specific operations. This work permits comparison of expected dollar costs for these architectures in the context of organizations which will be expected to buy these systems.

In order to develop the cost coefficients used by the model, it was necessary to make a forecast of the costs of the various hardware subsystems. This forecast drew heavily on the technology forecasting literature for the semiconductor industry, and is discussed in Chapter Three.

To explore some of the range of possibilities posed by the hardware arena, thirty trial architectures incorporating a range of new and existing types of hardware elements were modeled using the tools described above. Chapter Four describes the architectures and how each was modeled. In all of these cases, an identical set of loads was imposed, representing organizations ranging from 1 to 500 users with processing demands ranging from trivial to tremendous. Chapter Five discusses the trade-offs illuminated by this set of architecture case studies.

CHAPTER 2 THE MODEL

2.1 INTRODUCTION

2.1.1 Overview

How well can a given architecture satisfy the requirements of a community of users? The answer to this question requires information which spans several views of the requirements and capabilities of a configuration of logic and memory elements. The PMS-Level Queueing Network Model ("the model" for short) integrates these viewpoints in a coherent structure which provides results which in turn permit comparison of architectures in a meaningful way.

What are the relevant views of this problem arena?

At one extreme, consider the organization's view of the system. In this realm, the system is thought of as supporting various classes of users, each with a set of functions to perform. These functions are called "transactions" in this work. The manager or operations researcher must quantify such things as the response time objectives of the system, the number of users of each user type, rates of requests, etc., to characterize this view to the model. The organization view gives the load of work imposed on the system by its users.

At the other extreme, the <u>hardware</u> <u>view</u> of the system considers the subsystems (memories, microprocessors, disks, busses, etc.) which make up the physical configuration of the system. The hardware view is expressed in terms of the performance of the subsystems: the instruction rate of a processor or the access time of a disk, for example.

These views are reconciled by the <u>system</u> <u>analyst's</u> view. The system analyst view decomposes the transactions into a set of <u>flows</u> of activity. The flows are further decomposed until they can be represented by <u>steps.</u> A step is the use of the resources of one of the hardware subsystems (nodes) in order to accomplish part of the processing of a flow.

Finally, the model accounts for the <u>architect's</u> <u>view</u> of the configuration. Here, the term <u>architecture</u> refers to a configuration of hardware subsystems (microprocessors, disks, bubble memories, busses, etc.) together with the specification of the flows through the configuration. However, an architecture does not include the number or size of these various subsystems (e.g., the number of disks, the speed of the microprocessor, or the size of a certain type of memory). The terms <u>case</u> and configuration refer to a particular instance of an architecture where the numbers and sizes of all of the subsystems are specified. Thus an architecture can be considered to be a family of cases.

The architect is concerned with describing the family; how one specific configuration can grow to become another; what are the limitations on such growth; what is the cost of a configuration; and how does the architecture fit a particular user organization, or a range of such organizations?

2.1.2 Modeling Technique

The model views the configuration as a queueing network. The nodes of the network correspond to the hardware subsystems of the configuration. Transactions are modeled as flows of activity through the queueing network, stopping at specified nodes for service. Each node is modeled by simple analytic formulae; times for the flow at each node are accumulated by linear combination.

The theoretical validity of the analytic queueing network technique follows from Jackson's Theorem [Jackson57], which holds that in a queueing network of nodes with exponentially distributed service times, even with feedback from one node to another, the system behaves as if arrivals at each node were Poisson distributed (although they are not, in general). If p(k[1], k[2], ... , k[n]) denotes the probability of k[i] customers (*) waiting at node i, then Jackson showed that

p(k[1], k[2], ... , k[n]) =

p[1](k[1]) * p[2](k[2]) * ... * p[n](k[n]) where p[i](k[i]) is the solution for node i to the ordinary M/M/m queueing problem (*) (the probability of k[i] customers waiting, where there are m[i] servers, lambda[i] arrival rate, and mu[i] service rate (**)). Thus, Jackson's Theorem means that queues at each node can be calculated independently and combined linearly.

(*) In queueing theory, the term "customer" means any request waiting for service at a node.
(*) Queueing theory uses a short-hand notation of the form "a/b/c[/d[/e]]" form for describing the type of queueing situation. The first items, "a" and "b" refer to the distributions of request arrival times and service times respectively. "M" stands for "Markovian" or exponentially distributed when used for these parameters.

"c" refers to the number of servers, and is either a number (such as "1") or a variable (such as "m").

The last two fields of the notation are optional. "d," if present, is the maximum number of requests which can be in the queue. If absent, this field defaults to infinity.

"e," the final field, is the queueing discipline, which can take on values such as FIFO (First In First Out), LCFS (Last Come First Served), SJF (Shortest Job First), etc. If absent, FIFO discipline without priorities is assumed.

(**) These terms are used with standard meanings in queueing theory. See [Kleinrock75] or any general text on queueing theory for precise definitions.

C. G. Moore introduced analytic queueing network models to the computer systems analysis domain in his work on large-scale timesharing systems [Moore71]. This author has successfully applied queueing network models to analysis of a major computer/communications network consisting of over 60 computers; 4,000 communications lines; and 14,000 terminals [Neches76]. Both studies reported agreement between model predictions and measurements of actual performance of better than 10%.

Analytic queueing network models have several advantages over discrete event simulation models for predicting the behavior of a large and complex system. The analytic model is easier to write and debug, and is also much more efficient of computer run time. This permits its use in studies where many architectures must be described, and a large number of cases run on each.

In addition, the analytic model lends itself to extrapolating the behavior of a large number of similar resources from the behavior of a single resource. Such extrapolation saves both computer time and effort to describe the system to be modeled. In discrete event models, it is usually necessary to represent each resource to be modeled individually (at least at model execution time).

The compactness of description, aggregation of similar resources, and run-time efficiency of the analytic model made it possible to deal with the range of configurations and number of cases run against each configuration in this thesis. Discrete event simulation was abandoned early in this reseach [Neches78] primarily because each configuration would have required writing a new simulation program and secondarily because of the amount of computer time which would have been required to run a meaningful set of cases.

The analytic queueing network technique is based on the assumption that the nodes are independent, so that their response times can be combined linearly. An equivalent statement is that there exists infinite storage capacity in each node for incoming requests.

Experience with analytic queueing network models shows that the assumption of independence is usually not a significant source of error as long as no node in the network is close to overload. In a network which includes one or more overloaded nodes, the analytic model fails to account for the fact that congestion spreads from the finite queue of the overloaded node to its neighbors in the network. This spreading congestion adds to the workload of the neighboring nodes, perhaps causing them in turn to become overloaded. It is just this kind of dependent behavior that accounts for the extremely long response time delays encountered, for example, in a timesharing system undergoing "trashing" due to lack of a resource such as main memory. The analytic model will, however, pinpoint the node responsible for the situation [Gordon67].

Fortunately, the model as used in this research is guaranteed to keep all nodes in a given configuration from becoming overloaded, thus avoiding the problem of the accuracy of the simulation technique just discussed. The architectural view portion of the model does this by growing the capacity of any hardware subsystems (nodes) which become response-time critical.

More sophisticated analytic queueing network models have been devised which can handle non-exponential servers (*) [Muntz72a], provided that the output of each node in the configuration can be shown to be Poisson distributed [Muntz72b]. These models unfortunately lead to very complex solutions; in practice the computation time can be quite high, even with well optimized algorithms [Muntz74]. Thus given the desire to run large numbers of cases, the restriction to only exponential servers and the resulting loss of generality did not seem too severe.

(*) Servers with constant, hyper-exponentially distributed, or queue-length dependent service times are examples of non-exponential servers.

2.1.3 Implementation

The model is implemented as a PL/I program running under the MVS operating system on the IBM 3032 processor at Caltech. Appendix A gives a full source listing of the model, as used to run one of the architectures discussed in Chapter 4.

Each run of the model is accomplished by concatenation five source files and then compiling, linking and executing the resultant PL/I program. The code for the model is contained in three of the files: these three files were used to make every run discussed in this thesis.

The first file, PLIMOD4A, contains data structure declarations and initialization code for this model. It appears on pages A-2 through A-4 and is comprised of statements with line numbers of the form 1XXXX.

The second file, PLIMOD4B, contains bridge code and declarations for the CONFIG routine. The CONFIG routine runs one specific case, with all parameters instantiated, thus modeling one instance of an architecture. The code from this file appears on pages A-10 and A-11, comprising line numbers of the form 3XXXX.

The third file, PLIMOD4C, contains the majority of the code for the model. The routines in this file use the data structures declared in the first file and the calls from the configuration file to build an internal representation of the case to be modeled. Then the analytic queueing network model is applied by the routines in procedure PERFORM_CALCULATIONS. The results are then available to be queried from the architecture view file. A report writer routine is included to provide a detailed output of the results of a particular case. Code for this file appears on pages A-16 through A-35, with line numbers of the form 5XXXX.

The fourth file is called a "configuration" file and defines the architecture to be modeled. This file consists of three sections: the hardware view (node declarations), system analyst view (flow declarations) and user organization view (transaction declarations). In general, a new configuration file was written for each architecture studied. However, some of the architectures were so closely related that they used the same configuration file, relying on parameters to differentiate between CCD and bubble memory characteristics, for example. Code for this file appears on pages A-11 through A-15, with line numbers of the form 4XXXX.

The fifth file is called a "driver" file, and contains the code which implements the architect's view. It describes which nodes of the configuration are allowed to grow and how this growth is to take place. A new driver file was written for each architecture modeled. The driver file appears from page A-5 through A-9 in the example, and is comprised of statements with line number of the form 2XXXX.

The architecture view files and configuration files in effect provide a programming language for describing the simulations to be run. This language can be thought of as the capabilities of the model augmented by the expressive power of PL/I. Embedding specialized functions in a general purpose programming language can result in specialized language without the effort of writing a compiler. The construction of LAP on SIMULA67 provides another example of the use of this technique [Locanthi78].

An organization's view specified a load on an architecture. In running a case, if the average response time to users in the organization was above the specified minimum, the configuration grew and a new case was run. On the IBM 3032 at Caltech, a typical run of the model required 7 to 8 seconds of CPU time for compilation and linking. The model then required between 15 and 50 milliseconds of CPU time per case. A typical run of a given architecture required between 1,000 and 5,000 cases.

2.2 THE CONFIGURATION MODEL

The configuration model, or CONFIG procedure, is the heart of the simulation program. The CONFIG procedure consists of the configuration file supplied by the modeler and standard declarations and routines included in the overall program structure. Each time it is invoked, the CONFIG procedure defines one specific instance of the architecture to be modeled by instantiation of all hardware and user view parameters. The analytic queueing network model is executed against this configuration. Thus, the CONFIG procedure is the "inner loop" to the architecture view.

The configuration file consists of three sections, corresponding to three of the abstract views of the system (see Figure 2-1): Node definitions correspond to the hardware view; Flow definitions correspond to the system analyst view; and Transaction definitions correspond to the user organization view.

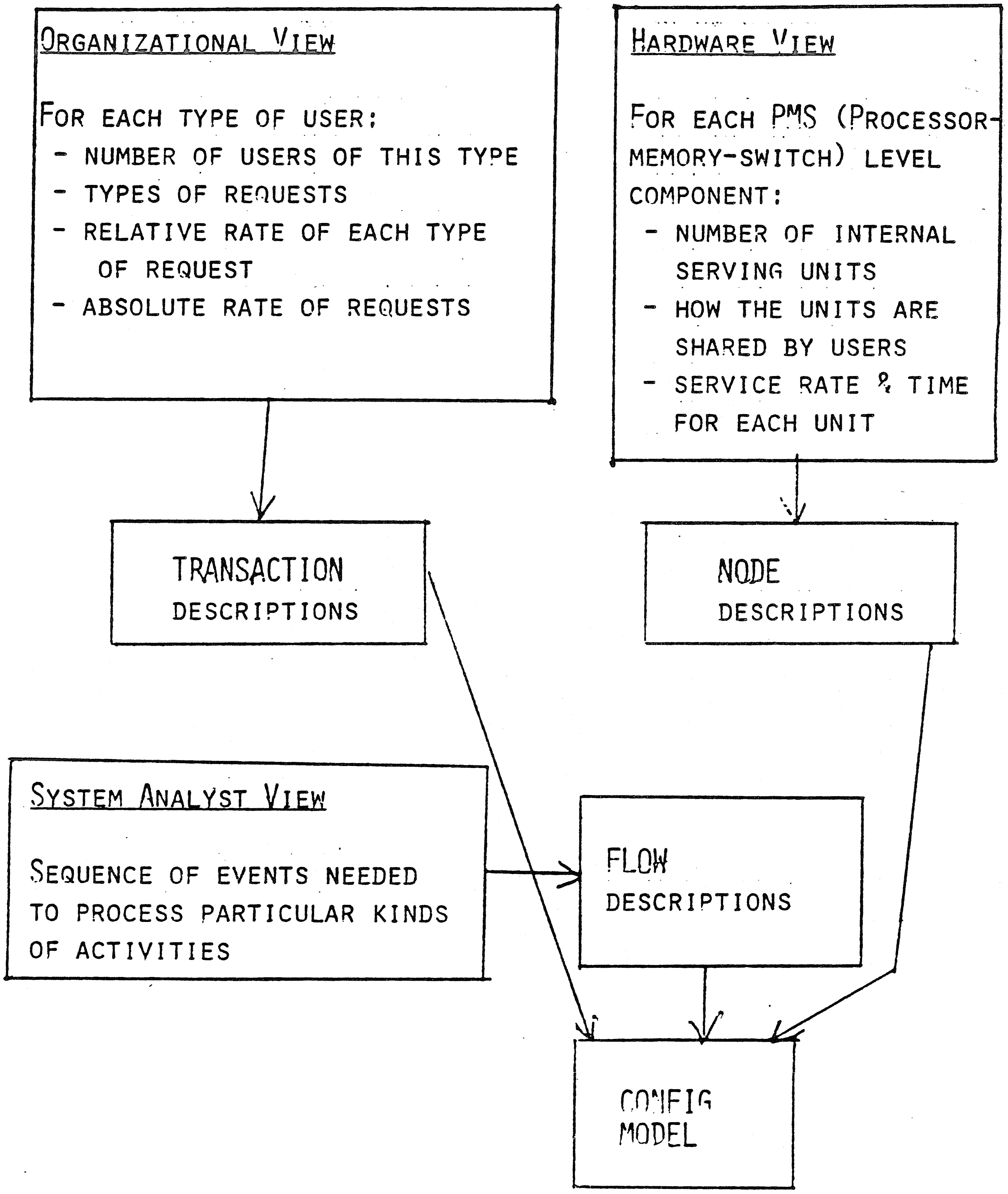
ORGANIZATIONAL VIEW
FOR EACH TYPE OF USER:
- NUMBER OF USERS OF THIS TYPE
- TYPES OF REQUESTS
- RELATIVE RATE OF EACH TYPE OF REQUEST
- ABSOLUTE RATE OF REQUESTS
HARDWARE VIEW
FOR EACH PMS (PROCESSOR-MEMORY-SWITCH) LEVEL COMPONENT:
- NUMBER OF INTERNAL SERVING UNITS
- HOW THE UNITS ARE SHARED BY USERS
- SERVICE RATE & TIME FOR EACH UNIT
TRANSACTION DESCRIPTIONS
NODE DESCRIPTIONS
SYSTEM ANALYST VIEW
SEQUENCE OF EVENTS NEEDED TO PROCESS PARTICULAR KINDS OF ACTIVITIES
FLOW DESCRIPTIONS
CONFIG MODEL

Figure 2-1. The Configuration Model

Each section makes calls on model routines to transmit these definitions to the data structures of the model. Because the file is a part of a PL/I program, the full capabilities of that language are available to the modeler to define parameters and do calculations on them which make the parameters more meaningful. It is also possible -- and quite useful -- to include modeler-written PL/I procedures to further simplify and compact the definition of an architecture.

2.2.1 The Hardware View

"Computer systems are one example of man's more complex artificial systems" [Bell71]. Figure 2-2, reproduced from Bell and Newell's classic text, illustrates how people have been able to cope with the complexity of computer systems by breaking the process of designing and understanding them down into several "levels of abstraction."

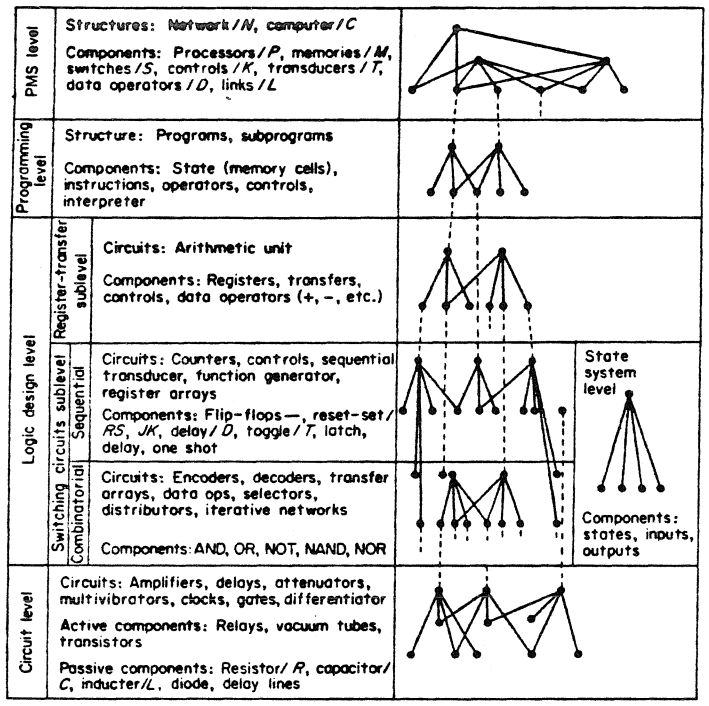
PMS level
Structures: Network/N, computer/C
Components: Processors/P, memories/M, switches/S, controls/K, transducers/T, data operators/D, links/L
Programming level
Structure: Programs, subprograms
Components: State (memory cells), instructions, operators, controls, interpreter
Logic design level
Register-transfer sublevel
Circuits: Arithmetic unit
Components: Registers, transfers, controls, data operators (+, −, etc.)
Switching circuits sublevel
Sequential
Circuits: Counters, controls, sequential transducer, function generator, register arrays
Components: Flip-flops—, reset-set/RS, JK, delay/D, toggle/T, latch, delay, one shot
Combinatorial
Circuits: Encoders, decoders, transfer arrays, data ops, selectors, distributors, iterative networks
Components: AND, OR, NOT, NAND, NOR
State system level
Components: states, inputs, outputs
Circuit level
Circuits: Amplifiers, delays, attenuators, multivibrators, clocks, gates, differentiator
Active components: Relays, vacuum tubes, transistors
Passive components: Resistor/R, capacitor/C, inducter/L, diode, delay lines

Figure 2-2. Hierarchy of Levels of Abstraction in Computer Structures [Bell71].

A system can be modeled at any of these levels of abstraction, depending on what information the designer seeks from the model. Here, we are concerned with comparing the behavior of entire classes of computer systems at a level which would be visible to the end-user. (*) Only the highest level of abstraction available for quantitative description will be useful in this endeavor. Otherwise the model would be so expansive in detail as to make the task of completing one configuration case

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(*) That is to say, in terms of response time and dollar cost to meet a given load requirement.

study -- let alone an architecture study -- prohibitively time consuming.

Bell and Newell propose a descriptive language for this top level of abstraction called the "PMS" (Processor, Memory, Switch) level, and apply their language to discussing many of the significant computer architectures then extant. In this thesis, the PMS level is the natural level to employ in description of the architectures to be studied.

PMS, as presented by Bell and Newell, is not directly suitable for use as a simulation input language. The two-dimensional structure of PMS diagrams is hard to interpret algorithmically. PMS descriptions can contain both quantitative and qualitative terms. For modeling, the system description language must be consistent, linear, and quantitative. These are the goals of the node description mechanism of the model.

In the model, each PMS-level component is a node. Nodes do not distinguish the function of the component: the same kind of node is used to model processors, memories, busses, etc. The node concept abstracts out of the PMS component only those attributes which influence the service rate.

It proved useful to have a basic node for modeling with a somewhat more complex structure than just a single queue and serving element. Figure 2-3 shows the node structure, which is similar to [Jackson57]. Each node consists of a number of identical basic serving elements ("basic servers"), a queue, and a dispatching rule for sending requests from the head of the queue to basic servers.

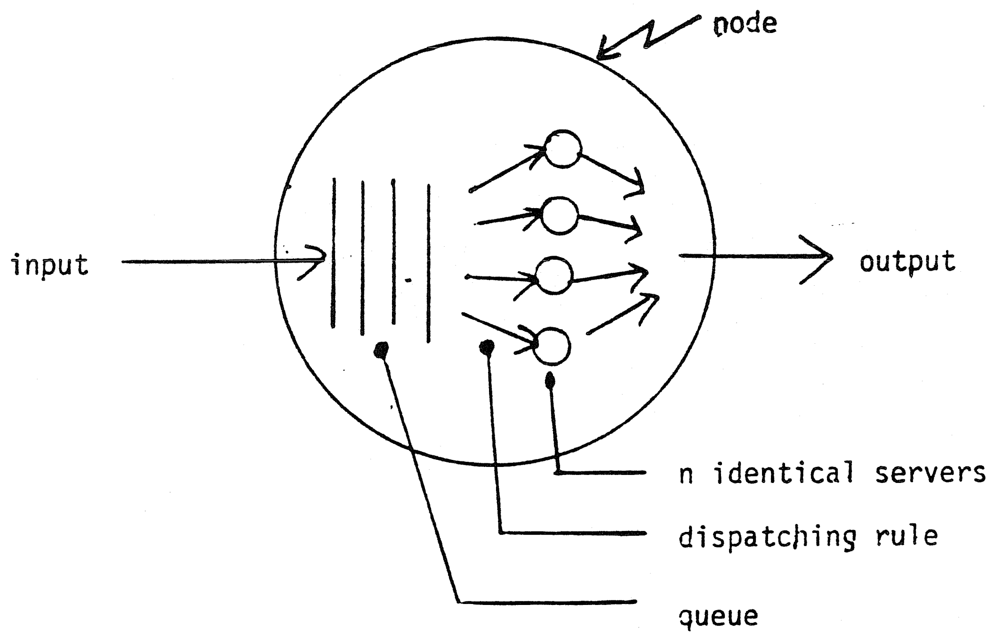


Figure 2-3. Basic Node Structure after [Kleinrock76], p. 215.

Two variables characterize the basic server. PAGESIZE gives the size, typically in bytes, of the smallest distinguishable and uninterruptable unit of work for the basic server. PAGESIZE can be picked to reflect the nature of the hardware unit being modeled. The other variable, PAGERATE, gives the throughput of the basic server in PAGESIZE units per second.

For example, in modeling a disk drive, the seek element can be modeled with a PAGESIZE of 1 (seek) and a PAGERATE of 33 (seeks per second). This is done because the seek operation is normally uninterruptable. A processor is modeled with a PAGESIZE of 1 (instruction) and a PAGERATE of so many million (instructions per second). Another case might be a system bus, such as the DEC UNIBUS, which would be modeled with a PAGESIZE of 2 (*) and a PAGERATE of 2.5 million. (**)

A node in the model consists of one or more basic servers. The raw capacity of the node is thus SERVERS times the capacity of a basic server. With this capability, aggregates of similar resources can be described easily. For example, a string of disk drives can be modeled by a single node where the basic server has the throughput characteristics of a single drive, and SERVERS gives the number of drives in the string.

(*) because of the 16-bit wide data paths

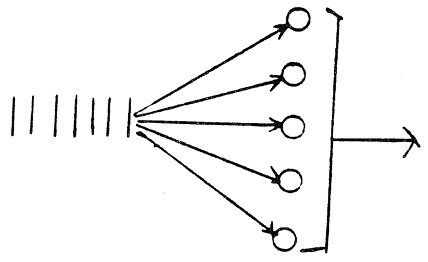
(**) the reciprocal of the bus's nominal 400 nanosecond cycle time.

Nodes have a single entry point for requests and a single exit point for responses. A request can be thought of as a token (in the Petri net sense) which advances through the internal queue until it is dispatched to a basic server. After spending an appropriate amount of time in the server, the pebble exits the node and enters the queue of the next node in its flow.

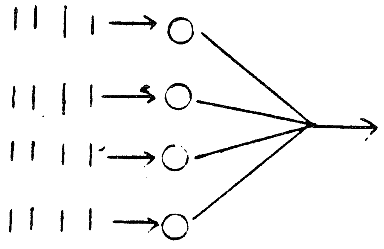
The dispatching rules describe how requests can move from the queue to servers. Each dispatching rule corresponds to one of the queueing systems describable by queueing theory: the model can accommodate any type of queueing system for which a closed form analytic solution exists for the mean and variance of expected service time. (*)

For the cases presented in this thesis, only three simple dispatching rules sufficed to describe all of the modeled situations. Admittedly, more general queueing models could have been used, but it is felt that the added sophistication was not justified in light of the uncertainties in the assumptions for node service times in many of the cases. Figure 2-4 shows the three dispatching rules implemented by the CALCULATE_QUEUEING procedure (listed on page A-25).

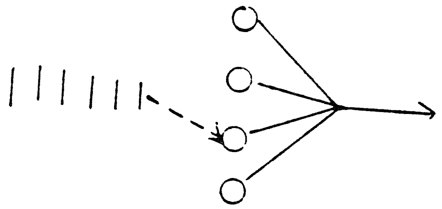
(*) Section 2.1.2 discusses other restrictions



(a) QUEUETYPE=1: Parallel serves, M/M/1 queue.



(b) QUEUETYPE=2: M/M/1 queue per server.



(c) QUEUETYPE=3: M/M/n queueing system.

Figure 2-4. Dispatching Disciplines

QUEUETYPE=1 nodes have a single M/M/1 queue, but the request is dispatched to <u>all servers simultaneously.</u> Each server handles 1/Nth of the request (rounded up to the nearest PAGESIZE unit). This rule easily models interleaved memory organizations and proved extremely useful in compactly describing a variety of parallel processing structures for data base processors.

QUEUETYPE = 2 nodes have an array of individual M/M/1 queues, one per basic server. Requests enter one of the queues and proceed all of the way through the queue to its server, analogous to the checkout lines at a supermarket. Requests enter the queue for each server with equal probability. This dispatching discipline would be used, for example, to model a string of disk drives, where a request to access a particular record can be served only by the disk drive which holds that record and thus must service the request to completion. Other drives in the string may be used concurrently to handle requests for other users, however.

QUEUETYPE=3 nodes have a single M/M/n queue feeding all n servers. As with the preceding node type, once a request is dispatched to a basic server, that server processes it to completion. In this case, since a request can be handled by any server, so it goes to the first free server. This queueing discipline prevails in most banking institutions today. This mode models systems such as shared memory multiprocessors.

A node can be shared by a number of users with similar characteristics. The model multiplies the imposed load by USERS to arrive at the actual load of a node. Each node in a model can be given a different number of users to indicate the degree to which it is shared. For example, the terminal microprocessor in a desktop system would have USERS=1, because it is dedicated to one user. A cluster controller might have USERS=6, where an archival memory in the same configuration might have USERS=100. All of these nodes can coexist in the same run, and the correct queueing effects will be calculated for each.

In this manner, the analytic nature of the model makes it easier to describe a very large configuration which serves perhaps hundreds of users. Resources which are replicated, such as terminals, can be modeled by description of a single representative such resource. By manipulating the USERS parameter, the modeler can easily account for the aggregation of load from many such similar resources.

An example of a small set of node definitions occurs on page A-11. In this example, it is worth noting that calculations are performed on some of the parameters to obtain such items as PAGERATE. This permits the modeler to deal with parameters which are more meaningful: for example, SHIFTRATE is a natural description of bubble and CCD memories. The modeler specifies the transformations on the parameters using the programming concepts of PL/I.

2.2.2 System Analyst View

The system analyst view connects the hardware nodes as just discussed with the user (transaction) view (next section). Presumably, the analyst would perform the analysis from user requirements towards the hardware description in a top-down manner by progressive refinement of transactions into flows, and flows into either constituent flows or steps. However, for convenience of execution, the description occurs in bottom-up order. Bottom-up order of execution permits the program to check for steps that use non-existent nodes and transactions that rely on non-existent flows.

The system analyst first can define PL/I procedures for producing fragments of flow routines. These PL/I procedures can be much more general and perform more interesting calculations than the transaction-flow-step formalism of the model, and thus reduce the effort of description. These procedures, when executed, produce calls to procedure NEWSTEP; other calculations can also be performed as side effects.

The analyst then defines the flows. A flow is a set of steps, where each step represents the use of one of the hardware resources of the system (NODE) to process a certain unit of work (SIZE) repeated a certain number of TIMES. (*) Flows are used to represent basic system tasks which are common to one or more transactions, such as parsing an input sentence or computing a projection operator.

Flows differ from general PL/I procedures in that the model automatically aggregates response times for flows. Flow times can provide the modeler with some insight as to where resources are consumed and delays generated. Extrapolations of system response times can often be made by careful study of flow time reports.

The set of flow routines usually constitutes the largest portion of the configuration file. Fortunately, given a set hardware view and user organization view, the flow routines are usually straightforward to write. For an example of a set of flow routines which includes PL/I sub-routines for producing flow fragments, see pages A-12 to A-14.

(*) Having both SIZE and TIMES as inputs to specify gives the modeler control over how standard deviations are aggregated: an activity with a small SIZE repeated many TIMES will result in a very small standard deviation, where an activity done one TIME with a large enough SIZE to result in the same total amount of work will have a larger standard deviation.

2.2.3 User Organization View

The user organization view, as translated into a set of transaction definitions, constitutes the final part of the configuration file. The user organization is thought of as one or more classes of users with a set of transactions for each class of user. Within each user class, each transaction is given a WEIGHT; the weights for a class should sum to 1. The weight of a transaction is the relative number of user-originated requests for the activity represented by that transaction.

A user group is also characterized by a number of users and an average request rate per user. Weights are given to transactions as though there were only one user in the group.

A transaction is then further characterized by the sequence of flows which compose it (just as a flow is composed of steps). Each flow can be repeated a number of times, which need not be an integer, since it represents the average number of repetitions. Obviously, repetition factors can be parameterized. (*)

(*) This technique was used for runs in Chapter 4 to account for various degrees of interversion communication.

The model accumulates response times for each transaction. When a wide range of repetition factors is expected, the notion of "average repetition factor" will lose the sense of the distribution of response times (and most likely significantly underestimate the variance). In this situation, it is best to define a set of transactions which are identical in all respects except weight and repetition factor, and manually reconstruct the distribution of response time. (*)

In the cases discussed in Chapter Four, the set of transactions and weights remained constant for every architecture, thus subjecting each to identical user requirements.

2.2.4 Detail Output

The model contains a report writer routine (pages A-32 to A-35) which outputs in detail the results of the analytic queueing network calculations. The REPORT routine produces several output reports on each case; because of the volume of

---

(*) This can be done using a PL/I subroutine to generate the transaction by issuing a series of calls to USEFLOW. It never proved necessary to use this technique in any of the cases reported on here.

output generated, the report writer acts only when explicitly called from the driver (architecture view) file. Generally, the detailed report can be useful for understanding the interaction of the load with the configuration, but provides too much detail for architectural comparison. The driver files written for this thesis produce a detailed report only for a representative case out of each architecture study. Appendix B contains a sample of the detailed output, illustrating each of the reports.

The first report shows properties and calculated results by node (see page B-2). The columns headed "PAGE SIZE," "PAGE RATE," "USERS," and "SERVERS" echo the input to the queueing model. The remaining columns display calculated results.

"CAPACITY" is the total capacity of the node in PAGESIZE units per second.

"UTIL" is the utilization of the node across all basic server elements for the entire user load. (Utilization is usually represented by the Greek letter "rho" in queueing theory.)

"MEAN/SO" gives the ratio of calculated mean service time of the mode (including time waiting in queue) to the unloaded service time. (*) Similarly, "SIGMA/SO" is the ratio of the standard deviation of service time, including waiting time, to the unloaded service time. These normalized results give a clearer picture of congestion developing at a node than unnormalized values.

"WGTD TIME" is the amount of time, in seconds, the node contributes to the average transaction (weighted by the volume of requests of each type). The node with the highest weighted time is most likely to be the critical node, although this is not always the case.

The second report (page B-3) breaks down utilization by user transaction for each node. Utilization greater than 1 indicates an overloaded node: the response times calculated by the model will be meaningless.

The third report (page B-4) gives details on the flows. Each step of the flow is summarized on one line, giving the node visited, resources demanded, and the resulting mean and standard

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(*) Unloaded service time is the time the request would take if it were the only request in the system - that is, if there were no other load.

deviation of response time. At the end of each flow, the mean and standard deviation of service time for the entire flow are printed. The flow report is useful for comparing designs because the flows represent common system functions, and it is often straightforward to extrapolate from them.

The final report (page B-6) gives a similar breakdown for transactions. Flow details for each transaction are printed, one flow per line. Mean and standard deviation of response time for the entire transaction appear after the flow details.

2.3 ARCHITECTURE STUDIES

2.3.1 Parameters

By defining a configuration in terms of parameters, an architecture can be modeled as the set of configurations possible with a range of values for each parameter. The architectural view, then, concerns itself with utilizing this parameterized configuration model by manipulating some of the parameters and observing the consequences on system cost and performance. There are four kinds of parameters:

(1) Some of the user organization parameters are forced across a range of values to represent a range of user requirement situations. Thus the architecture can be evaluated for suitability to a range of user organizations.

(2) Other user organization parameters are held fixed for the duration of the run.

(3) Some of the hardware view parameters respond to growth in the user load, driven by algorithms which permit them to change and grow in order to meet response time objectives.

(4) The remaining hardware parameters remain fixed by the choice of the architect.

The DEFAULT procedure sets all parameters to starting values. It can be invoked at any time to restore baseline conditions during a run of a series of cases. In general, the default case should be the smallest configuration which is a meaningful case of the architecture in question. An example of a DEFAULT routine appears on page A-7.

2.3.2 The Driver Routine

Figure 2-5 shows the overall structure of the architectural view in relation to the other views of the model. In a run, one or more of the user organization parameters will be forced through a set of values. For each distinct set of user organization parameters, the CONFIG (analytic queueing network) model will be run iteratively until the configuration either meets the response time criteria or cannot be expanded any further.

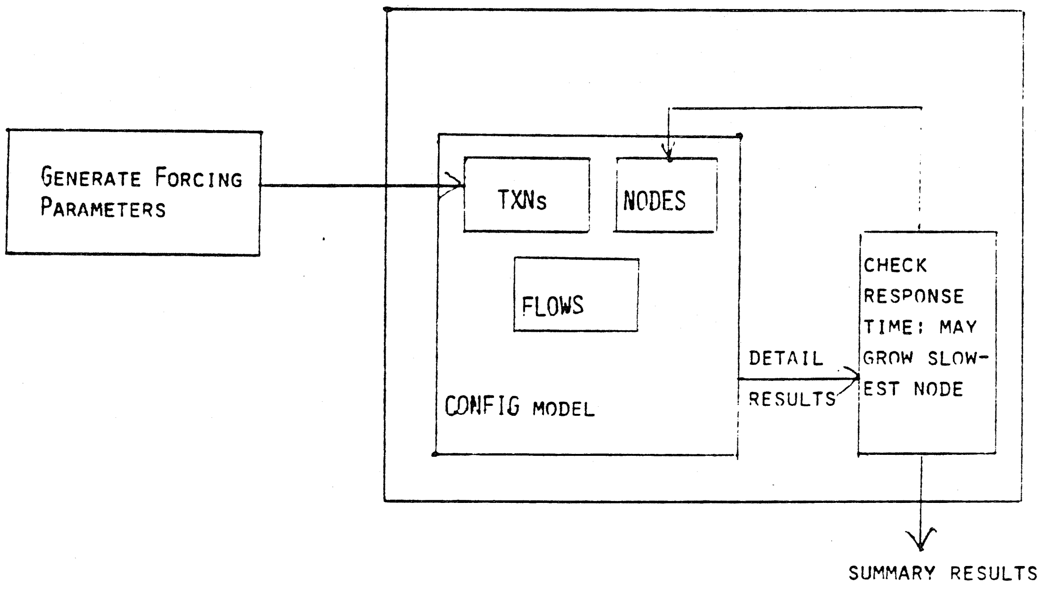
GENERATE FORCING PARAMETERS
TXNs
NODES
FLOWS
CONFIG MODEL
DETAIL RESULTS
CHECK RESPONSE TIME: MAY GROW SLOWEST NODE
SUMMARY RESULTS

Figure 2-5. Architect's View

After each iteration of the queueing network model, the architecture view checks for convergence to the response time criterion programmed by the modeler. (*) The model then searches for the node which contributes the largest amount to weighted mean response time, and calls a modeler-written heuristic routine to grow the capacity of that node. If a maximum iteration count is not exceeded and the grow routine succeeded, the model iterates again through the queueing network calculation.

When the process converges, a modeler-specified line of output can be generated. This line usually contains values of hardware parameters which can be algorithmically grown in order to validate the reasonableness of the heuristics. This also gives the modeler a feeling for how the architecture responds to different load situations.

For configurations which converge, the model also computes a cost function written by the modeler. The costs are printed in a matrix at the end of each set of cases. For cases in which even the smallest configuration of the architecture exceeds the

----

(*) In this thesis, the response time criterion used is weighted average response time -- that is, weight (relative frequency) of each transaction in the mix times response time of that transaction. The time must be less than a constant arbitrarily set at 15 seconds, intended to represent the "frustration limit" of a human interactive user.

minimum required response time, the model assumes that the architecture is "TOO BIG" for the case, and probably far too expensive. Conversely, when the model cannot converge on a configuration which meets the maximum response time permitted, the model assumes that the architecture is "TOO SMALL."

The "driver" file expresses the architect's view and includes the GROW and COST routines. For an example of a driver file, see pages A-5 to A-9.

The driver file can also be used to drive some of the hardware parameters, while letting other hardware parameters respond via the GROW routine. This technique provides a particularly valuable way to study design trade-offs within an architecture, because the "bottom line" conditions of performance and cost, as seen by the end user, are the outputs.

2.3.3 Growing a Configuration

The GROW routine written by the modeler provides the mechanism for studying a range of configurations of increasing load demand. The GROW routine accepts an integer as input which gives the number of the worst-case node. The routine returns a boolean which indicates whether or not it was possible to grow the configuration to enhance the capacity of the node in question: if not, then the architecture has reached a fundamental limitation. A typical GROW routine appears on page A-8.

The GROW procedure begins with a dispatch (PL/I computed GO TO) on the node to be expanded. Several different nodes can use the same algorithm for expansion in this way.

The modeler specifies how to add capacity to a particular node by writing algorithms which increase one or more of the hardware view parameters. The expansion algorithms can thus be defined in terms natural to the architecture in question. For example, a string of disk drives grows by adding one drive at a time to the string, where solid-state memories can best be increased in throughput by increasing the degree of interleaving.

Adding capacity to a particular node may involve changing parameters which also affect other nodes. For example, adding a disk drive to a string may result in exceeding the number of drives which can be handled by a controller. Adding processing elements in a bus-coupled architecture may increase the bus's electrical length, thus increasing the bus's cycle time and reducing its capacity. Effects like these can easily be reflected by grow routines.

The desirability of coding grow routines as architecture-dependent heuristics was not immediately obvious. A first attempt at the problem used a single EXPAND algorithm (*) which could be applied to any node in a general fashion. The EXPAND routine quickly proved to be too limited in that it would not account for the fact that some resources (such as disk drives) grow by discontinuous jumps (whole units in this case). The general routine could also not apply constraints of the form where growth of one resource required additional resources to be added (such as when adding a disk also forces adding a controller).

A final deficiency of the general algorithm lies in its inability to make "reasonableness" tests to see if further expansion of the node is either futile or would violate some other constraint. With the architecture-specific heuristic algorithms, however, such tests can be very straightforward. An example of such a test would be to see if no further outboard peripherals can be added because their aggregate transfer rates would exceed the bandwidth of the main memory.

(*) which survives in the program as a utility available to the heuristics (page A-10).

Some of the reasonable tests can be even more subtle. In some cases, intermediate results of the queueing model were queried by grow routines to determine if any amount of expansion of the affected node would be sufficient to meet the response time objective, independent of queueing delays.

Use of heuristic grow routines thus captures the constraints of the architecture very realistically. They have the added benefit of causing individual configuration cases to converge in very few iterations, thus saving considerable computation time over a more general algorithm.

CHAPTER 3 THE COST MODEL

3.1 OVERVIEW

The architecture view produces configurations which, although vastly different in underlying architecture, have roughly similar performance for a given set of user requirements. This with performance fixed by user specification, the obvious way to compare architectures is to compare costs.

The cost models used here aspire to show that cost in terms roughly similar to the unit manufacturing cost (UMC), in dollars, which a fully vertically integrated computer manufacturer might expect. Unit manufacturing cost means the factory cost of production: materials, labor, testing, and factory overhead. It does not include other costs which a company must cover in the selling price of the product such as cost of marketing, administrative expense, or profit. UMC gives a fairer comparison of different technologies than selling price because it is not as biased by marketing strategy (OEM vs. end user, for example), nor does it reflect prices which result from having an exclusive market position. UMC also begs the question of development costs.

Obviously, this kind of comparison has its pitfalls, as companies guard their actual UMC data jealously, if they have that data at all. Fortunately, in the case of integrated circuits, a wide literature exists projecting trends in the technology. (*) The cost information in that literature forms the historical basis for the model of integrated circuit chip costs used here.

Other cost estimates can be made by extrapolation of historical trends, as was necessary for disk drives. For proposed new technologies, such as EBAM or optical video disk, there was no recourse but to accept published cost estimates at face value.

In all of the cases presented in this thesis, the cost procedures as programmed are quite simple, usually written in terms of cost coefficients for major hardware nodes. An example of a COST procedure appears on page A-9. The following sections explain the rationale used to develop many of the cost coefficients common to many of the architectures found throughout this work.

(*) A good summary of this literature is [Early78], which gives fairly detailed cost forecasts for memory products through 1986. [Mohsen79] covers the 1985 to 1990 time period, but with less quantitative forecasting.

3.2 INTEGRATED CIRCUIT COST MODEL

The cost of a subsystem or assembly consisting primarily of integrated circuits can be thought of as the sum of three costs: the cost of the chips (made proportional to the number of chips in the system), the cost of packaging (made proportional to the number of pins), and the cost of power and cooling (made proportional to the power consumption in watts).

3.2.1 Chip Cost

The cost of a particular integrated circuit depends on many factors: die size, yield, process complexity, and "learning curve" (*) phenomena. A simple model to account for these factors might be:

COST(chips) =

NCHIPS * MATURE_COST * MLCF * VLCF * OVERHEAD(chips)

where

NCHIPS is the number of primary type chips in the system. For example, in a memory, this would be only the number of memory chips.

(*) the ability to produce the same functionality chip at lower cost in succeeding years through design and process improvements and economies of scale.

MATURE_COST is the cost of manufacturing and testing a primary type chip after all learning curve phenomena have taken place: that is, the ultimate cost of the chip.

MLCF is a "maturity" learning curve factor which accounts for improvements in technique for making a given kind of chip over time.

VLCF is a "volume" learning curve factor which accounts for economies of scale in manufacturing.

OVERHEAD is a factor which accounts for the cost of non-primary type or support chips.

With all of the learning curve phenomena removed, the mature cost of producing chips of equal complexity but different functionality should be the same. Thus, this model assumes that if produced in large enough volume and for a long enough time, RAM, CCD, microprocessor, custom, and gate array chips with similar feature sizes should cost the same to produce because they are made by comparable processes.

The best quantatative data on cost trends for LSI and VLSI circuits can be found for dynamic RAMs. Figure 3-1 presents a view of mature cost trends extrapolated from the famous memory cost graph of [Noyce77]. It is interesting to note that while RAM densities increase by a factor of four, chip costs increase by a factor of two. (*)

Figure 3-1. Mature Chip Cost Extrapolation

RAM Density (bits)	Year Intro-duced	Year Mature	Mature cost cents/bit	Mature cost $/chip
256	1969	1975	.300 (est)	$ 0.77
1K	1973	1979	.150 [Noyce77]	1.54
4K	1975	1981	.048 [Noyce77]	1.97
16K	1976	1982	.025 [Noyce77]	4.10
64K	1979	1985	.013 [Noyce77]	8.52
256K	1981	1987	.008 (est)	20.00
1M	1983	1989	.004 (est)	40.00

The tendency for chips to become more expensive reflects several major trends in VLSI technology. While advances in lithography reduce the size of features on the chip, active devices are shrinking faster than wires [Early78]. At the same time, the increased level of integration on a chip suggests the

----

(*) Memory system costs actually decrease by more than a factor of 2 with each quadrupling of RAM density because the decreasing number of pins and lower power consumption leads to lower packaging costs.

need for more interconnect both on- and off- chip [Keyes78]. Both of these factors suggest that an increasing percentage of the area of a chip will be devoted to interconnect, cutting in to the density improvements available from lithography.

The interconnect problem is recognized as the crucial design issue for VLSI [Mead79], and several strategies have emerged for coping with it. Increasing the regularity of the design, trading internal state for external interconnect, and increasing the number of levels of design hierarchy on the chip have all been suggested. The latter two techniques, however, have some cost in real estate on the chip.

Thus, despite advances in lithographic technique, average chip die sizes can be expected to increase [Pashley78]. As lithography pushes to finer resolutions, processing equipment tends to become more sophisticated and expensive, and sometimes lower in throughput (as is the case with direct electron beam exposure). The processes are also becoming more complex, as more masking steps are added. Finally, with larger scales of integration, the cost of testing becomes increasingly important, perhaps to the point that the circuits proposed for the middle and late 1980's will not be testable unless designed with more levels of design hierarchy on chip, which has a cost in area.

The chip cost equation includes two learning curve factors, one for volume and one for the maturity of the design. The maturity learning curve used here was derived from [Noyce77] and represents the average of his predictions. Figure 3-2 illustrates the maturity learning curve, which accounts for phenomena such as process refinements and scaled parts of the same design but smaller die size, and tighter control, all of which reduce cost by increasing yield.

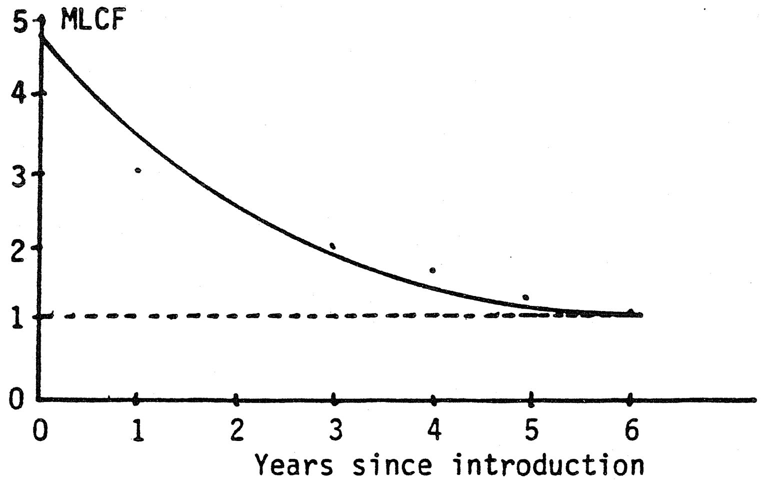

Figure 3-2. Maturity Learning Curve Factor

The volume learning curve shown in Figure 3-3 accounts for economies of scale in manufacturing, based on a yield of 10% to 20%. The knee in the curve reflects that below about 100 units a year, a complete mask and wafer run would still be needed to produce the parts. The volume learning curve reflects the reduced cost of design, testing, and handling on a per-chip basis as production increases. It also accounts for shifts in production techniques which occur with volume: while direct electron beam exposure is a cost-effective way to produce 1,000 units per year, it would be unlikely to compete with some form of projection lithography for chips produced in the millions of units per year.

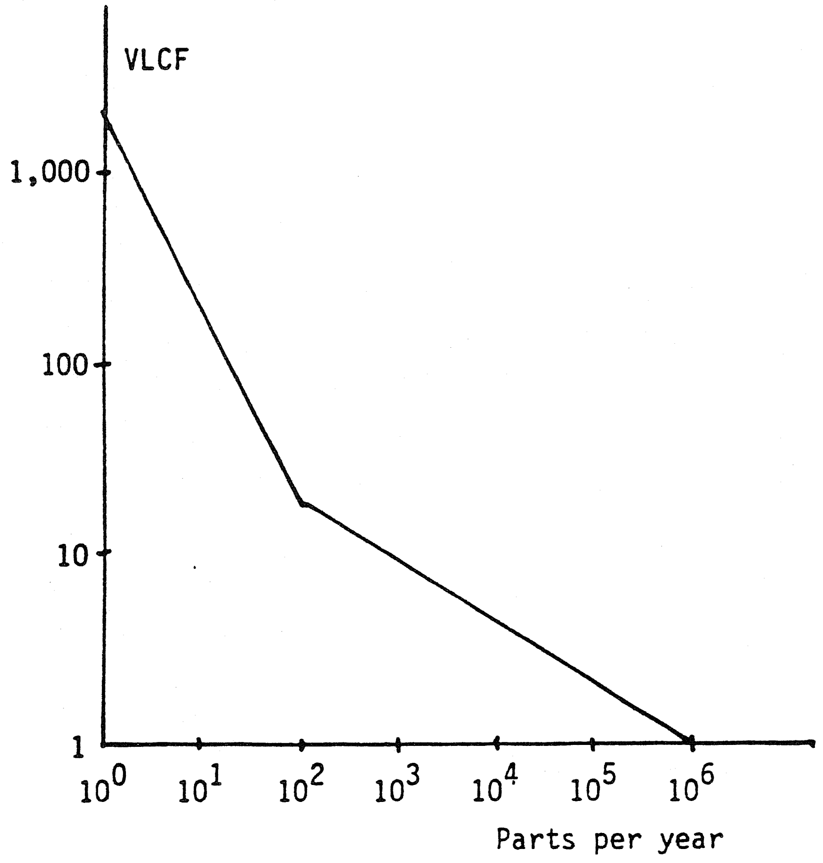

Figure 3-3. Volume Learning Curve Factor

The final factor in the chip cost equation provides a measure of the cost of chips which support the primary type of chip in the system. These chips will usually be more numerous but less expensive than the primary type of chip. These values represent estimates based on inspection of many board types, supported by some industry experience. Figure 3-4 summarizes the overhead factors used to account for support chips. These factors are multiplied by the cost calculated for the primary chip type to obtain the cost for chips in the subsystem.

Figure 3-4. Overhead Factors for Support Chips

Primary Chip Type	Chip $	Pins	Power
Random Access Memory	1.1	1.5	2.0
CCD Memory *	1.1	1.5	2.0
Magnetic Bubble Memory	2.0	5.0	20.0
Content Addressable Memory *	1.1	1.5	2.0
Gate Array	1.0	1.1	1.1
Microprocessor	4.0	20.0	10.0

* Assumed to be same as RAM

3.2.2 Packaging Cost

The cost of packaging can be expressed as:

COST(pkg) = NCHIPS * AVGPINS * OVERHEAD (pins)

* (ICPIN$ * BOARDPIN$ + SYSTEMPIN$)

where

AVGPINS is the average number of pins per chip;

ICPIN$ is the cost per pin of the integrated circuit package;

BOARDPIN$ is the cost of the circuit board, on a per-pin basis;

SYSTEMPIN$ is the cost of backplanes and cabinets, also expressed on a per-pin basis; and

OVERHEAD (pins) accounts for the pins of the supporting chips. The pin overhead factors are given by the second column of Figure 3-4.

The most inexpensive IC packages cost about $0.25 for a 16-pin plastic package which can dissipate up to 400mW, for about $0.015 per pin. Ceramic packages of the same size cost about $0.80 and can dissipate up to 1 watt, thus costing about $0.05

per pin. QIP packages of 64 pins cost about $10, or $0.16 per pin, for larger pinout requirements. IBM and Amdahl have developed packaging technologies for considerably more pins, but they consider cost data on these packages proprietary. In this study, pinout requirements of greater than 64 pins were estimated to cost $0.30 per pin.

The circuit board which holds chips accounts for the largest part of the packaging cost. A typical printed circuit board might cost $200 for board materials and processing, to which assembly and test labor (*) of $400 must be added. If our hypothetical board sported 150 chips with an average of 20 pins each, this would imply a per-pin cost of $0.20. Boards made by more expensive techniques such as wire-wrap or multilayer techniques were assumed to cost $0.50 per pin.

If a backplane assembly costing $1000 supported 20 such boards and fit into a cabinet also costing $1000, this would add about $0.03 per pin for system packaging.

(*) 16 hours at $25 per hour, fully burdened labor cost.

3.2.3 Power

Power and cooling represent the final element of cost of these kinds of subsystems. Power cost can be expressed as:

```
COST (power) = NCHIPS * AVGWATTS
          * OVERHEAD (power) * WATTCOST
```

where WATTCOST is assumed to be $5 per watt for passive cooling, $10 per watt for forced air cooling, and $20 per watt for liquid cooling. OVERHEAD accounts for the power consumed by support chips. The third column of Figure 3-4 gives the power overhead factors used. In the case of bubble memories, the support chips consume considerable power because they must drive the field coils of the bubble memory package.

3.3 NON-ELECTRONIC COMPONENTS

The largest capacity disk drives have remained remarkably steady in price over the last 15 to 20 years, while doubling in density about every three years. If this trend continues, large-capacity disk drives in 1985 will be priced at about $25,000 to $35,000 per spindle to end users and $12,000 OEM, but have storage capacity of 2 billion bytes. With these prices and pricing mark-ups typical for industry, these drives will thus have a likely UMC of $8,000. (*) Figure 3-5 shows trends in disk density.

Rack mountable 14-inch diameter drives lagged far behind the largest disks in density until the relatively recent introduction of the sealed-environment "Winchester" technology originally developed for the large drives. Starting in 1978, the density of these drives has increased dramatically [Elec78], to the point where the recording density (**) of the most advanced of these drives lags the largest disks by about a factor of two. With fewer platters, less mechanical loading on the positioning mechanisms, and electronics further down the learning curve,

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(*) Average mark-ups on end-user products in the computer industry are about 3 to 1 over UMC; for OEM products the mark-up is about 50%.

(**) bits/square inch recorded on the media these drives can be produced at a UMC of about $3,000 to sell for $5,000 to OEMs.

A similar movement is afoot in even smaller capacity drives [Durniak79], as manufacturers apply the sealed-environment technology to 8-inch drives. These drives are about another factor of two lower in bit density on the media, and use even simpler positioning mechanics than their 14-inch cousins. They are expected to supplant 8-inch soft disks. With OEM prices in the range of $1,300 to $2,000, these drives probably have a UMC of $1,000.

It is only logical to assume that this process could be carried out again with 5-inch disks, with recording density another factor of two lower still. These units are expected to be produced with a UMC of $300 to sell for under $1,000.

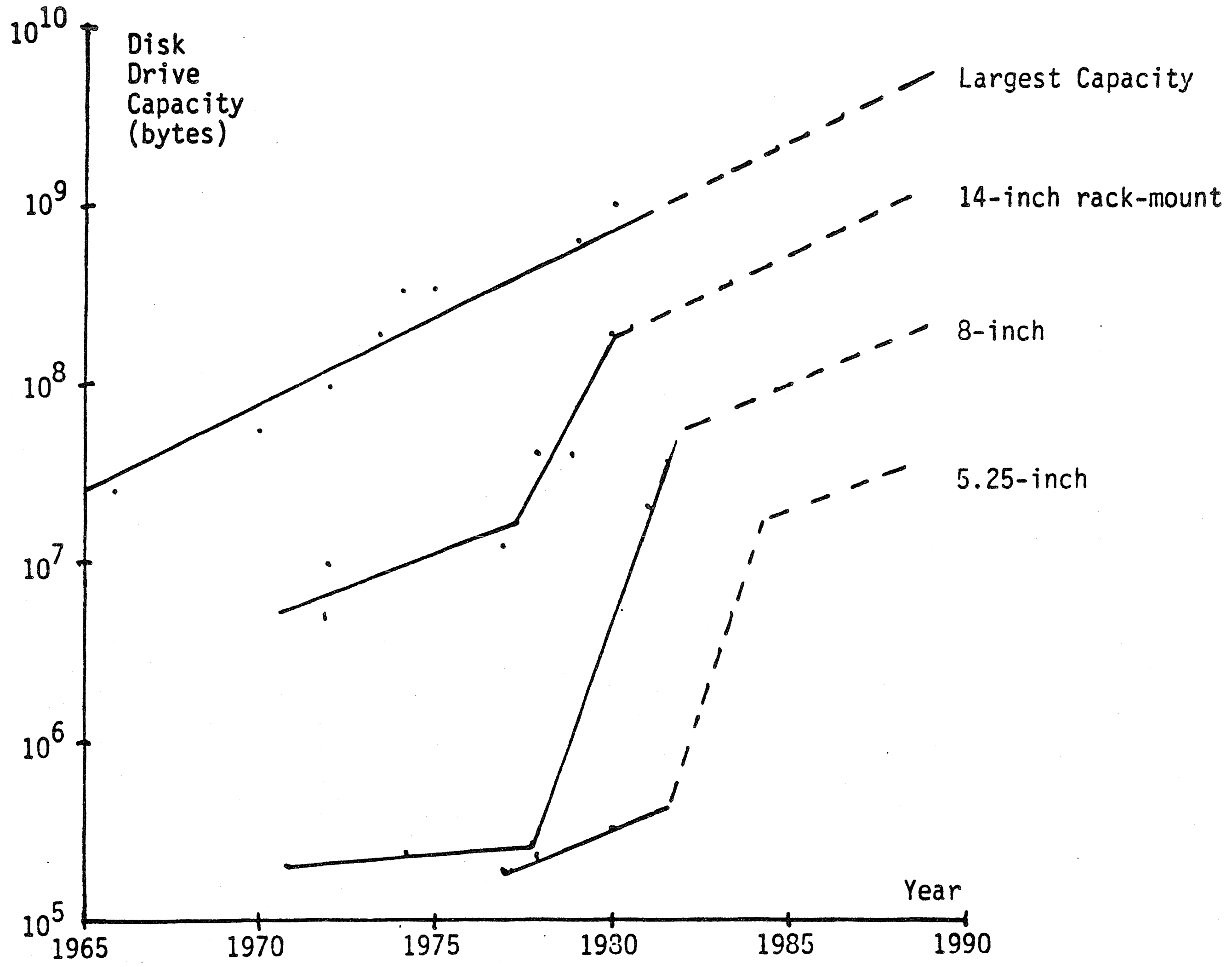

Figure 3-5. Disk Drive Capacity

3.4 SUBSYSTEMS

With the electronics cost model developed in previous sections, the cost of several interesting subsystems can be obtained. These subsystems will be used repeatedly in the following chapters. 1985 was selected as the target date for comparisons because it is far enough into the future to make for interesting and useful results, but close enough to the present to give some feeling for the reasonableness of the results. (*) Figure 3-6 summarizes the assumptions and results of the electronics cost model as applied to these subsystems. Several comments on that table are in order:

RAM. Although 1 megabit RAM chips should be available in 1985 according to the technology prognosticators, the maturity learning curve of Figure 3-6 suggests that they will still be too expensive, and that the more mature 256 Kbit chips will be enough cheaper to offset the saving in packaging and power. Thus, the technology of choice used the 256 Kbit parts 4 years into the

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(*) Since this technology forecast was first written in late 1979, it appears that actual progress in silicon technology has fallen two to three years behind the pace predicted in the middle and late 1970's. Thus, although the text discusses 1985 as the target year for subsystems, 1987 to 1988 would be more realistic dates for the target costs shown. The text still refers to 1985, however, to remain consistent with the sources referenced as the basis of the estimates.

learning curve. The lowest power and packaging costs were assumed. Some of the subsystems were costed as though they contained some RAM; in these cases a price of $1,500 per megabyte prevailed.

CCD. With the same underlying process, CCD memory can obtain about four times the number of bits per chip of comparable die size [Guidry78], due to both smaller basic cell size and less overhead circuitry on-chip. However, CCD's lag about two years behind RAMs on the learning curve. With other assumptions the same as for RAM, CCD results in one-third of the per-megabyte cost about for RAM. This result agrees with the forecasts of [Guidry78].

Bubble. Projections for bubble memories are not as boldly published as for their silicon competitors. Bubble memories can be packed to a bit spacing of about 4 bubble diameters [Hu78], which is roughly comparable to CCD's. However, with the major-loop/minor-loop organization commonly used, external control circuitry, and larger die sizes made possible by only a single critical mask alignment during processing, (*) bubble chips could have about 4 times the density of CCD memory. However, bubble memory chips will have very high support costs in terms of number

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(*) versus 4 to 8 such steps for silicon chips of support chips, their packaging requirements, and power consumption.

Microprocessors would contain over 100,000 transistors, and could be the equivalent of today's small mainframe in functionality and instruction processing power (about 0.5 million instructions per second (MIPS)).

Disk Controller. The mainframe version is assumed to contain 25,000 gates implemented with a gate array technology of about 6,400 gates per master-slice chip and 256 KBytes of memory. It would be delivered in quantities of 1,000 to 10,000 per year, utilize the most expensive IC and board packaging options, and be forced-air cooled. Its minicomputer-oriented cousin is assumed to have 10,000 gates and 128 KBytes of memory, utilize less expensive board level packaging, and be delivered in quantities of over 10,000 per year.

Mainframes. The projected 1-MIPS mainframe is assumed to have 150,000 gates and 1 MByte of RAM for control store, with expensive packaging and forced air cooling. The high-performance (16-MIPS) version contains 500,000 gates and would be liquid cooled. The high-performance version also would contain a 1 Mbyte CAM acting as a cache. It is interesting to note that both the 1-MIPS and 16-MIPS mainframes exhibit approximately the same cost per MIPS.

For comparison, the same model was applied to older technology mainframes. The "1975 Mainframe" represents the Amdahl 470V/6 as described in [Wu78]. In the 1975 mainframe, packaging and power represent 53% of the cost of the system, up from 43% for the 1965 model. However, with increasing levels of integration and rising chip cost, the 1985 mainframe puts only 23% of its cost into packaging and power, reversing the trend of prior years.

The same effect shows up even more dramatically in memory systems. A 1975 memory based on 1K RAM chips would put 77% of the system cost into packaging and power. A 1979 memory system based on 16K parts would still put 72% of the cost into boards, backplanes, and the like. However, with 64K chips, the balance swings the other way, with packaging and power accounting for only 28% of system costs; this declines to 22% with 256K RAM chips.

Figure 3-6. Subsystem Cost Calculations

Subsystem Cost Component		1 MB RAM	1 MB CCD	1 MB Bubble	Micro-processor
Number of Chips		32	8	2	1
Pins per Chip		20	20	20	40
Watts per Chip		0.2	0.2	0.2	0.5
Chip Overhead Factor		1.1	1.1	2	4
Power Overhead Factor		2	2	20	10
Mature Chip Cost		20	20	20	20
Maturity LCF		1.6	2.5	1.6	1.6
Volume LCF		1	1	1	5
Package Cost -	IC	0.05	0.05	0.05	0.05
	Board	0.20	0.20	0.20	0.20
	System	0.03	0.03	0.03	0.03
Power Cost per Watt		5	5	5	5
Subsystem Cost		$1500	$525	$300	$900

Figure 3-6 (continued)

Subsystem Cost Calculations

Subsystem Cost Component		Mainfr Disk Ctlr	Mini Disk Ctlr	1 MIPS Mainfr	16 MIPS Mainfr
Number of Chips		4	2	25	80
Pins per Chip		150	150	150	200
Watts per Chip		2	1	2	5
Chip Overhead Factor		1	1	1	1
Pin Overhead Factor		1.1	1.1	1.1	1.1
Power Overhead Factor		1.1	1.1	1.1	1.1
Mature Chip Cost		20	20	20	20
Maturity LCF		1.6	1.6	1.6	3
Volume LCF		20	10	10	20
Package Cost -	IC	0.30	0.30	0.30	0.30
	Board	0.50	0.20	0.50	0.50
	System	0.03	0.03	0.03	0.03
Power Cost per Watt		10	5	10	25
Extra RAM		256KB	128KB	1MB	1MB
Subsystem Cost		$3600	$950	$13.5K	$125K

Figure 3-6 (continued)

Subsystem Cost Calculation

Subsystem Cost Component	Cache: 1 MB CAM	1975 4 MIPS CPU	1965 1 MIPS CPU
Number of Chips	128	2K	4K
Pins per Chip	40	80	40
Watts per Chip	1	3.5	3.5
Chip Overhead Factor	1.1	1	1
Pin Overhead Factor	1.5	1.1	1.1
Power Overhead Factor	2	1.1	1.1
Mature Chip Cost	20	1	1
Maturity LCF	3	5	5
Volume LCF	5	20	20
Package Cost - IC	0.30	0.30	0.30
Board	0.50	0.50	0.50
System	0.03	0.03	0.03
Power Cost per Watt	10	10	10
Subsystem Cost	$48K	$423K	$700K

Figure 3-6 (continued)

Subsystem Cost Calculation

Subsystem Cost Component	1980 1MB RAM	1978 1MB RAM	1975 1MB RAM
Number of Chips	128	2K	8K
Pins per Chip	40	16	16
Watts per Chip	0.2	0.2	0.2
Chip Overhead Factor	1.1	1.1	1.1
Pin Overhead Factor	1.1	1.1	1.1
Power Overhead Factor	2	2	2
Mature Chip Cost	8.50	2.00	1.50
Maturity LCF	1	1.6	1.6
Volume LCF	1	1	1
Package Cost - IC	0.05	0.05	0.05
Board	0.20	0.20	0.20
System	0.03	0.03	0.03
Cost per Watt	5	5	5
Subsystem Cost	$4.9K	$21.2K	$93.1K

CHAPTER 4 TRIAL ARCHITECTURES

4.1 INTRODUCTION

4.1.1 Architectures Considered

Since E. F. Codd first put forward the relational model for data base organization [Codd70], it has been widely recognized that the simple, tabular nature of the relational model makes it amenable to alternatives to the standard, von Neumann architecture. Many workers have proposed alternatives for the organization of a data processing system for data base processing, especially for relational data bases. (*)

Will these machine organizations be effective as vehicles for implementation of an advanced functionality data management system, as exemplified by the REL system prototype? Will a more conventional architecture be as effective, or will some architecture not envisioned in existing literature be the best solution?

(*) [Smith79] provides an excellent overview of work to date, including an extensive bibliography.

In an attempt to answer that question, a number of candidate architectures were selected and modeled using the program described in the previous chapter. These architectures fall into about four major classes:

(1). In a conventional, von-Neumann architecture, the data base is stored in pages on a secondary storage device. Pages of information are moved into the main memory, to be operated on by either a single processor or a shared-memory multiprocessor of conventional design. Architectures with both ordinary disk technology and some of the emerging "gap filler" technologies were considered.

The von-Neumann architecture remains an interesting candidate for a future "REL machine" for several reasons. Much of the time spent in processing a sentence in the REL prototype system today goes into transmission of pages between primary and secondary memory. The "gap filler" technologies could considerably reduce this time. Transmission of pages is already well optimized in the REL prototype [Greenfeld72], and further improvement is possible. Finally, a data base management system has many functions besides computing relational data base operators, and the merits or demerits of using a general purpose device for all of these functions should not be dismissed without analysis.

(2). A variety of proposals have been made for using some sort of associative logic with every "loop" of secondary memory. These architectures contemplate a serial secondary memory, either inertial (*) (as with disk or CCD) or non-inertial (bubble can be operated this way). The most advanced of these proposals contemplate using a small, fast content-addressable memory as a part of the logic per loop, and thus can be deemed "doubly associative" [Shaw79]. Several variants on this architecture were explored utilizing logic-per-head disk or an all-electronic analog with bubble or CCD memory serving as the secondary associative memory level.

(3). The parallel processing architectures proposed in the literature generally distribute work of fairly small "grain size," where each processing element works on either a character or even a bit at a time. It could be that an architecture could be extremely effective with a larger grain size for distribution of work. Consequently, one of the series of architectures locks at distributing work with the grain size of a record or block of records.

(4). A final set of cases considers implementations based on very large main memories.

(*) that is, the rotation or shifting cannot be easily stopped.

Each architecture represents a way to arrange logic and memory elements into a data base processing subsystem.

Another design issue lies in how many users each of these subsystems should serve: one, a few, or all of the users in the organization which owns the "REL machine"? This choice leads to three major sub-architectures for each architecture studied: "smart terminal," clustered, and central.

In a central architecture, there is one data base processing subsystem which is multiplexed among all of the users. Users are assumed to have "dumb" (*) terminals, which connect through a general-purpose computer used as a "front-end" to the "back-end" data base processing subsystem. All interversion communications (**) occur strictly within the confines of the single back-end resource.

(*) Here, we mean "dumb" in the sense of having none of the applications processing software. It must be expected, however, that future terminals will devote a considerable number of cycles to providing a "friendly" interface to the human user, through powerful screen formatting, graphics, and local editing. While such functions as editing account for a large fraction of the processing power consumed in the central mainframe in many contemporary systems, here we assume that such functions will be distributed to the terminal in all of the architectures studied, and are thus not a variable in this research.

(**) That is, communication between the data bases kept by one user and those of another user. The concepts of "interversion communications," "lateral," and "based" as used in this thesis are those of [Yu80]. In that work, "lateral" communications is also called the "channeling operator."

In clustered architectures, a small number of users share a data base processing engine. As in the central architectures, a general-purpose computer serves as a front end, managing a number of "dumb" terminals. It is presumed that terminals belonging to users in the same working group (or other organizational entity) will be on the same cluster processor; therefore based (*) communication can occur within the confines of the cluster.

(*) Basing refers to the situation where all of the concepts of one data base (the "based-upon" version) are made available to the other or "working" version. The working version can extend the based-upon version with new vocabulary or modify data in the based-upon version. These changes, however, are stored in the working version and are visible only within either the working version or other versions based in turn on that working version. The based-upon version itself is not altered.

By contrast, changes made in the based-upon version are seen both by the based-upon version and the working version. Thus, the communication is "closely coupled" in the sense that changes to the based-upon version are instantly reflected in the working version, and one way in the sense that changing the based-upon version also changes the working version, but changes to the working version do not change the based-upon version.

Refer to [Yu80] for more information about basing.

Lateral (*) communications, however, will travel between clusters. Thus each clustered architecture contains an intercluster network modeled on ETHERNET [Metcalfe75]. The cluster general purpose processor generally provides the network interface.

(*) In "lateral communication" between two versions, the users of the two versions agree beforehand that the owner of the "responding" version will provide certain information from his data base to the owner of the "originating" version. They also agree on the vocabulary by which the required information will be known to both of them.

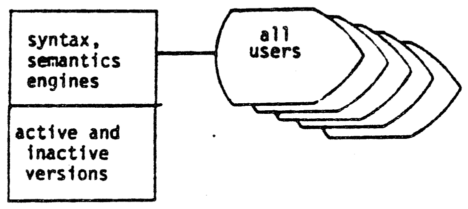
The owner of the originating version then puts a definition in his version for that vocabulary which refers to the responding version. The owner of the responding version similarly puts a definition of the vocabulary into his version, which translates the requester's terminology into terms which already exist in the responder's version. By appropriate choice of terms, the owner of the responding version can restrict the requestor's ability to interrogate the responding data base to only the agreed-upon information.

When the requestor's version parses a sentence which contains the vocabulary item which refers to the responding version, the system sends a request packet to the responding version. The responding version parses the packet using the definition placed in it just for that purpose, does the required processing, and returns the resulting information to the requesting version.

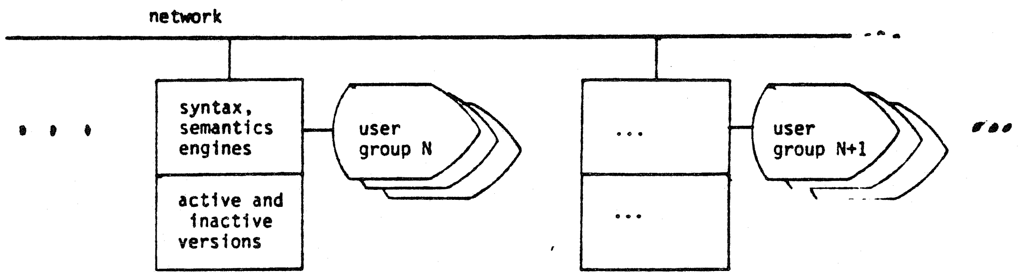
The reader should consult [Yu80] for more information interversion communication.

The "smart terminal" architectures presume that the data base processing engine is incorporated into the user's terminal, serving only that user. The data base engine must have sufficient storage capacity (possibly in several hierarchical levels) to contain an entire version. (*) Depending on the cost of the memory involved, the smart terminal may hold both the "active" version currently being processed and any inactive versions the user may have. If the memory is fairly expensive, it is assumed that only the active version resides in the smart terminal; an archival memory stores the inactive versions, which are loaded into the terminal over the network on demand. Because the smart terminal processes only a single version, any interversion communication uses the network.

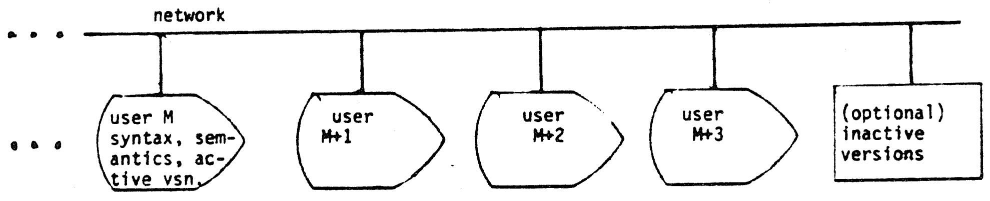
(*) In the REL system, the user organization's data are thought of as a number of data base/language pairs, each called a version. It is expected that each user would have several versions, representing perhaps different projects or areas of responsibility. This concept is similar in some respects to the conventional view of distributed data bases, but provides a different twist to the problem. [Thompson75] and [Yu80] discuss the REL view of versions at length and show how that view led to elegant solutions to the problems of distribution of control of an organization's data among many departments.



(a) Centralized



(b) Clustered


network
user M syntax, semantics, active vsn.
user M+1
user M+2
user M+3
(optional) inactive versions

(c) Smart Terminal

Figure 4-1. User Sharing Alternatives

Cost functions representative of mainframe computers are used for the central architectures, whereas minicomputer or microcomputer cost functions are used for the cluster and smart terminal architectures. Thus, it makes for an interesting analysis to see if the lower cost of manufacturing due to volume economies of scale compensate for the generally higher overhead involved in the smart terminal and clustered architectures.

4.1.2 Assumed Load

The same load profile drove every architecture studied. The profile represents a mix of functions which does not necessarily correspond to that of any particular actual user organization. Instead, it was selected to exercise several kinds of functions which any data base processor would be expected to perform, ranging from fairly trivial requests to fairly complex operations.

In all cases, the model attempted to find configurations which would produce highly interactive response times. The criterion "highly interactive" must be expressed quantitively, however, for the model to work. Thus the algorithm used required the weighted mean response time (*) to be between 1 and 15 seconds. Response times of under 1 second were taken to indicate "overkill": a configuration much too large for the user's purposes. Response times over 15 seconds seemed too long for an average response time of an interactive system.

The transaction mix used for all of the cases discussed in this chapter includes five transactions: logon, change version, simple query, simple sentence, and complex query. Code defining them can be found on page A-15.

The logon transaction represents a user beginning an interaction with the system. Processing this request requires examination of a small amount of data from a central system data base on all users to determine if the user is valid (proper password, authorized to use that terminal, etc.). In central and clustered architectures, this data resides in one of the versions on the configuration the user's terminal attaches to, and usually

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(*) The sum of the relative frequency of occurrence times the mean response time across all transaction types.

can be retrieved quickly. In smart terminal architectures, the "authorized users" data base is presumed to reside on an archival store and must be accessed via the network. 1% of all transactions are logon requests.

The <u>change version</u> transaction moves a user from one version to another and constitutes 3% of the mix. In cases where the data base processing engine can store both active and inactive versions, this function can be handled by loading a few pages of control information. However, when the data base processing engine cannot hold both active and inactive versions, then the current version must be unloaded to the archive and the new version loaded. This obviously will put a significant load on the network.

The <u>simple query</u> transaction represents the parsing of an input sentence into a request which can be satisfied by 6 record retrieval operations. Assuming that the architecture in question has a way of mapping records onto storage in a deterministic manner (such as hashing), this usually translates into six I/O events to the data base. This transaction type is taken to be typical of trivial but frequent processing requests which ask for a few items of data at a time. This transaction type could represent a bank teller cashing a check or an inventory clerk recording picking of an item. It constitutes 32% of the mix.

For this transaction type, interversion communication is equivalent to obtaining the requested record from a remote file in the cluster and smart terminal architectures. This activity is modeled as the transmission of a request across the network, retrieval of the record at the remote configuration, and return of the contents of the record over the network to the configuration which originated the request. In central architectures, interversion communication has the cost of requiring changing versions (loading version control information pages).

The <u>simple sentence</u> transaction represents parsing an input sentence into a request to do one projection operation (*) and thus could be indicative of a user in a problem-solving situation. An example of this kind of user could be a bank officer examining a customer's account for a stopped check or an order clerk trying to find that lost purchase order number. The transaction constitutes 32% of the mix.

(*) Because of its reliance on only 1-ary and 2-ary relations (called "classes" and "relations" respectively), the REL system avoids most of the complexities of the relational algebra [Thompson75]. It can easily be shown that with the addition of dummy or placeholder entities ("current entities"), 1-ary and 2-ary relations can simulate any data structure possible with n-ary relations. A consequence of this conceptual simplification in REL is that the projection operator operating against a list or a computed condition is the basic data base manipulation operator of the system.

Interversion communication has different meaning for this type of transaction. Based operations represent activities against additional versions which must occur to satisfy the request. However, since information about the based version is stored in the requesting version's dictionary, no additional parsing is required. The request must be transmitted to the based-upon version. For central and cluster architectures, this transmission requires only loading the version control pages of the based-upon version. For the smart terminal architectures, however, the request must be transmitted over the net to another smart terminal configuration which stores and processes the based-upon version. That configuration then performs the indicated projection and sends the results back to the originating smart terminal to be merged with the results of doing the same projection on data stored in the requesting version. Modeling of based interversion communication in this fashion provides the same results even if the active version is based on several different versions.

Lateral interversion communication means that information stored in the receiving version's dictionary is used to interpret the request. The interpretation process is modeled by assuming that the receiving version must parse an input sentence contained by the request packet. The receiving version then does a projection and returns the results. In central architectures, this result is available on the same media as both the requesting and receiving versions. In the cluster and smart terminal architectures, the receiving version executes on a different configuration, so the request packet and the resulting class must be transmitted on the network.

The <u>complex query</u> transaction represents use of the full facilities of an advanced data management system. Each transaction requires parsing an input sentence and performance of several "projection" like operations. Such an operation can either be a projection of extensional data (*) or expansion of the intentional data represented by a definition. A definition can expand into several projection operations and/or further definitions. Also, some of the definitions can involve lateral interversion communication. Further, since a lateral interversion communication involves parsing what is in effect a new sentence, even more work can result.

In the runs reported on in this chapter, a complex query does four projection operations in the absence of interversion communications. For each architecture, the level of based and lateral interversion communications was varied across a range of

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(*) Data stored explicitly in the version. This data may also be augmented by data explicitly stored in a based version values to test the sensitivity of the architecture to this added function.

The complex query transaction accounted for 32% of the mix.

4.1.3 Default Parameters

Each architecture model has many parameters. In order to insure that runs would be directly comparable, many of the parameters were either not varied or forced in the same way. Thus, the set of cases reported on here in no way exhausts the multi-dimensional space of possible cases.

Instead, a few parameters were investigated for each architecture in an attempt to gain some insight into the sensitivities of that architecture. The remaining parameters were given values which represent reasonable expected average values for "management information" applications.

The size of sets operated on by the relational data base operators of any architecture are given by several variables. CLASS_SIZE gives the number of items per class and was fixed at 500 for every run. #CLASSES gives the average number of classes per version, which was fixed at 50. The size of the 1-tuples in a class was fixed at 10 bytes.

To study the effect of data base size on the architectures, the parameter RELATION_SIZE, giving the average number of 2-tuples per relation attribute, was varied across a range of 500 to 500,000. The number of relations per version, #RELATIONS, was fixed at 100. All runs assume 20 bytes per 2-tuple.

The number of users was also forced across a range from 1 to 500 to study how different architectures behave in the face of widely varying size of user organizations. The degree of interversion communication was also forced across a range of values from none to a maximum of 50% of projections requiring access to an additional based version and 25% of the terms in an input sentence resulting in generating lateral queries to other versions.

For cluster and smart terminal architectures, an ethernet of at least 1 megabit/second bandwidth with length of 1 km transports packets of up to 4000 bits. In the cluster architectures, it was assumed that up to 6 terminals would share the cluster processor.

4.2 PAGING ARCHITECTURES

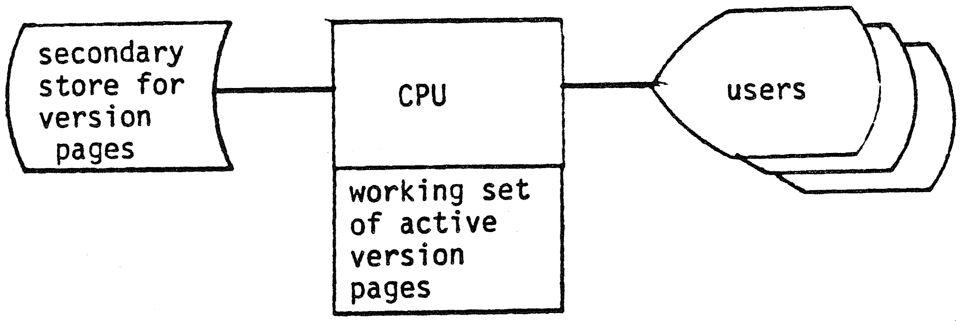
secondary store for version pages
CPU
working set of active version pages
users

Figure 4-2. Paging Architecture

4.2.1 Disk

The paged architectures, particularly those employing disk, correspond most closely to the implementation of the REL prototype system. In these architectures, the secondary storage media holds the pages of versions which are actively being processed as well as the pages of inactive versions. A conventional von-Neumann computer does all of the work of parsing and applying semantic routines. The system can be thought of much as a timesharing system, which can only operate on information in the main memory of the computer, but whose performance critically depends on moving information between the main and secondary memory.

The design of the REL prototype system took optimization of page transmission as one of its primary goals. Norton Greenfeld's thesis [Greenfeld72] showed that appropriate choice of algorithms could achieve improvements of one or even two orders of magnitude above the behavior of the paging algorithms of conventional timesharing systems by using knowledge about how the data was physically stored on pages. These algorithms depend on maintaining data in lexical order on the pages, and sorting to lexical order when needed, in order to make most operations proceed in a time which is either linear with the size of the sets to be manipulated, or is at worst n*log(n). (*)

It seemed natural to start with the REL prototype architecture of a central computer serving "dumb" terminals with disk the secondary store for several reasons. The architecture proved to be one of the simplest to model and provided a way to validate the modeling technique against measurements of an actual

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(*) Any relational data base operation can be performed in linear time if the system maintains the data base "fully inverted," with the concomitant penalty in storage. "Inversion" refers to storing a duplicate copy of all of the tuples of the relation, where the duplicate copy is ordered for efficient retrieval by one of the secondary domain types. Thus, the relation can be accessed efficiently (which usually means in sorted order) by either the key or a non-key field without resorting it on the fly. Note, however, that if a tuple is updated, all of the duplicate copies of the relation (and there might be several) must also be updated, otherwise the data base will become inconsistent.

system. Also, since disk can be expected to remain the lowest cost per bit secondary store for the foreseeable future, configurations involving disk have good potential as trial architectures.

To validate the model, a set of 62 sentences was run against the NAVY data base, which gives some 80 attributes about some 500 naval and commercial ships, under the REL prototype system as a batch job at 3:00 a.m. With no other users on Caltech's IBM 3032, the system responded to each sentence in an average of 4.1 seconds. A run of the model with the same circumstances as input (no other users, 2.5 MIPS computer, 500 tuples/relation) gave a predicted response time of 3.84 seconds: within 7% of the measured value.

While disks have increased in density, as discussed in the last chapter, their access rates, as limited by the times to physically move electromechanical assemblies, have remained fairly constant. All of the disk configurations in this thesis use present-day parameters for access rates: average seek time of 30 milliseconds, rotational speed of 3600 RPM, and track size of 10,000 characters. It was also assumed that, as today, a disk controller could have not more than 8 drives attached. Disks were operated with a 2,000 byte page size - the same as in the REL prototype system and a recommendation reported in [Greenfeld72].

Three nodes sufficed to describe the centralized paging disk architecture: the CPU, disk controller(s), and disk drive(s). Each of the nodes serves all of the users.

Retrieval of a page represents the basic operation of this type of system; all activities are modeled in terms of page retrievals and additional CPU activity. Retrieval of a page involves execution of 2,000 CPU instructions in access method routines. The time taken by the peripheral was divided between the disk drive node and the disk controller node. The disk drive node provides only the seek time, modeling each drive as an independent server with its own queue.

The disk controller node provides the rotational latency, transfer time, and queueing delays associated with freeing up the controller to main memory channel. This arrangement may seem a little unnatural because rotational delay and transfer time are properties of the drive, and data streams through the controller with a delay of perhaps only microseconds. However, it permits the model to account for the fact that a disk controller transfers data for only one drive at a time, no matter how many are connected, because it is not buffered and has a bandwidth comparable to that of the drive itself. Where in the model the delay appears is really arbitrary, as long as it is properly accounted for somewhere.

For parsing the input sentence, the model assumes that the entire dictionary must be loaded into main memory: all of its pages are transmitted. The internal parsing time is given by a constant term for initializing the parser, a term proportional to the log of the number of rules in the dictionary which accounts for the binary search on rule strings done by the REL parser, and a term proportional to the number of words in the sentence. For the typical sentences modeled, the internal parsing algorithm executes about 36,500 machine instructions. (*)

The modeled parsing time compares closely with the figure of 0.1 second parsing time on an IBM 370/135 reported by [Thompson75]. The 370/135 has approximately 0.2 MIPS, so the parsing time implied by the reference is about 20,000 instructions. The small amount of time spent in parsing by the REL prototype system thus suggests that the attention of the designer of a REL machine would be better spent on the problem of relational data base semantic routine execution.

The model of the projection operation assumes that the data for both sets in the operation is stored on disk in the same

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(*) Parsing is modeled in exactly this way for every architecture discussed in this thesis: loading of the entire dictionary into the general-purpose computing element of the configuration and execution of the same parsing algorithm.

lexical order. The projection operator thus brings in all of the pages of the relation and all of the pages of the class, producing an output set of pages with as many tuples as were in the smaller of the two input sets. This method of modeling the projection operator was used for all of the paging architectures, no matter what secondary storage medium was employed.

The cluster and smart terminal versions of the paging disk architecture view each configuration as a miniature version of the central architecture. The local configuration is modeled as the same three nodes: CPU, disk controller(s) and disk drives(s), with either one user (for the smart terminal case) or up to GROUP_SIZE users (for the cluster case). The remote configurations were modeled by aggregating all of the remote units into one set of three nodes, with more servers and more users. Thus these seemingly complex cases were modeled with only seven nodes.

Pages C-2, C-3, and C-4 show the computed cost functions for the central, cluster, and smart terminal variants of the paging disk architecture. None of the variants of the disk paging architecture could meet the 15-second response time objective for relations greater than 10,000 tuples.

As expected, the disk is the bottleneck. Since each disk drive is an independent server, any number of simultaneous users can be accommodated by adding drives. Contention for the disk proved not to be the problem, since the average queues for the disk drives and controllers were quite modest. Since any particular request can be served by only one drive, a series of requests will not be served any faster (except for reduced queue wait time) by more disks. Consequently, the problem lies in the low effective transfer rate of the disk drive itself. (*)

In order to meet the response time criterion with larger data bases, the effective transfer rate between the secondary store and the processor must somehow be increased. The remainder of this section considers other secondary store technologies which can be architected for faster transfer rates than can be achieved with disks. Other sections of this chapter consider architectures which attempt to increase the effective transfer rate between the storage system and the CPU by inserting some logic between the raw storage and the processor.

(*) The effective transfer rate of a disk drive is 33 pages, or around 66,000 bytes, per second. Only about 7% of the instantaneous transfer rate of a disk drive is thus actually utilizable because of the time taken to position the desired record under the read head. One might argue that the effective utilization of the bandwidth between the drive and the CPU is even lower, because most of the bytes on the page are irrelevant to the query.

The costs for the disk paging architectures are surprisingly low. For one or two users, the smart terminal variant proves least expensive. The cluster variant is best for between 5 and 20 users. The central architecture exhibits a striking economy of scale, however. This results from the relative underutilization of the CPU for few users. With few users, configurations require only one or two disks, so the CPU dominates system cost. As the number of users increases, more disks must be added to accommodate both their data storage and access requirements. The secondary store rises from 23% of the system cost for up to 20 users to 72% of the system cost for 500 users.

4.2.2 Magnetic Bubble Memory

Magnetic bubble domain technology has been promoted as an alternative to disk. Like disk, bubble memory retains data when powered off, making it attractive for use as a secondary storage medium. As the most prominently mentioned "gap filler" memory technology, bubbles seemed like the next candidate for investigation.

Compared to disks, bubble memories have virtually zero "seek" time, as the selection of the chip (or set of chips) to examine utilizes simple multiplexers. Bubble memories have the equivalent of rotational latency, however. With major-loop/minor-loop organization, the expected time to get the first data bit out of a chip would be one-half the minor loop time, plus one-half the major loop time. The bubble memory then transfers out data bits, but at a much slower rate than a disk.

Bubble memories have an additional advantage over disks by being "non-inertial": the effect of rotating through the memory can be started and stopped with no loss of time. Because of this, it is possible to clock a number of bubble chips in synchronism. This makes it possible to interleave the bits of a record among several bubble chips, resulting in an effective bandwidth which can be arbitrarily large.

The paged bubble memory architectures differ from the disk-based architectures discussed in the preceeding section in the characteristics of the secondary memory and how its GROW routine behaves. The GROW routine for bubble memories works by increasing the degree of interleaving needed to provide a data rate adequate to meet the response time objective. The degree of interleaving of the bubble memory doubled on every call to the grow routine, stopping only when the burst bit rate of the bubble memory exceeded that of an 8-way interleaved RAM memory 32 bits wide with 200 ns basic cycle time. (That limit was never reached.)

The bubble memory thus requires at least one chip for every degree of interleaving. After converging to the required degree of interleaving, the cost function routine would check to see if enough chips were provided to hold the requisite number of active and/or inactive versions. If not (as was invariably the case), the cost funtion calculates the proper number of chips.

Because of the expected higher cost of bubble memories, the smart terminal architecture includes an optical video disk memory on the network for archival storage of inactive versions. The OVD is assumed to have the same characteristics as a magnetic disk in terms of access time, but can store up to two orders of magnitude more bits per dollar. Each OVD has a communications microprocessor interfacing it to the network. This architecture thus has three levels of memory hierarchy.

Pages C-5, C-6, and C-7 show the cost function results for the central, cluster, and smart terminal variants on the paged bubble memory architecture. The results are most unsatisfactory, for several reasons. First, the bubble memory is more expensive per bit than disk. Second, and perhaps more disappointing, the overlapping scheme does not reduce rotational latency, which accounts for a major portion of the retrieval time. Thus, no degree of interleaving can increase the overall performance of the bubble memory by more than about a factor of two.

Consequently, the bubble memory becomes an inseparable bottleneck.

The tertiary memory scheme for the smart terminal case proved most unsatisfactory, as the time for downloading a version stretches into minutes even for small data bases. As a result, it proved impossible to keep the average time per transaction low. The times of the bubble memory on the terminal, the archival memory, and the network connecting them are roughly the same, and it would seem at first glance that overlapping them should be possible. Since the terminal's bubble memory serves only one user, there is nothing to overlap its operation. Because the network and the archival memory are shared, it is likely that successive requests to them will come from different terminals; thus they would not behave in an overlapped fashion from the point of view of one terminal.

4.2.3 CCD Memory

Charge-coupled devices (CCDs) make a very fast serial memory. Like bubble memory, CCD memory has no "seek" time. By comparison to bubble memory, however, CCD memory has a much faster "rotational" delay and transfer rate. CCD must probably be regarded as inertial, because stopping the clock for even a few milliseconds will result in loss of data. However, since CCD's are clocked electrically, it is possible to use the same interleaving scheme discussed for bubbles.

CCD memory has the disadvantage of volatility: any CCD configuration must also include some non-volatile store at least for inactive versions. For the smart terminal architecture, this non-volatile memory was provided by an optical video disk archive, as with the bubble memory cases. The cluster and central cases presented here simply ignore the issue.

Except for the characteristics of the CCD chips themselves, the CCD paging cases were modeled in exactly the same manner as the bubble memory cases. The CCD memory is assumed to have a bit rate of 10 MBz, and a smaller page size than the bubble memory, as this turns out to maximize the throughput of a CCD configuration.

Pages C-8, C-9, and C-10 show the cost function results for the CCD paged central, cluster, and smart terminal architectures. With their vastly higher bit rates, CCD memories could handle most of the cases, although at a higher price than the disk configurations. Only the most demanding cases (over 100 users and relation size over 50,000) required a degree of interleaving greater than 1.

Because of the smaller optimum block size, the CCD cases reached CPU power limitations sooner, and thus could not handle the 200,000 and 500,000 tuple relation size cases. The smaller block size means more CPU overhead in I/O. Increasing the block size might permit the CCD architectures to handle these larger relation sizes within the prescribed response time, but would not significantly reduce the cost of these configurations, because the CCD memory dominates system cost.

While the CCD memory constitutes an overwhelming proportion of the cost, the CPU actually contributes the lion's share to response time, accounting for about 80% to delays. The CCD memory based architectures could not meet the response time objectives for the largest relation sizes because of CPU time rather than because of the paging memory.

4.2.4 Electron Beam Accessed Memory

Electron Beam Accessed Memory (EBAM) utilizes stored charge to represent information. A beam of electrons, deflected by either magnetic or electrostatic means, reads out the charge. Early pre-commercial computers used a form of EBAM memory, with a density of 16 to 64 bits per tube. History records that magnetic core memory quickly outstripped EBAM, and became the basis for all first generation commercial computers.

Interest in EBAM has revived in the last few years due to two major breakthroughs: silicon targets and two-level deflection systems [Smith78]. Proposed EBAMS use a target which acts like a FAMOS EPROM, where the beam addresses the bit needed, but with only one sense amplifier circuit for the entire target. The beam goes through a coarse-resolution deflector, which addresses perhaps a 128-by-128 array of fine deflectors.

Compared with other forms of memory, EBAM offers several intriguing advantages. Bit densities are limited by beam resolution, but the storage capacitors in the silicon target can apparently be as small as minimum geometry transistors (0.25 micron diameter). The EBAM target requires no patterning, and thus could be very inexpensive to fabricate. Information in an EBAM is relatively non-volatile: as with FAMOS EPROM, data could be stored reliably for 100 years before charge leakage became a serious problem.

EBAM has the disadvantage of requiring high voltage support circuitry. Progress in commercializing the new generation of EBAM has reportedly been slowed by difficulty in manufacturing the deflection mechanisms. Assuming that both of these objections could be overcome by reasonable cost, how would EBAM fare in the REL machine?

EBAM as a disk replacement has the interesting property of having neither seek time nor rotational latency. In this sense, EBAM acts more like slow RAM, providing a bit per tube approximately every 10 microseconds, with storage of 512 megabits per tube. (*) With this density and non-volatility, the three-level stores discussed for bubble and CCD are probably not necessary. Also, since EBAM timing is electronic, it should be possible to make interleaved EBAM systems with higher effective bit rates.

With the exception of the characteristics of the EBAM secondary store, the EBAM paging architectures were modeled exactly like their disk-based cousins. EBAMs were assumed to cost $1,000 per tube, based solely on the forecast in [Smith78]. Each configuration includes an EBAM controller, which was assumed to cost exactly as much as a disk controller (for lack of any better way to estimate it).

Pages C-11, C-12, and C-13 present the cost results for the EBAM paged central, cluster, and smart terminal architectures. EBAM could be a very effective architecture, providing costs only slightly larger than disk for the smaller data base sizes, and throughput enough to provide real-time response to all but the very largest data bases through interleaving.

(*) based on the 1985 projection of [Smith78]

The principal of "equal frustration" gives one insight into why the EBAM architectures are effective. About 38% of the response time is due to the EBAM, with the rest contributed by the processor: a pretty fair balance.

[Smith78] suggests that EBAM could be used as a main memory with relatively slow cycle time but very large capacity. A later section in this chapter investigates the implications of an EBAM-main-memory architecture.

4.3 SERIAL ASSOCIATIVE ARCHITECTURES

4.3.1 Logic-Per-Head Disk

Many data base machine architectures have been proposed based on associating some logic with every "track" or "loop" of a serial secondary storage device. [Slotnick70] first explored the possibility of adding some comparison logic to the read/write logic of conventional disks and drums. This proposal led to a wide and growing literature of architectural proposals (and some experimental implementations).

The CASSM (Content Addressed Segment Sequential Memory) work of Lipoviski and Su represents one of the earliest proposals for harnessing logic-per-track systems to data base management. (*) CASSM could operate on the individual rows of a relation, marking all rows which matched a given pattern or had a given condition. These matches could then be output to a general-purpose computer for further processing. CASSM could be adapted to hierarchical data bases as well as relational data bases, but primarily served as a "filter" for selecting records which would then be processed further.

The RAP (Relational Array Processor) work of Ozkarahan _et al._ (**) took the next significant step in logic-per-track architectures, particularly those oriented to both disk and the relational model. RAP employs K comparators per track, and can compute K boolean conditions on the stored tuples in one revolution. Like CASSM, RAP is primarily concerned with marking rows of a relation that meet specified conditions, and outputting those rows to a general-purpose computer for further processing. Both RAP and CASSM deal with unordered data.

(*) CASSM first appears in the literature in [Healy72]. The literature includes several papers on the architecture of CASSM ([Copeland74a], [Lipoviski78] and [Su75]). The data manipulation language for CASSM is discussed in [Copeland74b], [Su77], and [Su78]. A performance analysis of CASSM appeared in [Chen76].

(**) See [Ozkarahan75] for an overview of RAP. [Ozkarahan76] more fully documents the concepts of the RAP project. [Schuster76] and [Ozkarahan77] discuss refinements of RAP.

The RARES (Rotating Associative RElational Store) system [Lin76] is another disk oriented design. In CASSM and RAP, tuples are arranged serially along the tracks of the disk. By contrast, RARES arranges tuples by placing the successive bytes of the tuple on adjacent tracks, so that the logic element scans a different tuple every character time. RARES uses a RAM called the response store to mark tuples which either meet the boolean condition to be computed or else are ready for output.

The CASSM, RAP, and RARES architectures are very effective at selecting the rows of a relation which meet a condition or set of conditions. Their effectiveness at computing the project and join operations, however, is limited by the number of conditions which can be evaluated at one time. CASSM searches for one condition at a time, and thus could be very slow at computing a projection of a large class against a relation. RAP computes projections and joins by using one of the K comparators per track for a tuple of the class, thus M/K passes through the relation must be made.

Noting the potentially high cost of projections and joins in RAP, [Shaw79] proposed using a content-addressable memory (CAM) as part of the logic per track. The CAMs are loaded with as many members of the smaller set as will fit. The CAM associated with each track is loaded with identical data. The serial memory then scans the larger relation, and any matches are recorded in the

CAM. It may take several cycles of loading the CAM and passing through the larger set on serial store to complete the computation.

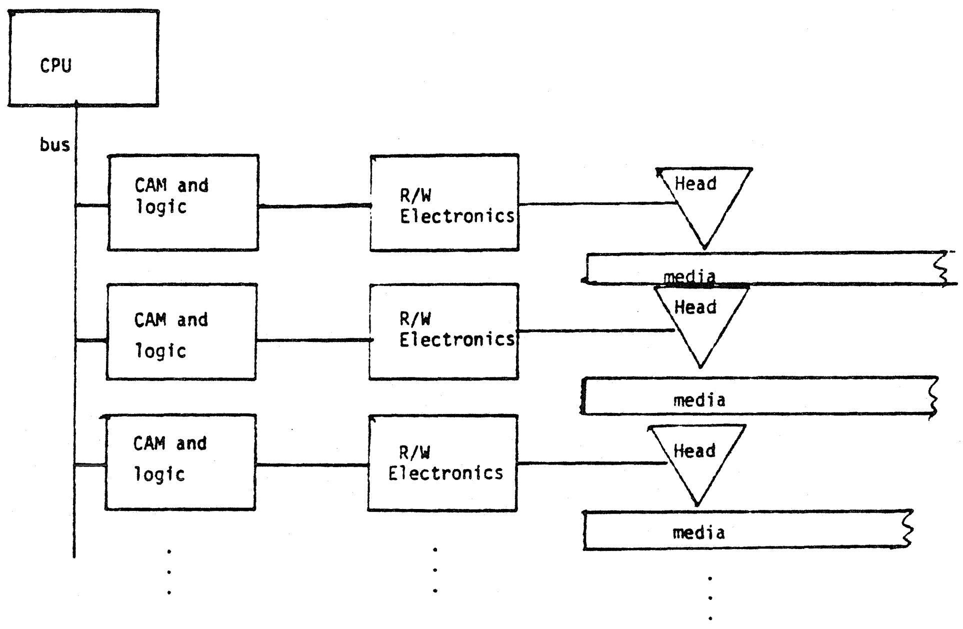

Figure 4-3. Logic Per Track Architecture

The serially associative architectures discussed in this thesis all have the form proposed by Shaw, employing a content-addressable semiconductor memory of high speed but limited capacity per track. [Locanthi77] gives a specification for such a CAM.

The disk modeled for the logic-per-head configuration assumed 20 recording surfaces (heads), the number typically configured on large-capacity disk drives today. The LPH disk drive is assumed to have a capacity of 1 billion characters, about one half of the density expected in the largest capacity drives, to account for the likelihood that LPH drives will develop further down the magnetic technology learning curve.

The HPT disk is modeled as three nodes: a "channel element," a "seek element," and a "rotating element." The rotating element models both the disk and the CAM. The time taken by this node represents the passage of data from the larger set passing under the heads and going to the CAM. The CAM is assumed to be fast enough to keep up with the data rate of the disk. The service rate of the rotating element node is expressed in terms of the burst data rate of the disk - about 1 million bytes/second per track.

The seek element accounts for head motion. It has a service rate commensurate with ordinary disks. The PAGE_SIZE variable is set at the number of bytes on a cylinder, which forces the model to calculate the appropriate number of seeks from the size of the relations.

The channel element accounts for reading matched tuples out of the CAMS and into the host computer. The channel element corresponds to the logic in the HPT disk drive which resolves contention between CAMS for use of the bus to the HPT controller, and then on to the host.

The HPT disk can be used as an ordinary disk with no reduction of throughput. The modeled cases assume that accesses to individual records and/or pages would be performed by access methods identical to those used for ordinary disks. It could be, however, that efficient organization of physical space on the disk for the projection operation might be sufficiently different from that appropriate for efficient single-record retrieval that any particular file would have to be organized one way or the other. If such a disparity in file organizations became extreme, it could greatly decrease the effectiveness of the HPT architectures if retrieval of single records required scanning entire files.

Projections are modeled by a fairly complex interaction of the seek, rotating, and channel elements. First, the number of passes through the relation required is computed by finding the cardinality of the smaller of the two sets and dividing it by the size of the CAM in tuples (any fraction is rounded up to the next integer). The CAMS must be loaded anew for each pass. The CAM for each track of the disk will be loaded with an identical subset of the tuples of the smaller set. The CAM loading operation requires a seek, a rotational latency, a page transfer time, and an interrupt to the host CPU.

Next, the larger set is passed through the logic elements. The model computes the number of cylinders occupied by the larger set, and multiplies by the number of passes required to obtain the total number of cylinders of data which must pass under the logic elements. For each cylinder passed by the logic elements, a seek, rotational latency, and full track revolution time are required. At the end of the cylinder operation, the LPT drive generates an interrupt to the host CPU.

Matches are output to the central processor. If the projection in progress produces a partial result to a query, that partial result must be written back to the disk. This write-back operation occurs as ordinary page-by-page writes to the disk.

If either the seek element or the rotating element proves to be the worst delay node, the model first tries to decrease the number of passes required by increasing the capacity of the CAM. Because the CAM is a high cost per bit semiconductor logic/memory system, increasing the size of the CAM can increase the cost of the LPT drive fairly rapidly. The model will not try to expand the CAM, however, if it is already larger than the smaller set, because this would not reduce the number of passes through the larger set (which is identically 1 for this size CAM). Instead, the number of LPT drives in the configuration will be increased.

If the channel element becomes the limiting node, the model will add another channel element, up to a total of 16 LPT controllers. If the CPU is the limiting node, it will be increased in processing power.

Pages C-17, C-18, and C-19 give results for the disk logic-per-head device applied to central, cluster, and smart terminal architectures. The smart terminal variant is least costly for one user, the cluster variant for 2 to 10 users, and the central variant for 20 or more users for all of the tested range of data base size. The LPH disk architectures appear able to cover the range of requirements with very low costs.

4.3.2 Bubble and CCD Logic-Per-Track

The concept of logic-per-track architecture applies to any serial storage medium, and most of the literature discusses these architectures without binding the implementation of the serial store to disk, bubble, or CCD memory. However, the actual choice of the sequential medium has significant implications for the cost and performance of the resulting configuration.

Bubble and CCD memories offer several architectural alternatives which cannot be recognized in disk for logic-per-track systems. The design of the disk drive fixes the number of tracks. Further, because each drive in a string has its own motor and electromechanical access mechanism, it is generally not possible to synchronize disks closely enough to allow interleaved transfer of a block from several disks at once. However, with bubble and CCD memories, these architectural alternatives must be considered.

The bubble- and CCD-based logic-per-track architectures modeled here use the same fundamental organization as the disk logic-per-head model previously discussed. A relatively small but fast CAM stores the tuples of the smaller of the two sets which participate in a project operation, and the tuples of the larger set are passed by the CAM from the larger serial store.

However, the serial memory organization can be tailored by the architect to a much greater degree than is possible for disk. First, the degree of interleaving can be increased to provide a faster effective transfer rate. Secondly, the number of "tracks" can be set to any value, as opposed to the fixed number of recording surfaces of the disk. Finally, the capacity CAM can be set, as discussed for the LPT disk architectures.

The bubble and CCD memories are thought of as being organized into a number of tracks, each with an associated logic element which includes a CAM. Much of the literature on bubble and CCD logic-per-track architectures assumes that the serial loop is large enough to contain 1/#TRACKS of the data base, which leads to unreasonably long loop times in practice. The cases modeled here assume that each "track" is stored in a "major loop/minor loop" form, where major loops are addressed by conventional semiconductor logic multiplexers, and minor loops are implemented by the serial technology.

This organization permits the multiplexers to perform the same function as the access mechanism of the disk, effectively defining a "cylinder" as the same minor loop address in each "track." As with disk, single records within a track can be accessed in rotational latency plus transfer time. Similarly, the time to access the first record of a set for the projection operation is on the average one half the minor loop time, rather than one half the time to shift through 1/#TRACKS of the entire data base. Unlike disk, the effective seek time is a matter of nanoseconds rather than milliseconds; therefore the bubble and CCD cases were modeled without the "seek element" used for the disk cases.

Both projections and single record retrievals are modeled for bubble and CCD logic-per-track architectures in much the same manner as for disk. For single record retrievals, the appropriate cylinder will be addressed electronically in negligible time, then rotational delay and transfer time are accumulated. For projection operations, the model computes the number of passes required through the smaller set based on the capacity of the CAM. The CAM is loaded by accessing the smaller relation, then the larger relation is passed under the CAM. The CAM of each track operates on the identical subset of the tuples of the smaller relation, but on disjoint subsets of the tuples of the larger relation.

The rules for growing bubble and CCD logic-per-track configurations differ from those for disk. First, the degree of interleaving is set so that the data rates of the serial memory and the CAM match. For CCD memories this means no interleaving because of the extremely fast data rate of the CCD chips. The number of tracks starts at one the capacity of the CAM at 16 tuples. If the logic-per-track memory becomes the worst delay node, the model will first attempt to decrease the number of passes through the data base by increasing the size of the CAM. When the CAM becomes large enough to hold the smaller set in its entirety, then further expansion of the CAM will do no good, so the model then increases the number of tracks.

Increasing either the number of tracks or the size of the CAM has the effect of increasing the number of comparisons done in parallel, which is proportional to the product of the size of the CAM times the number of tracks. Increasing either parameter will have the same impact on the cost of the configuration, as it increases the amount of CAM in the configuration but does not increase the amount of serial memory.

In many of the runs, a seemingly strange condition developed where the logic-per-track memory seemed to contribute little to response time while the CPU ran to saturation. This seemingly odd effect results from the generation of an interrupt at the end of each "cylinder" in the projection operation. To resolve this problem, the grow routines for these configurations must sometimes expand the number of tracks or the CAM capacity in order to reduce CPU utilization. Recognition of this problem and developing proper heuristics for the grow routines proved to be the major difficulty in modeling these configurations.

The heuristic which proved successful works as follows: when the model reports that the CPU is the worst delay node, the grow routine for the CPU node first computes what fraction of the CPU time is spent responding to interrupts for the projection process. If this fraction exceeds 40%, then the CPU node grow code simply branches to the logic-per-track grow code and does not expand the CPU at all.

Pages C-20, C-21, and C-22 show the cost function results for bubble-based logic-per-track memories for central, cluster, and smart terminal architectures respectively. Pages C-23, C-24, and C-25 show the cost function results for CCD based logic-per-track central, cluster, and smart terminal architectures respectively. The smart-terminal architectures for both bubble and CCD assume no archive. None of the CCD architectures address the need for the volatile contents of the CCD memory to be backed up by a non-volatile device.

In general, the bubble cases are all more expensive than their disk counterparts, and the CCD cases more expensive than the bubble counterparts. The increased expense reflects the fact that the cost of the serial memory dominates the cost of these architectures.

4.4 DISTRIBUTIVE FUNCTION ARCHITECTURES

Every database machine architecture can be characterized by a "grain size," or ratio of the size of the basic memory and logic element to the size of the entire system. The paging architectures exhibit a very large grain size, where the entire secondary memory and entire processing element are connected by a relatively small number of channels. The serial associative architectures of the previous section exhibit a fairly small grain size, with relatively limited processing function (although a great deal of power in terms of bit rate) is matched by one track of serial store.

Other grain sizes can be envisioned. At the other extreme from the paging architectures, an architecture based on keeping the entire active version in a content-addressable semiconductor memory could be devised, with extremely high throughput and also extremely high cost for even modestly large data bases.

By contrast, this section contemplates architectures with fairly large grain size, that is, with a relatively large amount of logic, in the form of a microprocessor, associated with a fairly large amount of memory, in the form of a disk drive. A bus of some sort interconnects the microprocessors into a distributed function architecture which acts as a MIMD (*) multiprocessing environment.

Many systems of this character exist today, with widely varying degrees of cooperation between processors. The ETHERNET system represents a case where the processors cooperate only to the extent provided by applications-level programming

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(*) Multiple Instruction Streams, Multiple Data streams.

[Metcalfe75]. More intimate cooperation, to the point of providing a distributed operating system which appears as a single entity to the user, can be found in the Tandem Guardian operating system and supporting hardware [Tandem76].

How would a multi-microprocessor system be architected as a REL machine? Obviously, computing relational database semantic operators should be the focus of the discussion, for we have already seen that parsing input sentences is not the problem.

Just as with the serial-associative architectures, assume that the distributed function architecture will be designed for relational data base semantic operators, and act as a "back end" to a general purpose computer which will handle parsing and I/O with the user. As with the architectures of the last section, a CHANNEL node connects the special-purpose relational data base "engine" with the general-purpose HOST computer.

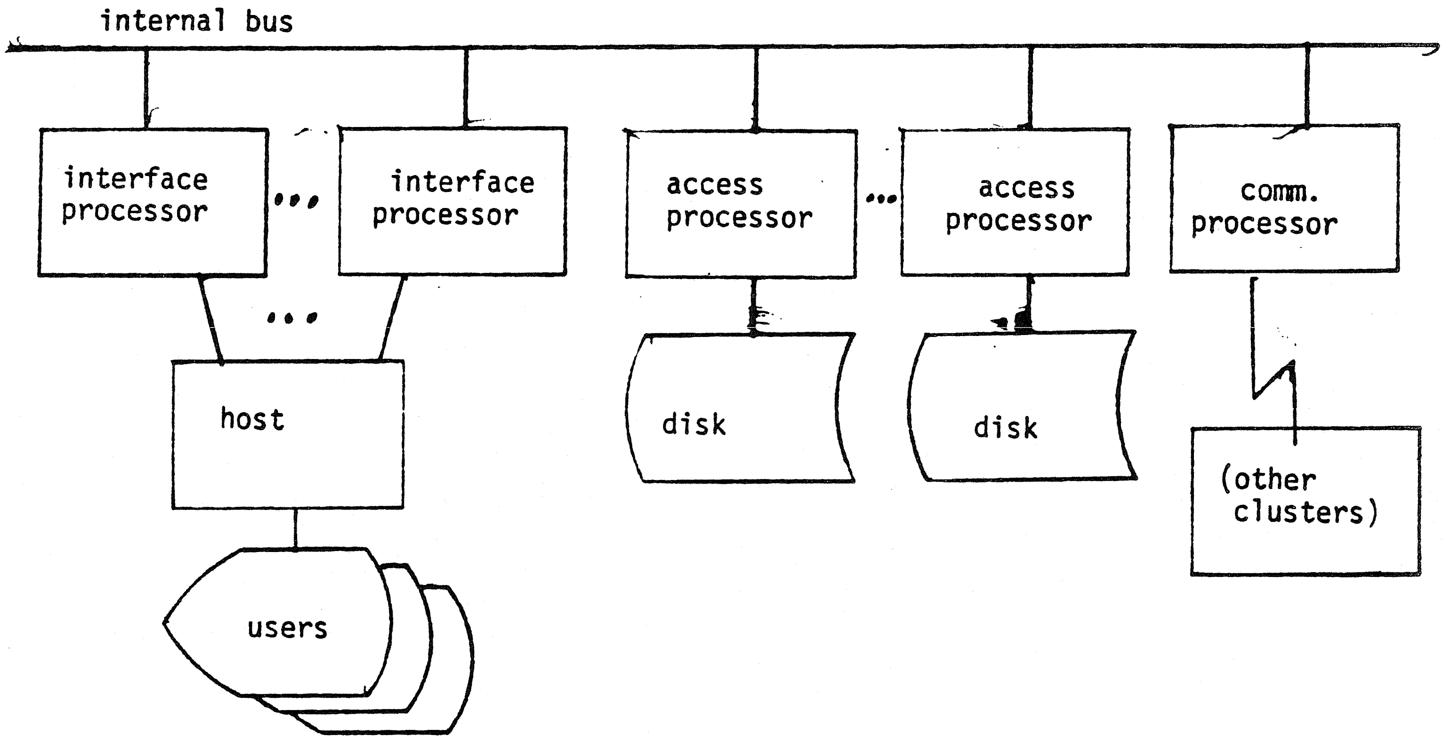

Figure 4-4. Distributed Function Architecture

The special purpose engine consists of two kinds of processors: INTERFACE PROCESSORS which correct to the host computer, and ACCESS PROCESSORS which provide the logic to associate with one secondary memory (disk) unit. A BUS connects the processors in the configuration. To model the disk drive, a DISK CONTROLLER node accounts for rotational latency and transfer time, while a DISK ARM node accounts for seek time. (*)

To realize the parallelism inherent in this architecture, each access processor should store a portion of the data base, arranged such that a roughly equal portion of each relation resides on each access processor. This distribution of data has the same motivation as for the serial-associative architectures, where all of the CAMS should be kept busy for maximum throughput.

The required distribution of data to access processors could be achieved in a variety of ways. A hashing algorithm where the hash buckets correspond to access processors would be one of the simplest such algorithms. An algorithm based on key value ranges with a table of assignments of key ranges to processors would be equally suitable. In practice, any algorithm for mapping records onto processors which evenly distributes the tuples of a relation among access processors and is deterministic and easy to compute will suffice.

(*) This technique for modeling disk drives was discussed fully in Section 3.2.1.

Models of the distributed function architectures employ several nodes. The host is modeled as a uniprocessor, with mainframe cost functions for the central variant and minicomputer cost functions for the cluster and smart terminal variants. The host processor handles all I/O to the user's CRT and parses input sentences. Each retrieval or projection operation starts with a request generated by the host.

Requests from the host and responses from the back end flow over the channel. Each interface processor is assumed to have its own channel, with a bandwidth of one megabyte per second.

Interface and access processors are modeled as microcomputers. (*) The access processor is assumed to execute the same number of instructions for each kind of activity as were assumed for the disk paging architectures. (Remember that each access processor has only 1/Nth of the data for any relation, however). The models assume one disk drive per access processor.

(*) [DeWitt83] discusses a somewhat similar architecture known as the DIRECT system. DIRECT utilized minicomputers as the processing elements: a single VAX 11/750 for the interface processor and up to eight PDP 11/23's as access module processors. There are other differences in the allocation of function and the interprocessor interconnect schemes between DIRECT and the case discussed here.

The cluster and smart terminal variants on the architecture include a set of nodes for the local bus, access processors, and disks. In addition, the remote busses, access processors, and disks have a set of nodes to represent them in aggregate. Because of the functionality available in a microprocessor, the models assumed that both the local and remote back end engines would have a microprocessor on their respective busses dedicated to interfacing with the ETHERNET. Offloading the network interface task from the host processor was not possible in any of the other architectures because of the limited intelligence of the components.

The operation of the distributed architecture back end can best be illustrated by describing the flows for retrieving a record and performing a projection. A request for retrieval of a single record originates in the host processor and is communicated to the back end over the channel where it is fielded by an interface processor. The interface processor interprets the request and communicates it over the internal bus to the appropriate access processor. (*) The access processor then retrieves the record from disk in ordinary fashion, by reading in

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(*) The record mapping algorithm must be deterministic because it would otherwise be necessary to communicate every single record request to every access processor, causing the system to do N times the amount of work actually required.

the entire block which contains the record. Software extracts the relevant bytes of the logical record requested, which is forwarded back to the interface processor via the bus and thence to the host via the channel.

Because the access processor extracts only the relevant bytes from the larger physical block on secondary store, the architecture utilizes considerably less bandwidth on the internal bus than is required between the processor and secondary store. By contrast, some other architectures result in passing considerable data, much of which is not relevant, to the processing elements.

The projection operation takes advantage of this property to an even greater degree. A projection operation similarly originates in the host and is communicated over the channel to an interface processor. The interface processor broadcasts the projection request over the bus to all of the access processors simultaneously.

Each access processor does the projection operation on 1/Nth of the data. As with the paging architectures, assume that relations (in this case, portions of relations) are stored on disk in key sequence. If the projection is on the primary domain (key field) of the relation, then the data mapping algorithm guarantees that tuples of the class and of the relation which could have matches will already be mapped onto the same processors.

If the projection operation is on a non-key domain, then tuples of the class will in general be in different access processors from the tuples of the relation which they will match. The relation must be resorted on the requested domain and redistributed via the bus under the mapping algorithm before the projection operation can be performed as for the previous case. Alternately, the tuples of the relation could have been stored in inverted form. Note inversion would make it possible to compute any desired projection without sorting; however, it also implies that a tuple and its inverted copy will generally map onto different access processors.

To complete a projection operation, the access processors could output their partial results via the bus to the interface processor, which would merge them and forward them to the host. Alternately, the access processors could store their partial results back on their disks for use in a subsequent step of processing a multi-projection sentence. The latter possibility could greatly reduce the requirements for bus bandwidth.

The models of distributed architectures start out with a single 0.5 MIPS host computer, which grows by 0.5 MIPS increments as needed. Each configuration starts with a single channel and interface processor. If either the channel or the interface processor must be expanded, the model adds another interface processor - channel pair.

Configurations start with two access processors and disks. If the access processor node (*) becomes the worst delay node, the model increases the number of access processors and associated disks by 10% rounded up to the nearest integer. The model permits the number of access processors to grow without limit; however, increasing the number of processors also increases the modeled time for a processor to acquire the bus and begin to transmit a packet.

When a configuration has converged to the response time criterion, the cost function for the distributed architecture picks the appropriate capacity disk. The program first tries to see if the entire data base will fit on 5-inch disks of 20 megabyte capacity at one drive per access processor. Next, the program checks to see if 8-inch drives of 100 megabyte capacity

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(*) Remember that this QUEUETYPE=1 node models all of the access processors.

will be adequate; next come 14-inch drives of 500 megabytes; finally 2 gigabyte large-capacity drives are assumed. If the largest drives cannot accommodate the data base at one drive per access processor, then the program increases the number of access processors and 2 gigabyte drives until the configuration can accommodate the data base. After thus adjusting the cost of the disk and also perhaps the number of access processors, the program computes the cost of the configuration.

Configurations start out with a bus one byte wide with a cycle time of 150 nanoseconds. If the bus becomes the worst delay node, the bandwidth of the bus grows by increasing the number of data wires, up to a limit of 16 bytes wide. Increasing the bus width also increases the cost of each processor because it requires more bus drivers.

Pages C-14, C-15, and C-16 give cost function results for the disk distributed architectures in central, cluster, and smart terminal variants. Just as the paging architectures could be operated with other forms of secondary memory, the distributed architecture could also be defined with bubble, CCD, or EBAM as the secondary store. However, the results from the paging architectures suggested that with the lowest cost per hit, the disk-based cases would be the most interesting candidates for study.

In general, the smart terminal variant on the architecture proved better than the cluster or central variants only for one user. The cluster approach seems better for only two to five users; above that point the central architecture proves most cost effective. This is to say that one central configuration is always superior where communication with the terminals is well optimized.

4.5 ONE-LEVEL STORE ARCHITECTURES

4.5.1 RAM

The architectures considered to this point employ either two or three hierarchical levels of memory and logic for processing active versions. The design complexity of all of those architectures resulted from the need to manage the flow of information between levels of the memory/logic hierarchy.

Some of the experimental natural language systems treat memory as if only a single hierarchy existed. The addressing mechanisms of the hardware and paging mechanisms of timesharing operating systems encourage this view. Unfortunately, the mapping of data onto the secondary store may not be favorable, which partially accounts for the slow performance of many of these systems for even modest sized data bases.

The MULTICS operating system represented one of the first attempts to provide the appearance of a one-level store. A MULTICS program issues address references to segments which may be resident either in main memory or on the paging device (which is typically disk), and the operating system resolves references to segments which are not in main memory by suspending the requestor until the segment is brought in from the disk. MULTICS treats a disk file as a special case of a segment.

The IBM System/38 [IBM78] represents the next step in one-level store architectures. System/38 programs issue 48-bit addresses, and do not know whether the requested byte was brought up from cache, main store, or disk.

From a performance standpoint, there would be no difference between the model of a disk/RAM one-level store and the disk paging architectures discussed early in this chapter, but only if both adopted the same paging algorithms. As discussed by [Greenfeld72], however, paging algorithms typically employed in such systems do not employ a strategy for locking crucial pages in real memory, and thus result in more paging than the optimized algorithms assumed for the paging architecture cases. Thus, the reader is referred to Section 4.2 for a discussion of the best case for architectures where a one-level store is simulated with a fast main memory and a slower secondary store.

The simplest true one-level store is a RAM large enough to contain an entire active version. The primary advantage of such an architecture would be to simplify the programming of an advanced data management system by eliminating the need to choose data structures for efficient use of the secondary store. The simple list or ring structures which characterize some of the early and/or experimental natural language systems could be used in this kind of system with impunity from thrashing.

The model used here of an all-RAM one level store assumes that the RAM must store only active versions. Inactive versions are brought in from disk on demand. All of the flows were modeled as for the disk paging architecture, with two exceptions. The "change version" request means that the entire current active version must be copied out to disk and the new version read in. In all other requests, the software overhead involved in dealing with peripherals has been eliminated.

Pages C-29, C-30, and C-31 present the results for all RAM single-level store systems for central, cluster, and smart-terminal architectures. As the cost functions show, as nice an idea as the all-RAM single level store may be for the software implementer, the cost will be prohibitive compared to the more complicated approaches discussed previously.

4.5.2 EBAM

EBAM was the original main memory of the earliest computers. [Smith78] has proposed that EBAM could make a comeback as a main memory because it is random-access in nature. Many EBAM tubes can be interleaved to create a memory with respectably high throughput, and if combined with a semiconductor cache memory, EBAM could make a very high capacity main memory. What, though, are the consequences of such a memory?

For one thing, the high degree of interleaving and long latency time compared to the speed of the processor (10 to 100 instruction times or more) mean that the EBAM would be best used with a wide cache wordsize and heavily favor algorithms which access data linearly. Fortunately, the projection operation has these characteristics.

With projection viewed as a linear scan through ordered sets, the flow of information between the EBAM and the cache would be just the same as in the EBAM paging case of flow betwen the EBAM and main memory. The major difference would be that the cache hardware would manage transfer of pages between the EBAM and semiconductor memory without generating interrupts for the CPU or requiring a complex access method. Consequently, the performance of the EBAM single-level store architecture will closely resemble that of the paging architecture, but with lower CPU utilization.

Pages C-26, C-27, and C-28 show the cost function results of the EBAM single-level store architectures for central, cluster, and smart terminal variants. These runs were made by simple modification of the programs for EBAM paging architectures, where the CPU overhead per page was reduced from the 2,000 instructions appropriate to an access method execution to 100 instructions which would be more characteristic of setting internal memory mapping registers.

In all cases, the EBAM single-level memory cases are equal to or less expensive than their paging counterparts due to lower CPU costs. EBAM costs are identical.

CHAPTER 5 DISCUSSION OF THE RESULTS

5.1 INTRODUCTION

Before turning to the results of the study, it is worth considering what the "best architecture" means. Ideally, one architecture would be superior to all others for every combination of forcing parameters (*) conceivable. In the terms of this study, "superior" means lowest cost for the fixed level of response time.

Unfortunately, the data generated by this inquiry do not lead to such a clear-cut result. Instead, we find, not unexpectedly, that different architectures are optimal for different regions in the multi-dimensional space of organizational requirements. However, several general conclusions can be drawn: these are discussed in the ensuing sections.

Since there is no single optimal architecture for all cases, the definition of "best architecture" becomes perforce more difficult. The best architecture, under a weaker definition, would be optimum or reasonably close to optimum for more of the space of user requirements than any other architecture. It is

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(*) see Figure 2-5.

this weaker criteria that will be used in arguing the conclusions of this chapter.

5.2 PARSING IS NOT THE PROBLEM

Almost all of the literature on the problem of advanced data management systems anticipates that the implementation of semantic operators will be more important than implementation of syntactic analysis (parsing). (*) The results of all thirty cases presented herein generally confirm this notion for all of the architectures studied. However, there are some important caveats to over-generalizing this conclusion.

Most of the literature which concludes that parsing is not the main problem considers the richer lingusitic aspects of advanced data management systems. Another way of saying this is that it is typically assumed that the _simple_ _sentence_ or _complex_ _query_ transactions dominate the workload. Indeed, this is the case in the present study. As the sample result on Page B-6 shows, parsing accounted for 12% or less of the response time for the _simple_ _sentence_ transaction and 4% or less of the response time for the _complex_ _query_ transaction. Further, this relative contribution drops dramatically with increased typical relation size. (**)

(*) See, for example, [Smith79] or [Thompson75].
(**) The output shown in Appendix B assumes 5,000 tuples per relation: a relatively modest value.

However, the <u>simple</u> <u>query</u> transaction is a glaring exception, in which parsing typically accounted for 75% (or more) of the overall response time. This result must be expected to follow from the premise that just because the semantic operators involved are simpler, the task of analyzing the input sentence to determine which semantic operators to apply to which parts of the data base is no easier.

The foregoing observation has several implications for advanced data management systems. First, the promoters of such systems must recognize that they are not likely to perform as well as third generation (*) systems on simple, single row inquiry and update operations because of the overhead of parsing. Advanced systems offer a high degree of personnel productivity for such operations, however, because each input sentence is equivalent to a simple, but nonetheless exacting, program of perhaps 10 to 100 lines in an algorithmic language such as COBOL.

(*) See Figure 1-1.

A more severe problem lies in that data must initially be entered into any system, including a fourth generation system, typically through a large series of simple individual update transactions. This initial data load (*) thus may have a time penalty of about a factor of four when compared to present-day systems.

The solution to both problems lies in providing mechanisms by which the parsing effort can be amortized over a large number of simple cases. The results of the parsing operation must be saved in some fashion and executed repeatedly with different values instantiated for the variables.

Historically, this problem has been solved in one of two ways: by compilation or by an special facility. Compilation has the advantage that the same language which is customarily used in an interactive mode can be used to specify the operations, but the disadvantage of greater overhead associated with each parsing operation. (**)

(*) which may be part of a periodic (daily, weekly, or monthly) operationing routine

(**) See [Astrahan76] for a discussion of this approach in IBM's System/R prototype.

The REL Bulk Data Entry facility [reference??] exemplifies the second approach. The approach achieves similar efficiencies in that the data descriptors need be parsed only once, and the resulting semantic graph can be executed for each input record. In this approach, a sentence is permitted to contain variable words, and a list of constants can be input from a separate file. The sentence is parsed with the variable words only once, and the values in the constant list instantiated each time the resulting semantic graph was executed, until the constant list is exhausted.

Another alternative to either compilation or to a special facility would be to provide a cacheing mechanism for semantic graphs. The cache would record input sentences and resulting semantic graphs, and use the cached semantic graph whenever the same user input the same sentence. This approach would be particularly effective in combination with the variable list instantiation technique discussed above.

A sentence cache would be effective assuming that the same user often input the same sentence, as would be the case with variable list instantiation in an initial data entry situation. If the user were inputting a series of different sentences, the personnel productivity argument advanced above would tend to indicate that the users should not be concerned about the larger parsing time of an advanced data management system compared to a less functionally rich system. The sentence cache has the problem that any change in database structures could invalidate some, none, or all of the semantics graphs which are cached. (*) The question of the proper policies for "spoiling" the cache is an interesting trade-off, which will not be considered here.

Most of the foregoing considerations for simple transactions apply generically to all of the architectures discussed, in that all were modeled with very similar assumptions for the mechanization of the parsing process. One opportunity for difference lies in the opportunity to process a number of simple transactions in parallel. As the execution of simple transactions is likely to be compute bound, this will favor architectures which can make maximum utilization of secondary storage access rates. The paging and serial-associative architectures (**) suffer in this regard because it is relatively expensive to configure few (one or two) secondary storage units per controller. The distributed function architecture (***) seems particularly well suited to this requirement, however.

(*) [Astrahan76] discusses similar problems for compiled transactions.

(**) see Sections 4.2 and 4.3 respectively.

(***) see Section 4.4.

5.3 USER SHARING ALTERNATIVES

Chapter 4 raised the question of how many users should a configuration serve: one, a few, or all -- corresponding to the smart terminal, clustered, and centralized variants on each major architecture. Figure 5-1 shows typical results for this comparison. A major finding of this study is that the relationship between the three variants remains much the same, despite major differences in the underlying basic architecture.

For a relatively large number of active users, a centralized approach is least costly. The clustered approach proved better than the smart terminal approach even for very small user populations. In general, more demanding organizational requirements (*) lead to the centralized approach becoming less costly than the others for a smaller number of users.

The major cost disadvantage of the smart terminal approach stems from the relatively low utilization of computational and storage resources. Since the cases were defined to have at worst an average response time of 15 seconds, and an arrival rate of one request per user every 60 seconds was assumed, the highest utilization possible for these cases is 25%. This utilization

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(*) typical relation size, rate of lateral and based operations, etc.

disadvantage, even when weighed against manufacturing economies of scale, still results in the conclusion that the heavy resource demands of advanced data management systems will be most economically met by shared configurations for the foreseeable future.

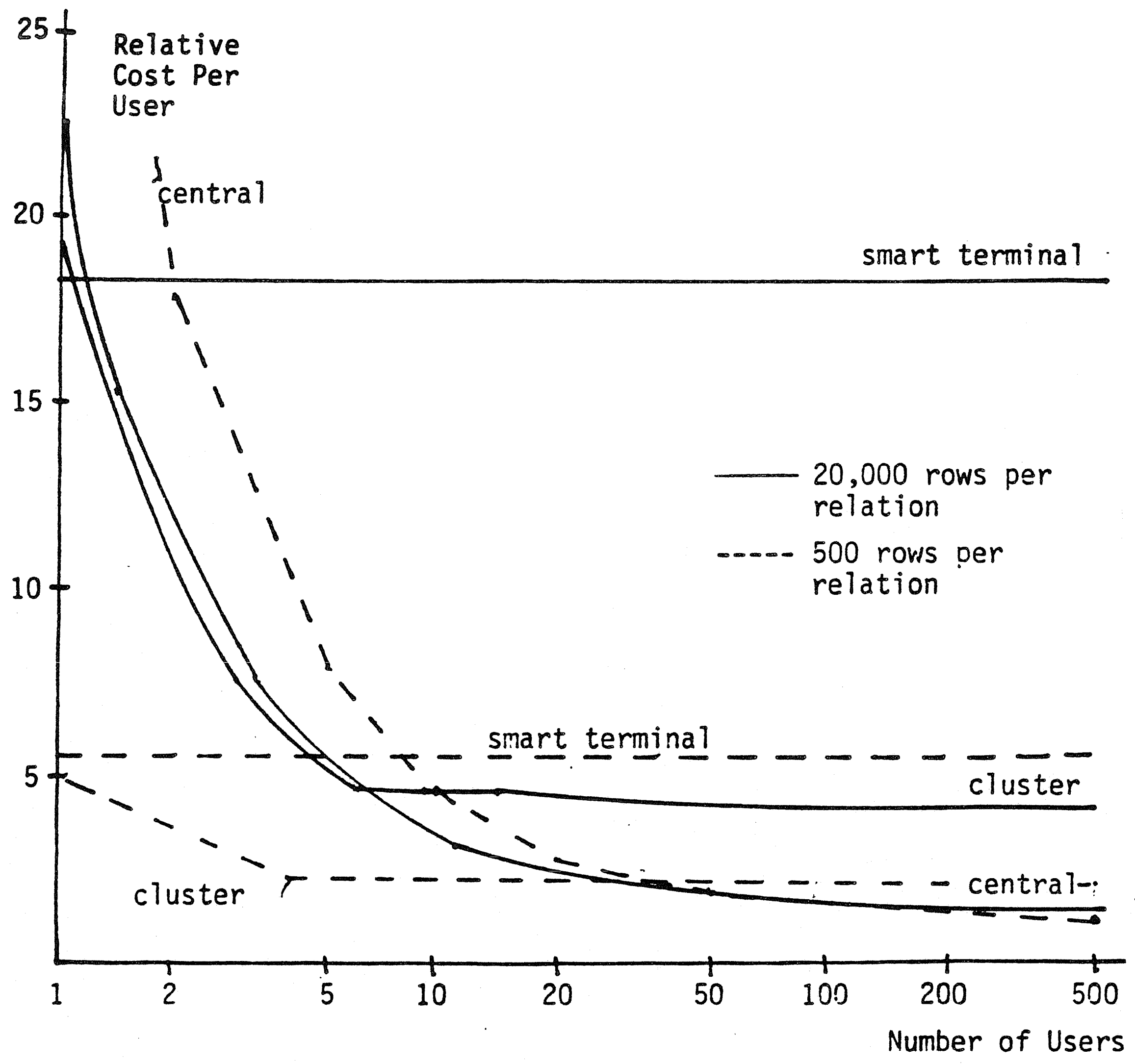

Figure 5-1. Relative Cost of Sharing Alternatives

Another problem with the smart terminal and clustered variants is the network bandwidth required when a large number of users share the network, and based and lateral operations on large classes or relations are common. Figure 5-2 shows the bandwith requirements for a communities of 100 and 500 users under both the smart terminal and clustered regemes as a function of the relation size.

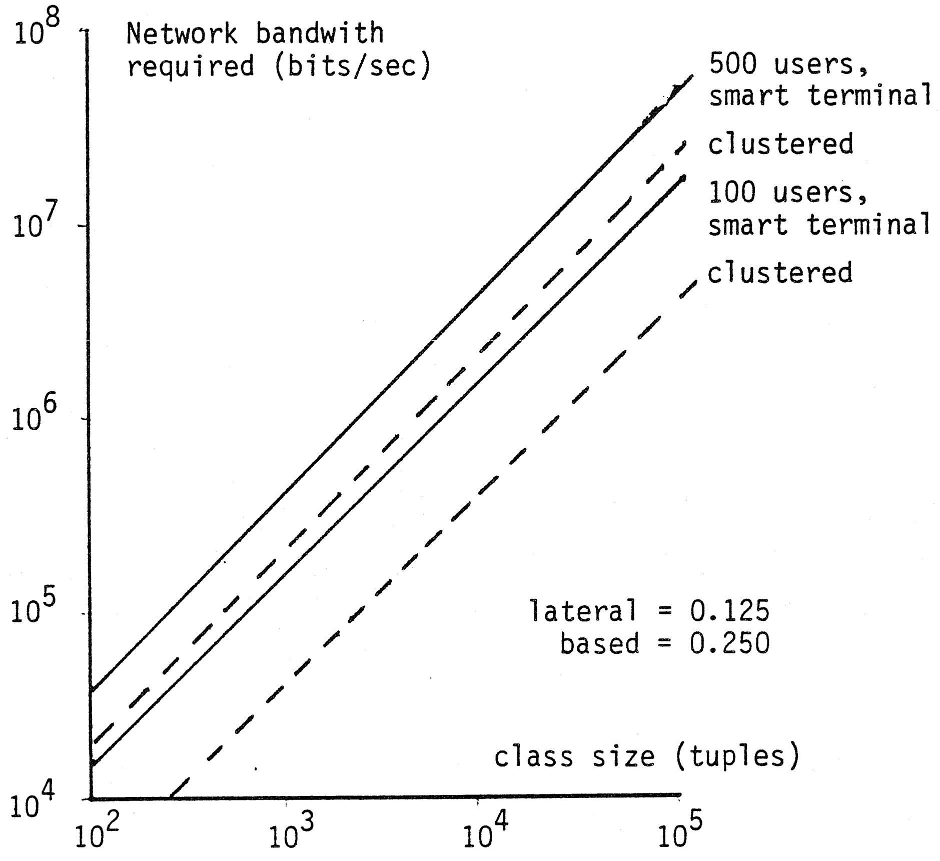

Figure 5-2. Network Bandwidth Requirements

5.4 MEMORY TECHNOLOGY

As [Greenfield72] observes, the time to transmit pages between levels of storage hierarchy represents a major contributor to response time. In the paged architectures, it is usually the dominant factor in response time. One of the objectives of this study was to quantify the impact of alternative memory technologies on the performance and cost of advanced data management systems.

Based on the cost model of Chapter 3, Figure 5-3 summarizes the per bit cost of the memory technologies considered.

Figure 5-3. Memory Technology Cost Forecast

Technology	Capacity (bits)	Subsystem Cost (UMC)	Cost (milli-cents/bit)
Largest disks	8.0 E9	$8,000	0.10
14" OEM disks	1.6 E9	$3,000	0.19
8" OEM disks	6.4 E8	$1,000	0.16
5.25" OEM disks	1.6 E8	$ 300	0.19
EBAM	5.2 E8	$1,000	0.20
Bubble	8.0 E6	$ 300	3.75
CCD	8.0 E6	$ 525	6.56
RAM	8.0 E6	$1,500	18.75

Figures 5-4 and 5-5 illustrate the impact of the various memory technologies on performance. In Figure 5-4, the y-intercept represents the latency of the device, including the CPU time to service the page request; the slope represents the transfer rate. Disk has a high latency and high transfer rate. Bubble has a more modest latency, but a relatively low transfer rate: thus bubble memory would seem to be optimized by a smaller page size. EBAM has essentially no latency other than software overhead, but a modest transfer rate. CCD is so fast that the latency is minimal and the transfer rate more than comparable to disk. The transfer rates of bubble, CCD, and EBAM can be improved by interleaving.

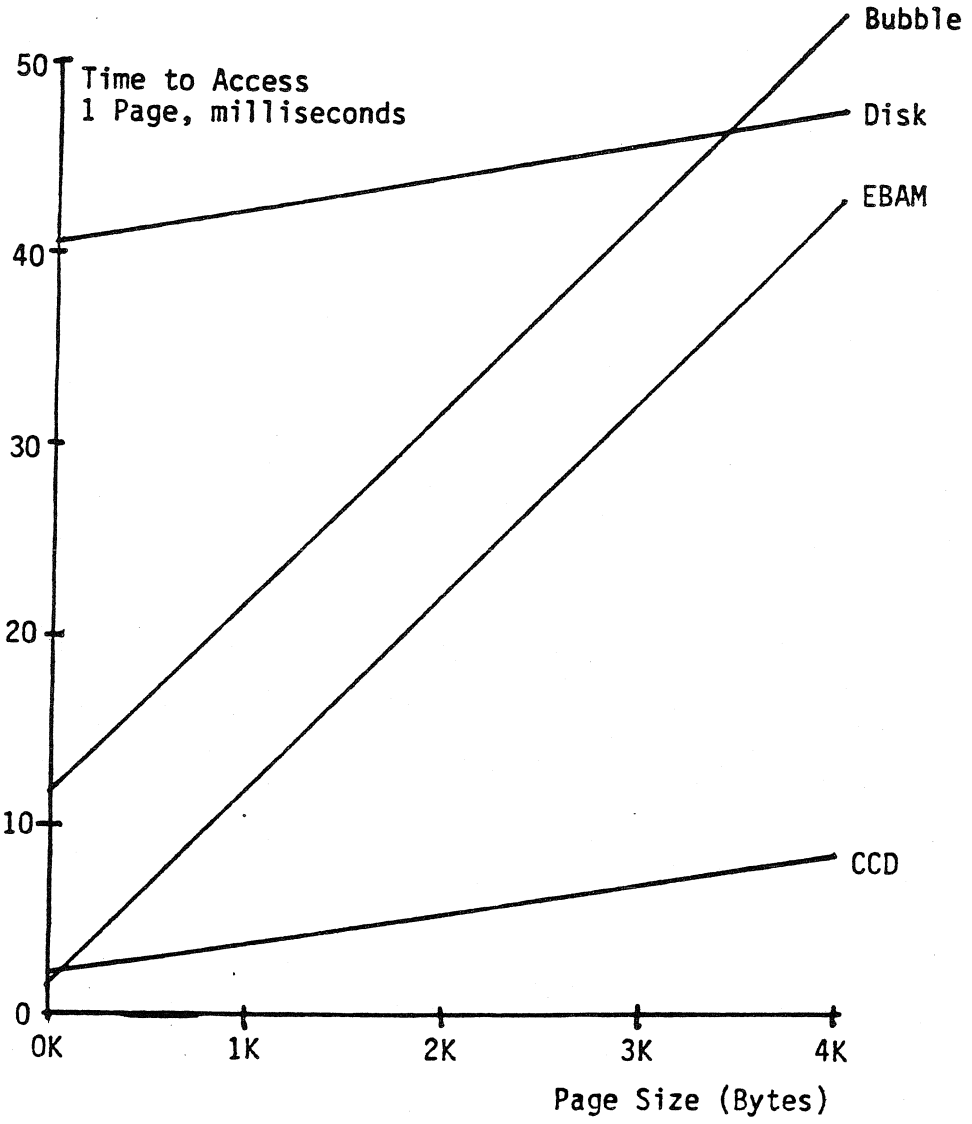

Figure 5-4. Page Transfer Time

Figure 5-5 presents a different interpretation which is more useful in making page size trade-offs. The tendency of bubble and CCD memory to be optimized at smaller page size is offset by the increased CPU time taken by transmitting more pages. Given a fairly large page size (2 Kbytes to 4 Kbytes), disk, EBAM, and bubble memories result in comparable performance. However, as can be seen from Figure 5-3, the cost of bubble memory is unacceptably high. Similarly, although CCD offers a large performance advantage over disk, it does not compensate for the cost disadvantage.

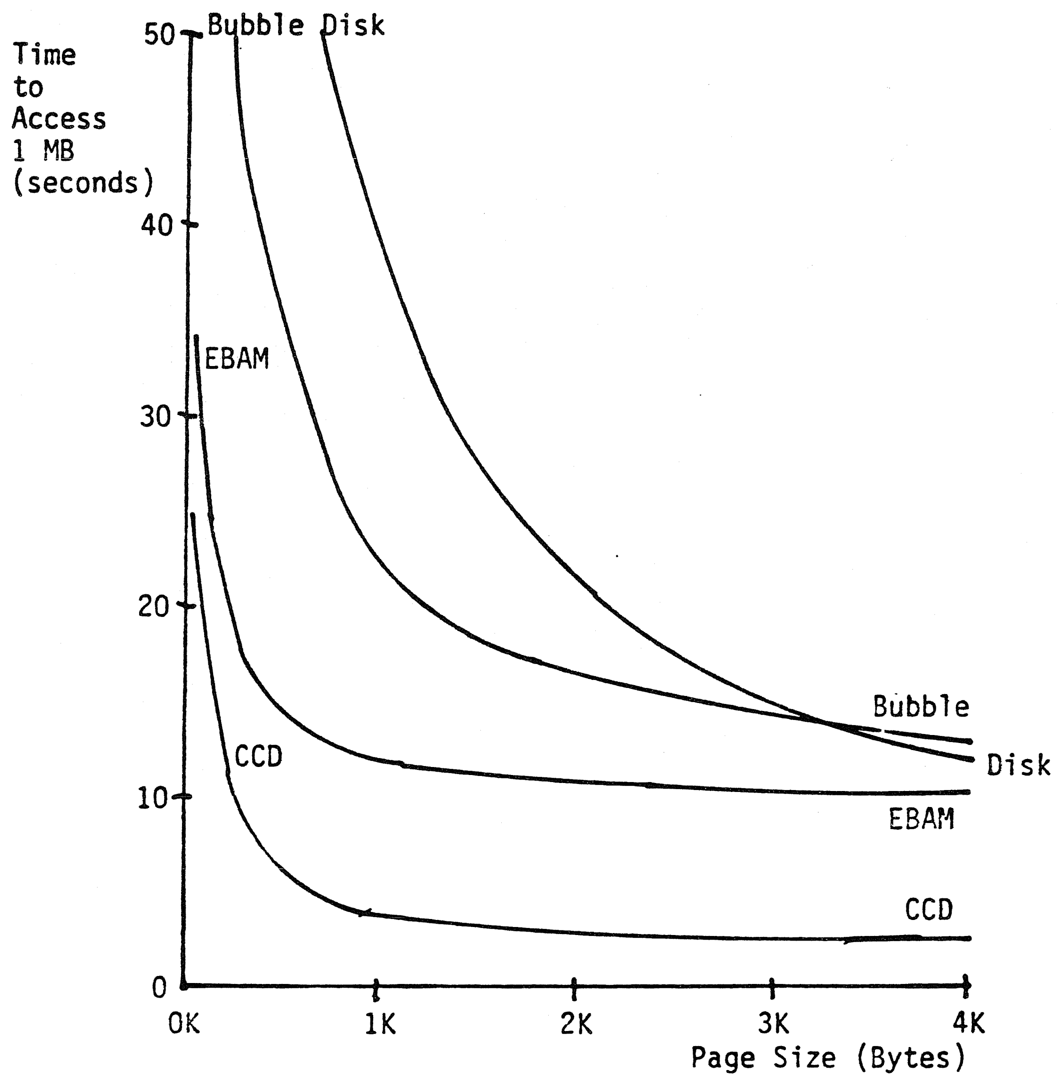

Figure 5-5. Time to Transfer a Megabyte

Figure 5-6 shows the cost functions for the various paging architectures as a function of the number of users and the memory technology.

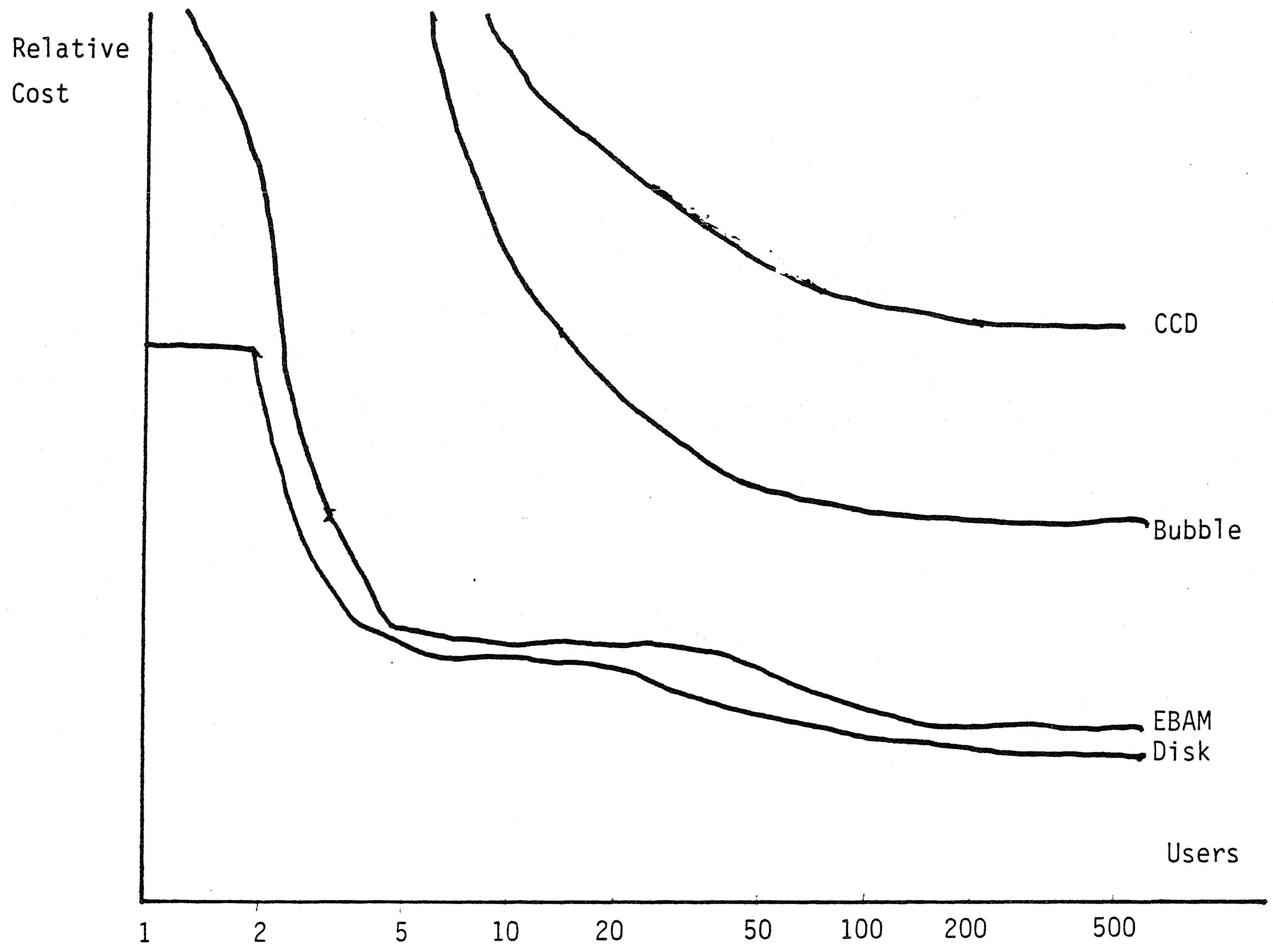

Figure 5-6. Effect of Memory Technology

The cost disadvantage of bubble and CCD technologies increases with larger relation sizes. Indeed, this was the case in all of the architectures with secondary memory which were studied.

Particularly at low page sizes, bubble and CCD offer considerably more accesses per megabyte of store per second. However, it simply appears that the advanced data management system problem better fits the accesses per megabyte per second of disk or EBAM.

Further, it should be noted that this investigation probably has two systematic biases which would make disk look less favorable. First, a page size of 2,000 bytes was used throughout, where examination of Figure 5-5 shows that 4,000 bytes should have been used for disk. Secondly, the capacity projections in Chapter 3 and Figure 5-3 are probably pessimistic.

Will the picture change farther in the future? One can argue not, since disk, bubble, and CCD technologies are driven by the state of the art in photolithographic technique: bubble and CCD for device fabrication, and disk for head fabrication. Thus, with a similar scaling future, it is unlikely that the relative costs of these technologies will change by a factor of two, much less an order of magnitude.

Do three level storage hierarchies make sense for advanced information systems, perhaps using CCD or bubble as the middle level? (*) As the analysis for the smart terminal paging bubble architecture (**) suggests, this case degenerates into paging between the bottom (slowest) levels of the hierarchy. As [Greenfield72] argues, although the pattern of reference to the pages of a given relation can be well optimized, the pattern of reference to relations, and hence to pages on the lowest level of the storage hierarchy, cannot be well predicted.

Finally, since EBAM development has attracted relatively little funding, the conclusion that disk is and and will remain the memory technology of choice for advanced data management systems cannot be escaped.

5.5 ARCHIECTURES WITH DISK

Given the conclusions discussed in the prior sections, the analysis can be narrowed from the thirty original candidate architectures to just three: paging disk, logic per head disk, and distributed function disk; all in their centralized variants. A cursory comparison of their cost results shows that it will be a close race.

(*) Since bubble has no performance advantage over disk and a cost disadvantage, the discussion focuses solely on CCD.

(**) See Section 4.2.2.

The paging architecture in fact produces the lowest costs. However, it cannot deliver adequate response time when the relation sizes exceed about 5,000 tuples. The disk itself is the first bottleneck. The CCD and EBAM paging architectures showed that without changing the processor architecture, it is possible to get reasonable response time for up to 100,000 tuples from a paging architecture.

Another way of stating this conclusion is that the effective transfer rate of the disk, accounting for latency and system software, is quite low. The actual rate at which pages can be transferred from a disk is not greater than 50,000 to 100,000 bytes per second, (*) utilizing only a tiny fraction of the bandwidth suggested by the instantaneous transfer rate of the device.

Increasing the instantaneous transfer rate of the disk, either by increasing the bit packing density or by transferring in parallel from several heads, will not significantly improve the matter. To see why, refer to Figure 5-4: even if the slope were zero (infinite transfer rate), latency effects dominate.

(*) Refer to Figure 5-5.

Both the logic per head and distributed function architectures increase the rate at which information can be transferred from a disk storage facility to a processing facility. Thus they can be thought of as ways to implement effective interleaving schemes for disk.

The logic per head architecture does this by moving some of the processing logic into the drive electronics and thus achieves an intimate coupling of processing and storage. The effective transfer rate between the processing elements and the storage elements can be extremely high since each head is transferring at full instantaneous rate.

By contrast, the distributed function architecture maintains the same relationship between the processing element and the storage element as in the paging architecture. It thus also maintains the relatively low effective transfer rate. However, it obtains parallelism in a very simple way.

Both architectures can be readily expanded, which accounts for their success in providing good response times to even very severe demands. However, they differ fundamentally in their costs for very large problems.

The logic per head architecture is o(n**2/m) in space-time product. To see why, consider how it processes the projection of one very large relation, R1, against an even larger relation, R2. If the cardinality (*) of R1 exceeds the size of the CAM, then only the first m tuples of R1 can be loaded into the CAMs, and the entire R2 passed under the heads. This process must be iterated ceil(c(R1) / m) times.

By contrast, the distributed function architecture operates best when projections are accomplished by merge techniques. As with the paging architectures, if the relations are not already sorted, it is best to presort them. (**) The distributed function architecture thus is fundamentally (n/m)*log(n/m) in space-time product, where m is the number of processors.

(*) number of tuples. The cardinality of a relation is denoted as c(R).

(**) See [Greenfield72] or [Blasgen76].

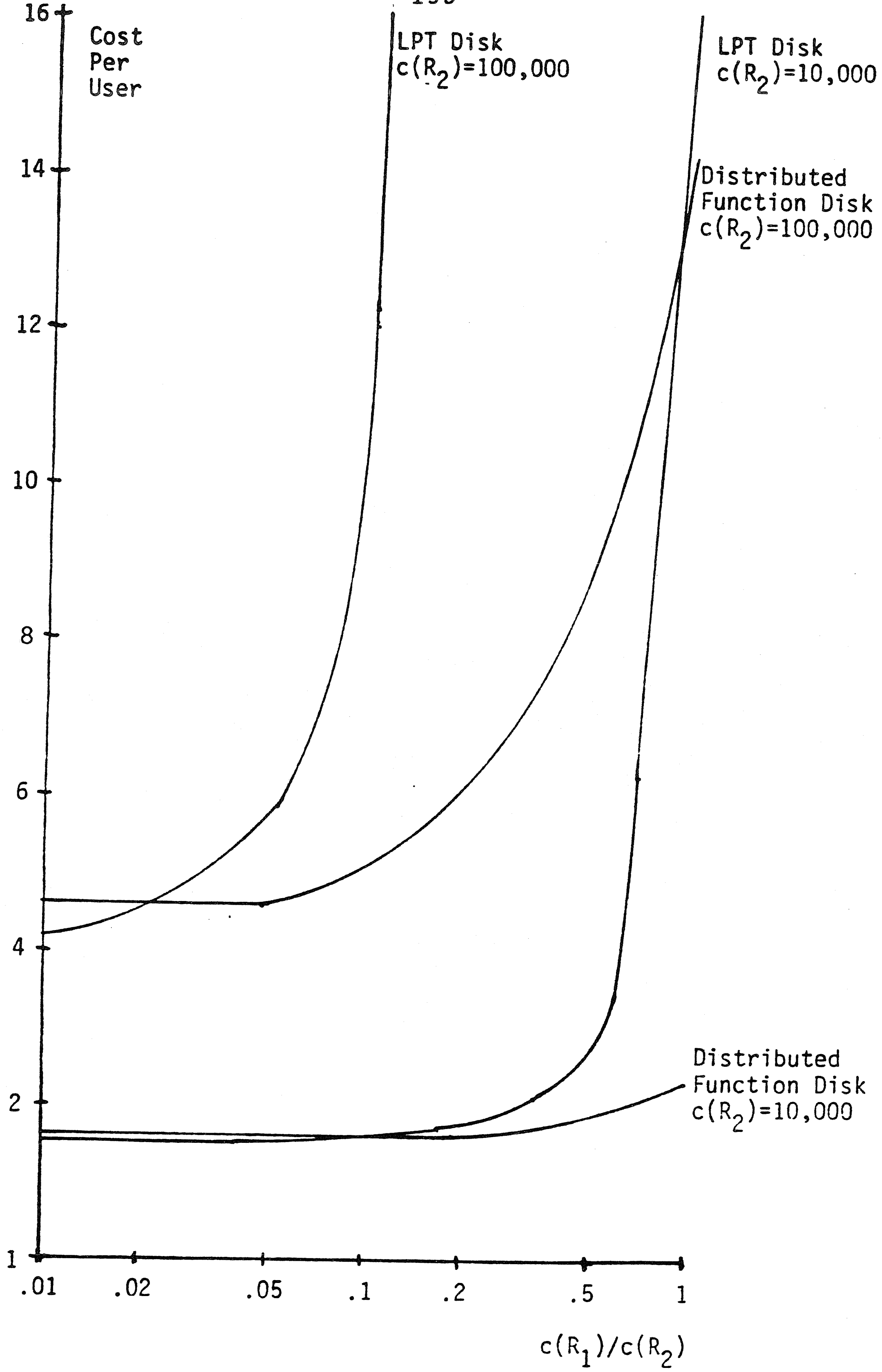

Figure 5-7. Logic Per Head vs. Distributed Function

To explore these phenomena, an additional set of cases was run. With the number of users held fixed at 100, the logic per head and distributed function architectures were subjected to loads in which the cardinality of the larger relation was varied from 500 tuples to 500,000 tuples, while the ratio c(R1)/c(R2) was varied from 1% to 100%. These results are presented on page C-32 for the logic per head architecture and C-33 for the distributed function architecture. Figure 5-7 also presents a summary of the results.

The Logic Per Head architecture does best when the cardinality of the smaller relation is very small compared to the cardinality of the larger relation. Such architectures are effective at matching a small number of patterns against a large number of candidates. (*) However, when the number of patterns to be matched is large, the distributed function architecture produces lower cost results.

Figure 5-8 shows the problem domains in which the distributed function, logic per head, and paging architectures produce lowest cost results. It is worth noting that the cost advantages of logic per track or paging architectures over distributed function

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(*) Producing a concordance on selected keywords ("Find all occurrences of the words 'begat', 'beget' or 'begotten' in the Bible") is an example of this problem.

are not great. Thus, by the definition set forth in Section 5.1, the distributed function architecture appears to be the "best."

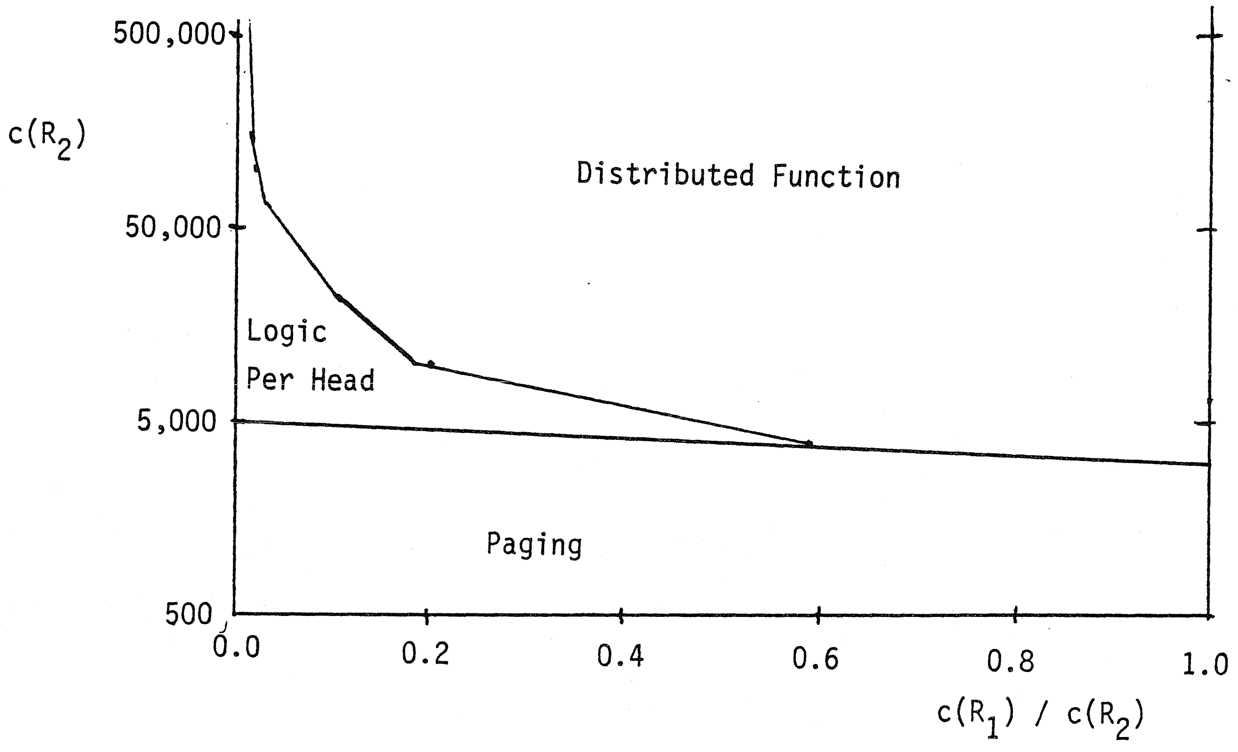

Figure 5-8. Optimal Disk Architectures

5.6 IMPLICATIONS OF DISTRIBUTED FUNCTION

The interconnection bus structure is crucial to the realization of the distributed function architecture, because it is the ultimate bottleneck. The manner in which the distributed function architecture was modeled suggests several requirements for the interconnect structure.

The interconnect needs a broadcast mode of operation. The model assumes that a message requesting that some semantic operator be invoked reaches all processors essentially simultaneously. While an ohmic wire system, such as Ethernet, can do this, it cannot handle the problem of acknowledgement: that is, knowing that the message has been correctly received by all processors.

The interconnect will need to physically connect a large number of processors. This implies that the distance covered by the interconnect will be large: certainly larger than several cabinets.

Besides filling a large volume of space, the interconnect structure must be fast, as it is the bottleneck. A related problem is that of distributing the clock throughout the interconnection network if it is synchronous, or self-timing it.

The merging of results, particularly sorted results, from the processors presents an interesting problem. A simple strategy would be to have each of the m processors sort its subset of tuples, and then transmit blocks of k tuples to one of the processors, which would then do an ordinary merge sort. This processor would need main storage for k*m tuples, and this could be problematical if m were large.

This last requirement suggests that the interconnect structure could be a tournament sort binary tree, with sorting elements in the leaf-to-apex direction, and broadcast elements (*) in the apex-to-leaf direction. This structure could accomplish the merge and broadcast operations. With the 150 nanosecond cycle period assumed in the model, nodes of the tournament sort tree could readily be separated by up to ten meters of wire. Thus it would be possible to configure a physically large system, albeit within one large room.

The implementation of such an interconnect represents an interesting challenge. Would such a structure be interesting to other computing problems besides data management?

The distributed function architecture assumes that the data management problem can be divided into subproblems with fairly high locality of reference, but with little or no locality of reference between subproblems. (This is the case when a projection or join requires that tuples be sorted and redistributed: it is equally likely that any given tuple, when redistributed, will go to any processor.)

(*) i.e., ones which copy the input from the apex direction to both ports in the leaf direction.

Simulation of physical systems with n bodies by integrating the equations of state also have the characteristic of no locality of reference between subproblems. Although the forces found in nature often obey inverse square laws, so that they weaken with distance, the simulation must still consider the interaction of every body with every other body. Even if the numerical value of the force between two bodies is very small, the computational and communications effort is the same as if it were a large value.

Such problems could be treated by assigning bodies or grid points to processors, and having each processor compute an aggregate effective state for the n/m bodies. (This is the subproblem with high locality of reference). Then, each processor would broadcast its aggregate state to the other m-1 processors, each of which would compute the forces between its n/m bodies and the input aggregate state to determine the next state of each body. This operation has no locality of reference: like the data management problem, it is characterized by every-to-every communication.

Matrix operations similarly have an every-to-every nature. In the course of matrix inversion, every element will interact with every other element. The distributed function architecture could handle matrix problems by assigning one or more block submatricies to each processor. The submatrix problem then has very high locality of reference. However, the submatrix operations to yield the full matrix result will require every-to-every communication.

Complex pattern recognition problems could be solved on the distributed function architecture by assigning each processor one or more candidate patterns to match for. The input pattern to be classified is broadcast to all m processors, each of which computes a degree of fit to the pattern(s) assigned to it. The degree of fit values could then be merged to ascertain not only the most probable match, but the next k most likely matches. These could be put through a context-recognition algorithm.

Thus, the distributed function architecture is likely to be interesting to problem domains besides advanced data management.

5.7 DIRECTIONS FOR FUTURE RESEARCH

The selection of trial architectures and the technology forecast used to develop cost functions represents nothing more than attempt to do a set of self-consistent comparisons as far out in time as possible without becoming completely speculative. It would thus be of considerable interest to repeat the same basic threat of investigation at some time in the future, taking into account actual and anticipated developments in technology and in architecture. It might be quite timely to instigate such an investigation in 1986, as the technology forecast discussed herein expires, and to use 1992 as a target year. Even if the results turned out to be identical, such an investigation would not be without merit.

As is inevitably the case, there was not time in this study to fairly investigate all of the possible parameters. For example, all of the clustered cases used a cluster size of 5: it would be interesting to see how sensitive some of the conclusions are to varying the cluster size.

The study could also be extended to take more account of the man-machine interface. All of the cases presented in this work ignored the issue by assuming an essentially zero cost, zero time interface between the user's terminal and the computing system. While this is neither reasonable nor realisitic, it did reduce the scope of the problem, since a <u>data communications</u> environment is at least as complex a problem as a <u>data base</u> environment. However, as discussed in [Neches78] and numerous other references, the modeling techniques which formed the basis for the present study can be readily applied to a broader range of systems.

A final direction of investigation lies in applying the modeling techniques described to the problem of the best hardware architecture for implementation of other kinds of systems, particularly those whose functionality is fairly newly conceived, so that an intuitive approach to understanding their performance may not even be possible. A problem of this sort which promises to have considerable importance in the application of computer technology to the problems of everyday human experience lies in the so-called "expert" systems: systems which incorporate rules of inference and interaction based on the experience of human experts, but in limited domains of discourse. Expert system technology, coupled with vastly improved language processing and data base accessability, could become an important factor in the computer systems which support all manner of private and public enterprise.

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APPENDIX A EXAMPLE OF THE MODEL

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */

STMT LEVEL NEST
                  /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */

  1               FRED: PROCEDURE OPTIONS (MAIN);

                  /* DATA STRUCTURE FOR TRANSACTION */

  2     1         DECLARE
                    1 TRANSACTION (50),
                       2 NAME CHARACTER (32), /* INPUT */
                       2 WEIGHT BINARY FLOAT,    /* INPUT */
                       2 FIRSTFLOW BINARY FIXED (31),
                       2 LASTFLOW  BINARY FIXED (31),
                       2 TIME BINARY FLOAT,
                       2 SIGMA BINARY FLOAT,

                    1 THISTXN LIKE TRANSACTION BASED (PTXN),

                  /* DATA STRUCTURE FOR TRANSACTION FLOWS */

                    1 TXNFLOW (250),
                       2 FLOW POINTER,
                       2 REPETITIONS BINARY FLOAT,
                       2 TIME BINARY FLOAT,
                       2 SIGMA BINARY FLOAT,

                    1 THISTXNFLOW LIKE TXNFLOW BASED (PTXNFLOW),
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

                      /* DATA STRUCTURE FOR FLOWS */                                            00010190

                        1 FLOW (100),                                                           00010200
                           2 NAME CHARACTER(32) /*INPUT*/ ,                                     00010210
                           2 FIRSTSTEP BINARY FIXED (31),                                       00010220
                           2 LASTSTEP  BINARY FIXED (31),                                       00010230
                           2 TIME BINARY FLOAT,                                                 00010240
                           2 SIGMA BINARY FLOAT,                                                00010250

                        1 THISFLOW LIKE FLOW BASED (PFLOW),                                     00010260

                      /* DATA STRUCTURE FOR STEPS */                                            00010270

                        1 STEP (400),                                                           00010280
                           2 NODE POINTER,                                                      00010290
                           2 DATASIZE BINARY FLOAT ,  /* INPUT */                               00010300
                           2 MULTIPLIER BINARY FLOAT, /* INPUT */                               00010310
                           2 PAGES BINARY FLOAT,                                                00010320
                           2 TIME BINARY FLOAT,                                                 00010330
                           2 SIGMA BINARY FLOAT,                                                00010340
                           2 LOAD BINARY FLOAT,                                                 00010350

                        1 THISSTEP LIKE STEP BASED (PSTEP),                                     00010360

                      /* DATA STRUCTURE FOR NODES */                                            00010370

                        1 NODE (100),                                                           00010380
                           2 NAME CHARACTER (32),   /* INPUT */                                 00010390
                           2 PAGESIZE BINARY FLOAT,    /* INPUT */                              00010400
                           2 PAGERATE BINARY FLOAT,    /* INPUT */                              00010410
                           2 USERS    BINARY FLOAT,    /* INPUT */                              00010420
                           2 SERVERS  BINARY FLOAT,    /* INPUT */                              00010430
                           2 QUEUETYPE BINARY FLOAT,   /* INPUT */                              00010440
                           2 LOAD (50) BINARY FLOAT,                                            00010450
                           2 CAPACITY BINARY FLOAT,                                             00010460
                           2 UTILIZATION BINARY FLOAT,                                          00010470
                           2 TIME BINARY FLOAT,                                                 00010480
                           2 MEANFACTOR  BINARY FLOAT,                                          00010490
                           2 SIGMAFACTOR BINARY FLOAT,                                          00010500

                        1 THISNODE LIKE NODE BASED (PNODE),                                     00010510
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

                         /* GLOBAL VARIABLES */                                                    00010520
                          (MAX_TIME, MIN_TIME, WEIGHTED_MEAN_TIME) REAL,                           00010530
                           (#NODES, #FLOWS, #TXNS, #PAGE, #STEP, #TXNFLOW)                         00010540
                              BINARY FIXED (31) INITIAL (0),                                       00010550
                           (REREAD, EOF) BIT(1) INITIAL ('0'B),                                    00010560
                           ARRIVAL_RATE BINARY FLOAT INITIAL (0.01),                               00010570
                           DATE BUILTIN,                                                           00010580
                           DATE6 CHARACTER (6),                                                    00010590
                           YEAR CHARACTER (2) DEFINED DATE6 POSITION(1),                           00010600
                           MONTH PICTURE  '99'  DEFINED DATE6 POSITION(3),                         00010610
                           DAY CHARACTER (2) DEFINED DATE6 POSITION(5),                            00010620
                           MONTHTABLE (12) CHARACTER (9) VARYING INITIAL                           00010630
                              ('JANUARY', 'FEBRUARY', 'MARCH', 'APRIL', 'MAY', 'JUNE',             00010640
                               'JULY', 'AUGUST', 'SEPTEMBER', 'OCTOBER', 'NOVEMBER', 'DECEMBER'),00010650
                           DATESTRING CHARACTER (20),                                              00010660
                           BUFFER CHARACTER (80),                                                  00010670
                           TRUE BIT (1) INITIAL ('1'B),                                            00010680
                           FALSE BIT(1) INITIAL ('0'B);                                            00010690


                         /* END OF DECLARATIONS */                                                 00010700


                         /* INITIALIZATION CODE FOR PAGE HEADINGS */                               00010710


   3     1               ON ENDPAGE (SYSPRINT) BEGIN;                                              00010720
   5     2                 #PAGE = #PAGE + 1;                                                      00010730
   6     2                 IF #PAGE > 1 THEN PUT PAGE;                                             00010740
   8     2                 PUT  EDIT ('REL CONFIGURATION MODEL - PL/I IMPLEMENTATION ',            00010750
                              'VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  ',DATESTRING,               00010760
                              ' - PAGE ',#PAGE) (A,A,A,A,F(4));                                    00010770
   9     2                 PUT SKIP (3);                                                           00010780
  10     2                 END;                                                                    00010790


                         /* INITIALIZE DATESTRING */                                               00010800
  11     1               DATE6 = /* SYSTEM */ DATE;                                                00010810
  12     1               DATESTRING = DAY||' '||MONTHTABLE(MONTH)||' 19'||YEAR;                    00010820
  13     1                 OPEN FILE(SYSPRINT) LINESIZE(132);                                      00010825
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

STMT LEVEL NEST

                     /* DRIVER: MAIN PROGRAM WHICH DRIVES OUTPUT CASES */                     00010830
 14     1            DECLARE                                                                   00020000
                       (CLASS_SIZE, RELATION_SIZE, #CLASSES, #RELATIONS,                       00020010
                       PAGESIZE, RULESIZE, RULES, DEFINITIONS, PROBDEF, SENTLEN,               00020020
                       #TRACKS, TRACKSIZE, HOST_MIPS, UP_MIPS, RPM, SSRATE, SCRATE, MISRATE,00020030
                       BUS_WIDTH, BUS_CYCLE, GROUP_SIZE, #GROUPS, PACKET_SIZE, BASED,          00020040
                       LATERAL, LOGRATE, CVRATE, BANDWIDTH, PROP_DELAY,                        00020045
                       SYSUSERS, #HOSTS, #DRIVES, #CHANNELS, PAGEFRAMES) BIN FLOAT;            00020050
 15     1            DCL (I, J, ITRIES, IN, INN, MAXTRIES) BIN FIXED (31),                     00020060
                       (C, X, Y, WORST) REAL, GROW_FLAG BIT(1),                                00020070
                       PICC PICTURE '---,---,--9',                                             00020080
                       CUTMATRIX (0:10, 0:10) CHAR (12) INIT ((121)'            ');            00020090


 16     1              MAX_TIME = 15; MIN_TIME = 1; MAXTRIES= 50;                              00020130


 19     1            DO Y = 0.0 TO 0.25 BY 0.05;                                               00020135


 20     1     1        I = 0; SIGNAL ENDPAGE (SYSPRINT);                                       00020140
 22     1     1        PUT EDIT ('     USERS  REL SIZE    %BASED  %LATERAL',                   00020145
                          ' HOST MIPS    #HOSTS   #DRIVES ITERATIONS') (A,A);                  00020146
 23     1     1        PUT SKIP (2);                                                           00020147
 24     1     1        DO X = 500, 1E3, 2E3, 5E3, 1E4, 2E4, 5E4, 1E5, 2E5, 5E5;                00020150
 25     1     2           CALL DEFAULT;                                                        00020160
 26     1     2           BASED = Y; LATERAL = Y/2;                                            00020165
 28     1     2           GROW_FLAG = TRUE;                                                    00020170
 29     1     2           RELATION_SIZE = X;                                                   00020179
 30     1     2           I = I + 1; J = 0; PICC = X; CUTMATRIX (I,J) = PICC;                  00020190
 34     1     2           DO SYSUSERS = 1, 2, 5, 10, 20, 50, 100, 200, 500;                    00020200
 35     1     3              J = J + 1;  PICC = SYSUSERS; CUTMATRIX(0,J) = PICC;               00020210
 38     1     3              DO ITRIES = 1 TO MAXTRIES;                                        00020220
 39     1     4                 CALL CONFIG;                                                   00020230
 40     1     4                 IF WEIGHTED_MEAN_TIME  < MIN_TIME & GROW_FLAG THEN DO;         00020240
 42     1     5                    OUTMATRIX (I,J) = 'TOO BIG';                                00020250
 43     1     5                    GO TO NEXT_CASE;                                            00020260
 44     1     5                    END;                                                        00020270
 45     1     4                 ELSE IF WEIGHTED_MEAN_TIME <= MAX_TIME THEN DO;                00020280
 47     1     5                    C = COST;                                                   00020290
 48     1     5                    PICC = C; CUTMATRIX(I,J) = PICC;                            00020300
 50     1     5                    IF SYSUSERS = 100 & RELATION_SIZE = 1E3                     00020301
 51     1     5                       THEN CALL REPORT /* FOR THE GORY DETAILS */;             00020302
 52     1     5                       ELSE ;                                                   00020303
 53     1     5                    GO TO NEXT_CASE;                                            00020320
 54     1     5                    END;                                                        00020330
 55     1     4                 ELSE DO; /* FIND AND EXPAND THE WORST-CASE NODE */             00020340
 56     1     5                    GROW_FLAG = FALSE;                                          00020350
 57     1     5                    IN = 1; WORST = NODE(1).TIME;                               00020360
 59     1     5                    DO INN = 2 TO #NODES;                                       00020370
 60     1     6                       IF NODE(INN).TIME > WORST THEN DO;                       00020380
 62     1     7                          IN = INN;                                             00020390
 63     1     7                          WORST = NODE(INN).TIME;                               00020400
 64     1     7                          END;                                                  00020410
 65     1     6                       END;                                                     00020420
```

```
          /* REL CCNFIGURATICN MODEL - PL/I IMPLEMENTATICN VERSION 4.0 */        00010000

STMT LEVEL NEST

 66     1    5                  IF GROW(IN) THEN CC;                                            00020430
 68     1    6                     OUTMATRIX(I,J) = 'TCC SMALL';                                00020440
 69     1    6                     GO TC NEXT_FCW;                                              00020450
 70     1    6                     END;                                                         00020460
 71     1    5                  END;                                                            00020470
 72     1    4               END;                                                               00020480


 73     1    3     NEXT_CASE: PUT SKIP EDIT (SYSUSERS, RELATION_SIZE, BASED, LATERAL,           00020490
                             HOST_MIPS, #HOSTS, #DRIVES, ITRIES) ((9)(F(10,2)));                00020492
 74     1    3            END;                                                                  00020500
 75     1    2     NEXT_ROW:;                                                                   00020510
 76     1    2         END;                                                                     00020520


                   /* OUTPUT THE COST MATRIX */                                                 00020530
 77     1    1       SIGNAL ENDPAGE (SYSPRINT);                                                 00020540
 78     1    1       PUT EDIT ('CONFIGURATION CCST FCR NUMBER OF USERS (COLUMN) '               00020542
                        ,'VERSUS RELATICN SIZE IN TUPLES (ROW)') (SKIP(2),A,A);                 00020544
 79     1    1       PUT EDIT (((OUTMATRIX(I,J) DC J = 0 TO 10) DO I = 0 TO 10))                00020550
                        (SKIP(2),(11)(A(12)));                                                  00020560
 80     1    1     END;                                                                         00020565
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

STMT LEVEL NEST

  81     1          DEFAULT: PROCEDURE;                                             00020570

  82     2            CLASS_SIZE=500;                                               00020580
  83     2            RELATION_SIZE = 3000;                                         00020590
  84     2            #CLASSES = 500;                                               00020600
  85     2            #RELATIONS = 100;                                             00020610
  86     2            SYSUSERS = 1;                                                 00020620
  87     2            #HOSTS = 1;                                                   00020630
  88     2            #DRIVES = 1;                                                  00020640
  89     2            #CHANNELS = 1;                                                00020650
  90     2            PAGESIZE = 2000;                                              00020660
  91     2            RULESIZE = 20;                                                00020670
  92     2            RULES = 1000;                                                 00020680
  93     2            DEFINITIONS = 150;                                            00020690
  94     2            PROBDEF = 0;                                                  00020700
  95     2            SENTLEN = 8;                                                  00020710
  96     2            #TRACKS = 20;                                                 00020720
  97     2            TRACKSIZE = 10000;                                            00020730
  98     2            HOST_MIPS, UP_MIPS = 1;                                       00020740
  99     2            RPM = 3600;                                                   00020750
 100     2            BUS_WIDTH = 2;                                                00020760
 101     2            BUS_CYCLE = 100E-9;                                           00020770
 102     2            SSRATE, SQRATE , MISRATE = 0.32;                              00020780
 103     2            PAGEFRAMES = 40;                                              00020790
 104     2            GROUP_SIZE = 6;                                               00020791
 105     2            #GROUPS = 15;                                                 00020792
 106     2            PACKET_SIZE = 500;                                            00020793
 107     2            BASEC, LATERAL = 0;                                           00020794
 108     2            LCGRATE = 0.01; CVRATE = 0.03;                                00020795
 110     2            BANDWIDTH = 1E6; PROP_DELAY = 1.25 /* CHARACTER TIMES */ ;    00020796

 112     2          END DEFAULT;                                                    00020800
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

113    1            DCL GROW ENTRY (BIN FIXED(31)) RETURNS (BIT(1));                          00020810


114    1            GROW: PROCEDURE (IN) RETURNS (BIT(1));                                    00020820


115    2            DCL (IN, NEWMODULES) BIN FIXED (31),                                      00020830
                      OK BIT(1) INIT('0'B), CANTDO BIT(1) INIT ('1'B),                        00020840
                      GOGROWNODE (7) LABEL;                                                   00020850


116    2              IF IN < 1 | IN > 7 THEN SIGNAL ERROR;                                   00020860
118    2              GO TO GOGROWNODE (IN);                                                  00020870


119    2            GOGROWNODE(1): /* TERMINAL PROCESSOR */                                   00020880
                    GOGROWNODE(5): /* REMOTE PROCESSOR */                                     00020890
                      #HOSTS = #HOSTS + 1;                                                    00020901
120    2              IF #HOSTS > 3 THEN DO;                                                  00020902
122    2     1           HOST_MIPS  = 4*HOST_MIPS;                                            00020903
123    2     1           #HOSTS = 1;                                                          00020904
124    2     1           END;                                                                 00020905
125    2              IF HOST_MIPS > 16 THEN RETURN (CANTDO); ELSE RETURN (OK);               00020906


128    2            GOGROWNODE(2): /* LOCAL DISK */                                           00020920
                    GOGROWNODE(6): /* REMOTE DISK */                                          00020930
                      #DRIVES = #DRIVES + 1;                                                  00020940
129    2              IF #DRIVES > 8 THEN RETURN (CANTDO); ELSE RETURN (OK);                  00020950


132    2            GOGROWNODE(3): /* LOCAL CTLR */                                           00020960
                    GOGROWNODE(7): /* REMOTE CTLR */                                          00020970
                      RETURN (CANTDO);                                                        00020980


133    2            GOGROWNODE(4): /* ETHERNET */                                             00020990
                      BANDWIDTH = BANDWIDTH + 1E6;                                            00021000
134    2              PROP_DELAY = 1.25E-6 * BANDWIDTH;                                       00021010
135    2              IF BANDWIDTH > 10E6 THEN RETURN (CANTDO); ELSE RETURN (OK);             00021020
138    2             END GROW;                                                                00021080
```

```
            /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

139     1           DCL COST ENTRY RETURNS (REAL);                                              00021090


140     1           COST: PROCEDURE;                                                            00021100
141     2             DCL (DBSIZE, #CHIPS) REAL;                                                00021110
142     2             DBSIZE = 10 * CLASS_SIZE * #CLASSES                                       00021120
                             + 20 * RELATION_SIZE * #RELATIONS                                  00021130
                             + RULESIZE * (RULES + DEFINITIONS);                                00021140
143     2             DBSIZE = DBSIZE * SYSUSERS;                                               00021145
144     2             #CHIPS = 8 * DBSIZE / 256E3;                                              00021150
145     2             #DISKS = CEIL (DBSIZE / 1E9);                                             00021160
146     2             RETURN ((                                                                 00021170
                         3600 + 13500*HOST_MIPS*#HOSTS                                          00021180
                         + 1500 * #CHIPS / 32                                                   00021190
                         + 8E3 * #DISKS)/SYSUSERS + 1E3);                                       00021200
147     2             END COST;                                                                 00021210
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

STMT LEVEL NEST

 148     1          DCL EXPAND ENTRY (BIN FIXED(31)) RETURNS (REAL);                   00030000

 149     1          EXPAND: PROCEDURE (IN);                                           00030010

 150     2            DCL IN BIN FIXED (31), RHO_TARGET REAL;                         00030020

 151     2            PNODE = ADDR(NODE(IN));                                         00030030

                      /* IS UTILIZATION > 100% THE PROBLEM ? */                       00030040
 152     2            IF THISNODE.UTILIZATION > 0.95 THEN                             00030050
 153     2               RETURN (THISNODE.UTILIZATION*1.05);                          00030060

                      /* COULD IT BE QUEUEING DELAYS? */                              00030070
 154     2            ELSE IF THISNODE.TIME/THISNODE.MEANFACTOR < MAX_TIME THEN DO;   00030080
 156     2     1         RHO_TARGET = 1 - (THISNODE.TIME / THISNODE.MEANFACTOR)       00030090
                            / MAX_TIME;                                               00030100
 157     2     1         IF RHO_TARGET > 0 & RHO_TARGET < THISNODE.UTILIZATION        00030110
 158     2     1            THEN RETURN (THISNODE.UTILIZATION / RHO_TARGET);          00030120
 159     2     1            ELSE RETURN (2 * THISNODE.TIME/MAX_TIME);                 00030130
 160     2     1         END;                                                         00030140
 161     2            ELSE RETURN (2 * THISNODE.TIME / MAX_TIME);                     00030150
 162     2            END EXPAND;                                                     00030160
```

```
              /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

163     1            CONFIG: PROCEDURE;                                                       00030170


164     2              DECLARE                                                                00030180
                          NEWNODE    ENTRY (CHAR(32), BIN FLOAT, BIN FLOAT,                   00030190
                                            BIN FLOAT, BIN FLOAT, BIN FIXED (31) ),           00030200
                          NEWFLOW    ENTRY (CHAR(32)),                                        00030210
                          NEWSTEP    ENTRY (CHAR(32), BIN FLOAT, BIN FLOAT),                  00030220
                          NEWTXN     ENTRY (CHAR(32), BIN FLOAT),                             00030230
                          USEFLOW    ENTRY (CHAR(32), BIN FLOAT),                             00030240
                          COPYFLOW   ENTRY (CHAR(32));                                        00030250


165     2              #NODES, #FLOWS, #TXNS, #STEP, #TXNFLOW = 0;                            00030260


166     2            DECLARE (PGS, GRSIZE, PROJ) BIN FLOAT;                                   00040000


167     2              GRSIZE = RULESIZE * (RULES + DEFINITIONS);                             00040010
168     2              #GROUPS = CEIL (SYSUSERS / GROUP_SIZE);                                00040015


                     /* ESTABLISH NODES FOR INDIVIDUAL CENTRAL CONFIGURATION */               00040020


169     2              CALL NEWNODE ('CENTRAL PROCESSOR', 1, 1E6*HOST_MIPS,                   00040030
                          SYSUSERS, #HOSTS, 3);                                               00040031
170     2              CALL NEWNODE ('LOCAL DISK ARM', 1, 33, 1, #DRIVES, 2);                 00040040
171     2              CALL NEWNODE ('LOCAL DISK CTLR', PAGESIZE,                             00040050
                          1/((0.5 + PAGESIZE/TRACKSIZE) * (1/(RPM/60))), 1, 1, 2);            00040060
172     2              CALL NEWNODE ('ETHERNET', 0.125, BANDWIDTH, SYSUSERS,                  00040070
                          1, 2);                                                              00040080
173     2              CALL NEWNODE ('REMOTE PROCESSOR', 1, 1E6*UP_MIPS, SYSUSERS,            00040090
                          #GROUPS, 2);                                                        00040100
174     2              CALL NEWNODE ('REMOTE DISK', 1, 33, GROUP_SIZE, GROUP_SIZE * #DRIVES,00040110
                          2);                                                                 00040120
175     2              CALL NEWNODE ('REMOTE CTLR', PAGESIZE,                                 00040130
                          1/((0.5 + PAGESIZE/TRACKSIZE) * (1/(RPM/60))),                      00040140
                          GROUP_SIZE, GROUP_SIZE, 2);                                         00040150
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         000100C0

STMT LEVEL NEST

176     2          DCL LOCALBLOCK ENTRY (BIN FLOAT);                                      00040160

177     2          LOCALBLOCK: PROCEDURE (BYTES);                                         00040170
178     3            DCL (PGS, BYTES) BIN FLOAT;                                          00040180
179     3            PGS = CEIL (BYTES/PAGESIZE);                                         00040190
180     3            CALL NEWSTEP ('CENTRAL PROCESSOR', 2000, 1);                         00040200
181     3            END LOCALBLOCK;                                                      00040230

182     2          DCL REMOTEBLOCK ENTRY (BIN FLOAT);                                     00040240
183     2          REMOTEBLOCK: PROCEDURE (BYTES);                                        00040250
184     3            DCL (BYTES, PGS) BIN FLOAT;                                          00040260
185     3            PGS = CEIL (BYTES / PAGESIZE);                                       00040270
186     3            CALL NEWSTEP ('REMOTE PROCESSOR', 2000, 1);                          00040290
187     3            END REMOTEBLOCK;                                                     00040310

188     2          DCL INTERPROCESSOR ENTRY (BIN FLOAT);                                  00040320
189     2          INTERPROCESSOR: PROCEDURE (BYTES);                                     00040330
190     3            DCL (BYTES, PACKETS, FULLPACKETS, ODDPACKET) BIN FLOAT;              00040340
191     3            PACKETS = CEIL ( BYTES / PACKET_SIZE );                              00040350
192     3            FULLPACKETS = FLOOR ( BYTES / PACKET_SIZE);                          00040360
193     3            ODDPACKET = BYTES - FULLPACKETS*PACKET_SIZE;                         00040365
194     3            CALL NEWSTEP ('CENTRAL PROCESSOR', 2000 * (PACKETS+1), 1);           00040370
195     3            IF FULLPACKETS > 0 THEN                                              00040375
196     3            CALL NEWSTEP ('ETHERNET', PACKET_SIZE + 20 + PROP_DELAY,             00040380
                        FULLPACKETS);                                                     00040390
197     3            IF PACKETS > FULLPACKETS THEN CALL NEWSTEP ('ETHERNET',              00040400
                        ODDPACKET + 20 + PROP_DELAY, 1);                                  00040410
199     3            CALL NEWSTEP ('REMOTE PROCESSOR', 2000*(PACKETS+1), 1);              00040420
200     3            END INTERPROCESSOR;                                                  00040430
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

 STMT LEVEL NEST

                      /* THE FLOWS */                                                    00040440

  201     2             CALL NEWFLOW ('LOAD LOCAL PAGE');                               00040450
  202     2                CALL LOCALBLOCK (PAGESIZE);                                  00040460

  203     2             CALL NEWFLOW ('LOAD REMOTE PAGE');                              00040470
  204     2                CALL REMOTEBLOCK (PAGESIZE);                                 00040480
  205     2                CALL INTERPROCESSOR (PAGESIZE);                              00040490

  206     2             CALL NEWFLOW ('PARSE LOCAL');                                   00040500
  207     2                CALL LOCALBLOCK (GRSIZE);                                    00040510
  208     2                CALL NEWSTEP ('CENTRAL PROCESSOR', 20000 +                   00040520
                              (100*LOG(GRSIZE) + 1000) * SENTLEN/(1-PROBDEF), 1);       00040530

  209     2             CALL NEWFLOW ('PARSE REMOTE');                                  00040540
  210     2                CALL INTERPROCESSOR (100);                                   00040550
  211     2                CALL REMOTEBLOCK (GRSIZE);                                   00040560
  212     2                CALL NEWSTEP ('REMOTE PROCESSOR', 20000 +                    00040570
                              (100*LOG(GRSIZE) + 1000) * SENTLEN/(1-PROBDEF), 1);       00040580

  213     2             CALL NEWFLOW ('LOCAL RECORD');                                  00040590
  214     2                CALL LOCALBLOCK (100);                                       00040600

  215     2             CALL NEWFLOW ('REMOTE RECORD');                                 00040610
  216     2                CALL INTERPROCESSOR (100);                                   00040620
  217     2                CALL REMOTEBLOCK (100);                                      00040630
  218     2                CALL INTERPROCESSOR (100);                                   00040640

  219     2             CALL NEWFLOW ('LOCAL PROJECTION');                              00040650
  220     2                PGS = CEIL (20 * RELATION_SIZE / PAGESIZE)                   00040660
                                 + CEIL (10 * CLASS_SIZE / PAGESIZE)                    00040670
                                 + CEIL (20 * CLASS_SIZE / PAGESIZE);                   00040680
  221     2                CALL NEWSTEP ('CENTRAL PROCESSOR', 20000 + 100 * (CLASS_SIZE  00040690
                              + RELATION_SIZE), 1);                                      00040691
  222     2                CALL LOCALBLOCK (PGS * PAGESIZE);                            00040700

  223     2             CALL NEWFLOW ('REMOTE PROJECTION');                             00040710
  224     2                CALL INTERPROCESSOR (100);                                   00040720
  225     2                CALL NEWSTEP ('CENTRAL PROCESSOR', 20000, 1);                00040730
  226     2                CALL NEWSTEP ('REMOTE PROCESSOR',   20000 + 100 * (CLASS_SIZE 00040740
                              + RELATION_SIZE), 1);                                      00040741
  227     2                CALL REMOTEBLOCK (PGS * PAGESIZE);                           00040750

  228     2             CALL NEWFLOW ('LOCAL OUTPUT');                                  00040760
  229     2                PGS = CEIL (20 * CLASS_SIZE / PAGESIZE);                     00040770
  230     2                CALL LOCALBLOCK (PGS * PAGESIZE);                            00040780
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

231     2                CALL NEWSTEP ('CENTRAL PROCESSOR', 2E4 + 100*CLASS_SIZE, 1);       00040790


232     2             CALL NEWFLOW ('REMOTE OUTPUT');                                       00040800
233     2                CALL NEWSTEP ('CENTRAL PROCESSOR', 2E4 + 100*CLASS_SIZE, 1);       00040810
234     2                CALL NEWSTEP ('REMOTE PROCESSOR',   2E4 + 100*CLASS_SIZE, 1);      00040820
235     2                CALL REMOTEBLOCK (PGS * PAGESIZE);                                 00040830
236     2                CALL INTERPROCESSOR (20 * CLASS_SIZE);                             00040840
237     2                CALL LOCALBLOCK (PGS * PAGESIZE);                                  00040850
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

                    /* THE TRANSACTIONS */                                                 00040860

238    2              CALL NEWTXN ('LOGON', LOGRATE);                                      00040870
239    2                 CALL USEFLOW ('LOAD LOCAL PAGE', 5);                              00040880

240    2              CALL NEWTXN ('CHANGE VERSION', CVRATE);                              00040890
241    2                 CALL USEFLOW ('LOAD LOCAL PAGE', 3);                              00040900

242    2              CALL NEWTXN ('SIMPLE QUERY', SCRATE);                                00040910
243    2                 CALL USEFLOW ('PARSE LOCAL', 1);                                  00040920
244    2                 CALL USEFLOW ('LOCAL RECORD', 6);                                 00040930

245    2              CALL NEWTXN ('SIMPLE SENTENCE', SSRATE);                             00040950
246    2                 CALL USEFLOW ('PARSE LOCAL', 1+LATERAL);                          00040960
247    2                 CALL USEFLOW ('LOCAL PROJECTION', 1+LATERAL+BASED);               00040970
248    2                 CALL USEFLOW ('LOCAL OUTPUT', 1);                                 00040980

249    2              CALL NEWTXN ('COMPLEX QUERY', MISRATE);                              00041020
250    2                 PROJ = 0.5 * SENTLEN / (1-PROBCEF);                               00041030
251    2                 CALL USEFLOW ('PARSE LOCAL', 1 + PROJ*LATERAL);                   00041040
252    2                 CALL USEFLOW ('LOCAL PROJECTION', PROJ * (1+PROJ*LATERAL+BASED) );00041050
253    2                 CALL USEFLOW ('LOCAL OUTPUT', 1);                                 00041060
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

 STMT LEVEL NEST

  254    2          NEWNODE: PROCEDURE (NNAME, PGSIZE, RATE, USERS, SERVERS, QTYPE);        00050000


  255    3          DECLARE                                                                 00050010
                      NNAME CHARACTER (32),                                                 00050020
                      QTYPE BIN FIXED (31),                                                 00050030
                      (PGSIZE, RATE, USERS, SERVERS) BINARY FLOAT;                          00050040
  256    3            #NODES = #NODES + 1;                                                  00050050
  257    3            PNODE = ADDR (NODE(#NODES));                                          00050060
  258    3            THISNODE.NAME = NNAME;                                                00050070
  259    3            THISNODE.PAGESIZE = PGSIZE;                                           00050080
  260    3            THISNODE.PAGERATE = RATE;                                             00050090
  261    3            THISNODE.USERS     = USERS;                                           00050100
  262    3            THISNODE.SERVERS  = SERVERS;                                          00050110
  263    3            THISNODE.QUEUETYPE = QTYPE;                                           00050120

  264    3          END NEWNODE;                                                            00050130
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

265      2          NEWFLOW: PROCEDURE (FNAME);                                          00050140

266      3             DECLARE FNAME CHAR(32);                                           00050150

267      3            #FLOWS = #FLOWS + 1;                                               00050160
268      3            PFLOW = ADDR (FLOW(#FLOWS));                                       00050170
269      3            THISFLOW.NAME = FNAME;                                             00050180
270      3            THISFLOW.FIRSTSTEP = #STEP+1;                                      00050190

271      3          END NEWFLOW;                                                         00050200
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

 STMT LEVEL NEST

 272     2            NEWSTEP: PROCEDURE (NNODE, SIZE, TIMES);                          00050210


 273     3            DECLARE                                                           00050220
                        I BINARY FIXED (31),                                            00050230
                        NNODE CHAR (32),                                                00050240
                        (SIZE, TIMES) BINARY FLOAT;                                     00050250


 274     3              #STEP = #STEP + 1;                                              00050260
 275     3              PSTEP = ADDR (STEP(#STEP));                                     00050270
                        /* LINEAR SEARCH FOR NODE NAME */                               00050280
 276     3              DO I = 1 TO #NODES;                                             00050290
 277     3    1            IF NODE.NAME(I) = NNODE THEN DO;                             00050300
 279     3    2               THISSTEP.NODE = ADDR(NODE(I));                            00050310
 280     3    2               FLOW.LASTSTEP(#FLOWS) = #STEP;                            00050320
 281     3    2               THISSTEP.DATASIZE = SIZE;                                 00050330
 282     3    2             THISSTEP.MULTIPLIER = TIMES;                                00050340
 283     3    2               RETURN;                                                   00050350
 284     3    2               END;                                                      00050360
                           /* ELSE FALL THROUGH LOOP AND TRY NEXT NODE */               00050370
 285     3    1            END;                                                         00050380
                        /* NO NODE FOUND */                                             00050390
 286     3              #STEP = #STEP - 1;                                              00050400
 287     3              PUT SKIP EDIT ('INVALID NODE NAME ', NNODE, ' - STEP OMITTED')  00050410
                           (A,A,A);                                                     00050420


 288     3            END NEWSTEP;                                                      00050430
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

 289     2          COPYFLOW: PROCEDURE (NFLOW);                                          00050440

 290     3            DECLARE NFLOW CHAR(32), (I,J) BIN FIXED (31);                       00050450

 291     3            DO I = 1 TO #FLOWS;                                                 00050460
 292     3    1          IF FLOW.NAME(I) = NFLOW THEN DO;                                 00050470
 294     3    2             DO J = FLOW(I).FIRSTSTEP TO FLOW(I).LASTSTEP;                 00050480
 295     3    3                #STEP = #STEP + 1;                                         00050490
 296     3    3                STEP(#STEP) = STEP(J);                                     00050500
 297     3    3             END;                                                          00050510
 298     3    2          RETURN;                                                          00050520
 299     3    2          END;                                                             00050530
 300     3    1       END;                                                                00050540

 301     3            PUT SKIP EDIT ('FLOW ',NFLOW,' NOT FOUND; NOT COPIED')(A,A,A);      00050550

 302     3          END COPYFLOW;                                                         00050560
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

303     2          NEWTXN: PROCEDURE (TNAME, WEIGHT);                                           00050570

304     3            DECLARE                                                                    00050580
                        TNAME            CHARACTER (32),                                        00050590
                        WEIGHT           BINARY FLOAT;                                          00050600

305     3            #TXNS = #TXNS + 1;                                                         00050610
306     3            PTXN = ADDR (TRANSACTION(#TXNS));                                          00050620
307     3            THISTXN.NAME = TNAME;                                                      00050630
308     3            THISTXN.WEIGHT = WEIGHT;                                                   00050640
309     3            THISTXN.FIRSTFLOW = #TXNFLOW + 1;                                          00050650

310     3          END NEWTXN;                                                                  00050660
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

 STMT LEVEL NEST

  311     2          USEFLOW: PROCEDURE (WHICHFLOW, REP);                                      00050670


  312     3          DECLARE                                                                   00050680
                       WHICHFLOW CHARACTER(32),                                                00050690
                       IFL BINARY FIXED (31),                                                  00050700
                       REP BINARY FLOAT;                                                       00050710


  313     3            #TXNFLOW = #TXNFLOW + 1;                                                00050720
  314     3            PTXNFLOW = ADDR (TXNFLOW(#TXNFLOW));                                    00050730
  315     3            DO IFL = 1 TO #FLOWS;                                                   00050740
  316     3     1         IF WHICHFLOW = FLOW.NAME(IFL) THEN DO;                               00050750
  318     3     2            THISTXNFLOW.FLOW = ADDR(FLOW(IFL));                               00050760
  319     3     2            THISTXNFLOW.REPETITIONS = REP;                                    00050770
  320     3     2            TRANSACTION.LASTFLOW(#TXNS) = #TXNFLOW;                           00050780
  321     3     2            RETURN;                                                           00050790
  322     3     2            END;                                                              00050800
  323     3     1         END;                                                                 00050810
                       /* NO FLOW FOUND */                                                     00050820
  324     3            #TXNFLOW = #TXNFLOW -1;                                                 00050830
                       /* GIVE UP ON THIS ONE AND TRY ANOTHER */                               00050840
  325     3            PUT SKIP EDIT ('UNKNOWN FLOW NAME ', WHICHFLOW, ' OMITTED')             00050850
                          (A,A,A);                                                             00050860


  326     3          END USEFLOW;                                                              00050870

                     /* FINAL CODE FOR PROCEDURE "CONFIG" TO GENERATE REPORTS */               00050880

  327     2            CALL PERFORM_CALCULATIONS;                                              00050890
```

```
               /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

 328     2         PERFORM_CALCULATIONS: PROCEDURE;                                            00050900

 329     3           CALL CALCULATE_STEP_LOAD;                                                 00050910
 330     3           CALL CALCULATE_TXN_LOAD;                                                  00050920
 331     3           CALL CALCULATE_NODE_RHC;                                                  00050930
 332     3           CALL CALCULATE_STEP_TIMES;                                                00050940
 333     3           CALL CALCULATE_FLOW_TIMES;                                                00050950
 334     3           CALL CALCULATE_TXNFLOW_TIMES;                                             00050960
 335     3           CALL CALCULATE_TXN_TIMES;                                                 00050970
 336     3           CALL CALCULATE_NODE_TIMES;                                                00050980
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

337     3          CALCULATE_STEP_LOAD: PROCEDURE;                                            00050990

338     4          DECLARE IS BINARY FIXED (31);                                              00051000

339     4            DO IS = 1 TO #STEP;                                                      00051010
340     4     1         PSTEP = ADDR(STEP(IS));                                               00051020
341     4     1         PNODE = THISSTEP.NODE;                                                00051030
342     4     1         THISSTEP.PAGES = CEIL(THISSTEP.DATASIZE/THISNODE.PAGESIZE)            00051040
                           * THISSTEP.MULTIPLIER;                                             00051050
343     4     1         THISSTEP.LOAD = THISSTEP.PAGES * THISNODE.USERS;                      00051060
344     4     1         END;                                                                  00051070

345     4          END CALCULATE_STEP_LOAD;                                                   00051080
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

346     3          CALCULATE_TXN_LOAD: PROCEDURE;                                          00051090


347     4          DECLARE (IT, ITF, IS) BINARY FIXED (31);                                00051100


348     4            NODE.LOAD (*,*) = 0;                                                  00051110


349     4            DO IT = 1 TO #TXNS;                                                   00051120
350     4     1         PTXN = ADDR(TRANSACTION(IT));                                      00051130
351     4     1         DO ITF = THISTXN.FIRSTFLOW TO THISTXN.LASTFLOW;                    00051140
352     4     2            PTXNFLOW = ADDR(TXNFLOW(ITF));                                  00051150
353     4     2            PFLOW = THISTXNFLOW.FLOW;                                       00051160
354     4     2            DO IS = THISFLOW.FIRSTSTEP TO THISFLOW.LASTSTEP;                00051170
355     4     3               PSTEP = ADDR(STEP(IS));                                      00051180
356     4     3               PNODE = THISSTEP.NODE;                                       00051190
357     4     3               THISNODE.LOAD(IT) = THISNODE.LOAD(IT)                        00051200
                                  + ARRIVAL_RATE                                           00051210
                                     * THISTXN.WEIGHT                                      00051220
                                     * THISTXNFLOW.REPETITIONS                             00051230
                                     * THISSTEP.LOAD;                                      00051240
358     4     3               END;                                                         00051250
359     4     2            END;                                                            00051260
360     4     1         END;                                                               00051270


361     4          END CALCULATE_TXN_LOAD;                                                 00051280
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

 STMT LEVEL NEST

  362    3           CALCULATE_NODE_RHO: PROCEDURE;                                                    00051290

  363    4           DECLARE                                                                           00051300
                       (IN, IT) BINARY FIXED (31),                                                     00051310
                       NETLOAD BINARY FLOAT;                                                           00051320

  364    4             DO IN = 1 TO #NODES;                                                            00051330
  365    4     1          PNODE = ADDR(NODE(IN));                                                      00051340
  366    4     1          THISNODE.CAPACITY = THISNODE.PAGERATE * THISNODE.SERVERS;                    00051350
  367    4     1          NETLOAD = 0;                                                                 00051360
  368    4     1          DO IT = 1 TO #TXNS;                                                          00051370
  369    4     2             NETLOAD = NETLOAD + THISNODE.LOAD(IT);                                    00051380
  370    4     2             END;                                                                      00051390
  371    4     1          THISNODE.UTILIZATION = NETLOAD / THISNODE.CAPACITY;                          00051400
  372    4     1          IF THISNODE.UTILIZATION >= 1 THEN DO;                                        00051410
  374    4     2             THISNODE.MEANFACTOR = 1E6;                                                00051420
  375    4     2             THISNODE.SIGMAFACTOR = 0;                                                 00051430
  376    4     2             END;                                                                      00051440
  377    4     1          ELSE IF THISNODE.USERS = 1                                                   00051450
  378    4     1             THEN DO; THISNODE.MEANFACTOR=1; THISNODE.SIGMAFACTOR=0; END;              00051460
  382    4     1             ELSE CALL CALCULATE_QUEUEING;                                             00051470
                          /* ENDIF */                                                                  00051480
  383    4     1          END;                                                                         00051490

  384    4           CALCULATE_QUEUEING: /*LOCAL INTERNAL */ PROCEDURE;                                00051500

  385    5           DECLARE (M,P,B) BINARY FLOAT, ALLBUSY RETURNS (BINARY FLOAT);                     00051510

  386    5             M = THISNODE.SERVERS;                                                           00051520
  387    5             P = THISNODE.UTILIZATION;                                                       00051530

  388    5             IF M = 1 | THISNODE.QUEUETYPE = 1 | THISNODE.QUEUETYPE = 2                      00051540
  389    5                THEN DO;                                                                     00051550
  390    5     1             THISNODE.MEANFACTOR = 1/(1-P);                                            00051560
  391    5     1             THISNODE.SIGMAFACTOR = 1/(1-P);                                           00051570
  392    5     1             END;                                                                      00051580
  393    5                ELSE DO;                                                                     00051590
  394    5     1             B = ALLBUSY(M, P);                                                        00051600
  395    5     1             THISNODE.MEANFACTOR = 1 + B/(M*(1-P));                                    00051610
  396    5     1             THISNODE.SIGMAFACTOR = SQRT(B*(2-B)+(M*(1-P))**2)/(M*(1-P));              00051620
  397    5     1             END;                                                                      00051630
                       /* ENDIF */                                                                     00051640
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

398     5           ALLBUSY: PROCEDURE (M, P) RETURNS (BIN FLOAT);                          00051650


399     6           DECLARE                                                                 00051660
                      (M, P, X, Y, SUM, TERM) BINARY FLOAT,                                 00051670
                      N BINARY FIXED (31);                                                  00051680


400     6             TERM, SUM = 1;                                                        00051690
401     6             DO N = 1 TO M-1;                                                      00051700
402     6     1          TERM = TERM * M * P / N;                                           00051710
403     6     1          SUM = SUM + TERM;                                                  00051720
404     6     1          END;                                                               00051730
405     6             X = SUM;                                                              00051740
406     6             Y = SUM + TERM*P/N;                                                   00051750

407     6             RETURN ( (1-X/Y)/(1-P*(X/Y)) );                                       00051760

408     6           END ALLBUSY;                                                            00051770

409     5           END CALCULATE_QUEUEING;                                                 00051780

410     4           END CALCULATE_NODE_RHO;                                                 00051790
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

411     3              CALCULATE_STEP_TIMES: PROCEDURE;                                        00051800

412     4              DECLARE                                                                 00051810
                         XT BINARY FLOAT,                                                      00051820
                         IS BINARY FIXED (31);                                                 00051830

413     4                DO IS = 1 TO #STEP;                                                   00051840
414     4       1           PSTEP = ADDR(STEP(IS));                                            00051850
415     4       1           PNODE = THISSTEP.NODE;                                             00051860
416     4       1           IF THISNODE.QUEUETYPE = 1                                          00051870
417     4       1              THEN XT = CEIL (THISSTEP.PAGES / THISNODE.SERVERS)              00051880
                                  / THISNODE.PAGERATE;                                         00051890
418     4       1              ELSE XT = THISSTEP.PAGES / THISNODE.PAGERATE;                   00051900
419     4       1           THISSTEP.TIME = THISNODE.MEANFACTOR * XT;                          00051910
420     4       1           THISSTEP.SIGMA = THISNODE.SIGMAFACTOR * XT;                        00051920
421     4       1           END;                                                               00051930

422     4              END CALCULATE_STEP_TIMES;                                               00051940
```

```
            /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

 423     3            CALCULATE_FLOW_TIMES: PROCEDURE;                                           00051950

 424     4            DECLARE (IFL, IS) BINARY FIXED (31);                                       00051960

 425     4              DO IFL = 1 TO #FLOWS;                                                    00051970
 426     4      1          PFLOW = ADDR(FLOW(IFL));                                              00051980
 427     4      1          THISFLOW.TIME, THISFLOW.SIGMA = 0;                                    00051990
 428     4      1          DO IS = THISFLOW.FIRSTSTEP TO THISFLOW.LASTSTEP;                      00052000
 429     4      2             PSTEP = ADDR(STEP(IS));                                            00052010
 430     4      2             THISFLOW.TIME = THISFLOW.TIME + THISSTEP.TIME;                     00052020
 431     4      2             THISFLOW.SIGMA = THISFLOW.SIGMA + THISSTEP.SIGMA**2;               00052030
 432     4      2             END;                                                               00052040
 433     4      1          THISFLOW.SIGMA = SQRT(THISFLOW.SIGMA);                                00052050
 434     4      1          END;                                                                  00052060

 435     4            END CALCULATE_FLOW_TIMES;                                                  00052070
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

436     3            CALCULATE_TXNFLOW_TIMES: PROCEDURE;                                  00052080

437     4            DECLARE ITF BINARY FIXED (31);                                       00052090

438     4              DO ITF = 1 TO #TXNFLOW;                                            00052100
439     4     1           PTXNFLOW = ADDR(TXNFLOW(ITF));                                  00052110
440     4     1           PFLOW = THISTXNFLOW.FLOW;                                       00052120
441     4     1           THISTXNFLOW.TIME = THISTXNFLOW.REPETITIONS * THISFLOW.TIME;     00052130
442     4     1           THISTXNFLOW.SIGMA = SQRT(THISTXNFLOW.REPETITIONS)               00052140
                             * THISFLOW.SIGMA;                                            00052150
443     4     1           END;                                                            00052160

444     4            END CALCULATE_TXNFLOW_TIMES;                                         00052170
```

```
           /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

STMT LEVEL NEST

445     3          CALCULATE_TXN_TIMES: PROCEDURE;                                          00052180


446     4          DECLARE (IT, ITF) BINARY FIXED (31);                                     00052190


447     4            DO IT = 1 TO #TXNS;                                                    00052200
448     4     1         PTXN = ADDR(TRANSACTION(IT));                                       00052210
449     4     1         THISTXN.TIME, THISTXN.SIGMA = 0;                                    00052220
450     4     1         DO ITF = THISTXN.FIRSTFLOW TO THISTXN.LASTFLOW;                     00052230
451     4     2            PTXNFLOW = ADDR(TXNFLOW(ITF));                                   00052240
452     4     2            THISTXN.TIME = THISTXN.TIME + THISTXNFLOW.TIME;                  00052250
453     4     2            THISTXN.SIGMA = THISTXN.SIGMA + THISTXNFLOW.SIGMA**2;            00052260
454     4     2            END;                                                             00052270
455     4     1         THISTXN.SIGMA = SQRT(THISTXN.SIGMA);                                00052280
456     4     1         END;                                                                00052290

457     4          END CALCULATE_TXN_TIMES;                                                 00052300
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

 458     3          CALCULATE_NODE_TIMES: PROCEDURE;                                          00052310

 459     4            DECLARE (IT, ITF, IS) BIN FIXED (31), X REAL;                           00052320

 460     4            NODE(*).TIME = 0;                                                       00052330
 461     4            WEIGHTED_MEAN_TIME = 0;                                                 00052340

 462     4            DO IT = 1 TO #TXNS;                                                     00052350
 463     4      1        PTXN = ADDR(TRANSACTION(IT));                                        00052360
 464     4      1        DO ITF = THISTXN.FIRSTFLOW TO THISTXN.LASTFLOW;                      00052370
 465     4      2           PTXNFLOW = ADDR (TXNFLOW(ITF));                                   00052380
 466     4      2           PFLOW = THISTXNFLOW.FLOW;                                         00052390
 467     4      2           DO IS = THISFLOW.FIRSTSTEP TO THISFLOW.LASTSTEP;                  00052400
 468     4      3              PSTEP = ADDR(STEP(IS));                                        00052410
 469     4      3              PNODE = THISSTEP.NODE;                                         00052420
 470     4      3              X = THISSTEP.TIME * THISTXNFLOW.REPETITIONS                    00052430
                                     * THISTXN.WEIGHT;                                        00052440
 471     4      3              THISNODE.TIME = THISNODE.TIME + X;                             00052450
 472     4      3              WEIGHTED_MEAN_TIME = WEIGHTED_MEAN_TIME + X;                   00052460
 473     4      3              END;                                                           00052470
 474     4      2           END;                                                              00052480
 475     4      1        END;                                                                 00052490
 476     4            END CALCULATE_NODE_TIMES;                                               00052500

 477     3          END PERFORM_CALCULATIONS;                                                 00052510

 478     2          END CONFIG;                                                               00052520
```

```
        /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

STMT LEVEL NEST

479      1          REPORT: PROCEDURE;                                                      00052530

480      2            CALL OUTPUT_NODES;                                                    00052540

481      2            CALL OUTPUT_FLOWS;                                                    00052550

482      2            CALL OUTPUT_TXNS;                                                     00052560
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */        00010000

 STMT LEVEL NEST

 483      2           OUTPUT_NODES: PROCEDURE;                                                    00052570


 484      3           DECLARE (IN, IT) BINARY FIXED (31);                                         00052580


 485      3             SIGNAL ENDPAGE (SYSPRINT);                                                00052590
 486      3             PUT EDIT ('NODE', ' PAGE SIZE PAGE RATE     USERS   SERVERS',            00052600
                           ' CAPACITY      UTIL   MEAN/SO  SIGMA/SO WGTD TIME') (R(FORM1));     00052610
 487      3             PUT SKIP EDIT ('----', ' ---- ---- ---- ----       -----   -------'     00052620
                           ,' --------      ----   -------  -------- ---- ----') (R(FORM1));00052630
 488      3             PUT SKIP(2);                                                              00052640
 489      3           FORM1: FORMAT (A(32),A(40),A(50));                                          00052650
 490      3             PUT EDIT ((NODE.NAME(IN), NODE.PAGESIZE(IN), NODE.PAGERATE(IN),          00052660
                           NODE.USERS(IN), NODE.SERVERS(IN),                                      00052670
                           NODE.CAPACITY(IN), NODE.UTILIZATION(IN),                               00052680
                           NODE.MEANFACTOR(IN), NODE.SIGMAFACTOR(IN) , NODE.TIME(IN)             00052690
                              DO IN = 1 TO #NODES))                                               00052700
                          (SKIP(2),A(32),(5)(F(10)),(4)(F(10,4)));                                00052710


 491      3           SIGNAL ENDPAGE(SYSPRINT);                                                   00052720
 492      3             PUT EDIT ('NODE','TRANSACTION','PERCENT OF CAPACITY') (R(FORM2));        00052730
 493      3             PUT SKIP EDIT ('----','-----------','------- -- --------')              00052740
                           (R(FORM2));                                                            00052750
 494      3           FORM2: FORMAT ((3)(A(32),X(1)));                                            00052760
 495      3             PUT SKIP;                                                                 00052770
 496      3             DO IN = 1 TO #NODES;                                                      00052780
 497      3     1          DO IT = 1 TO #TXNS;                                                    00052790
 498      3     2             PUT SKIP EDIT (NODE.NAME(IN), TRANSACTION.NAME(IT),                 00052800
                              100*NODE(IN).LOAD(IT)/NODE(IN).CAPACITY)                            00052810
                              ((2)(A(32),X(1)),F(12,4));                                          00052820
 499      3     2             END;                                                                00052830
 500      3     1          PUT SKIP;                                                              00052840
 501      3     1          END;                                                                   00052850


 502      3           END OUTPUT_NODES;                                                           00052860
```

```
          /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */          00010000

STMT LEVEL NEST

503     2            OUTPUT_FLOWS: PROCEDURE;                                                     00052870


504     3            DECLARE                                                                      00052880
                       (IFL, IS) BINARY FIXED (31),                                               00052890
                       CHAR32 CHARACTER (32) BASED (PCHAR32);                                     00052900


505     3              SIGNAL ENDPAGE (SYSPRINT);                                                 00052910
506     3              PUT EDIT ('FLOW','NODE',' DATA SIZE     PAGES      TIME      SIGMA')       00052920
                          (R(FORM3));                                                             00052930
507     3              PUT SKIP EDIT ('-----','-----',                                            00052940
                          ' ---- ----      -----       ----      -----') (R(FORM3));             00052950
508     3            FORM3: FORMAT ((2)(A(32),X(1)),A(40));                                       00052960


509     3              DO IFL = 1 TO #FLOWS;                                                      00052970
510     3     1           PUT EDIT (FLOW.NAME(IFL)) (SKIP(3),A(32));                              00052980
511     3     1           DO IS = FLOW(IFL).FIRSTSTEP TO FLOW(IFL).LASTSTEP;                      00052990
512     3     2              PCHAR32 = STEP.NODE(IS);   /* PL/I-F RESTRICTION */                  00053000
513     3     2              PUT EDIT (CHAR32,                                                    00053010
                                STEP.DATASIZE(IS), STEP.PAGES(IS),                                00053020
                             STEP.TIME(IS), STEP.SIGMA(IS))                                       00053030
                             (SKIP(0),X(33),A(32),X(1),(2)(F(10)),(2)(F(10,3)));                 00053040
514     3     2              PUT SKIP (2);                                                        00053050
515     3     2              END;                                                                 00053060
516     3     1           PUT EDIT ('TOTAL FOR FLOW',FLOW.TIME(IFL), FLOW.SIGMA(IFL))             00053070
                             (SKIP(0),X(33),A(32),X(21),(2)(F(10,3)));                           00053080
517     3     1           END;                                                                    00053090


518     3            END OUTPUT_FLOWS;                                                            00053100
```

```
                /* REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 */         00010000

STMT LEVEL NEST

519      2          OUTPUT_TXNS: PROCEDURE;                                                         00053110


520      3          DECLARE                                                                         00053120
                      (IT, ITF) BINARY FIXED (31),                                                  00053130
                      CHAR32 CHARACTER (32) BASED (PCHAR32);                                        00053140


521      3            SIGNAL ENDPAGE (SYSPRINT);                                                    00053150
522      3             PUT EDIT ('TRANSACTION', 'WEIGHT','FLOW',                                    00053160
                          '       REPS        TIME       SIGMA')(R(FORM4));                         00053170
523      3             PUT SKIP EDIT ('-----------', '------', '----',                              00053180
                          '       ----        ----       -----') (R(FORM4));                        00053190
524      3          FORM4: FORMAT (A(32),X(5),A(6),X(1),A(32),X(1),A(30));                          00053200


525      3             DO IT = 1 TO #TXNS;                                                          00053210
526      3     1          PUT EDIT (TRANSACTION.NAME(IT), TRANSACTION.WEIGHT(IT))                   00053220
                             (SKIP(3),A(32),X(1),F(10,6));                                          00053230
527      3     1          DO ITF = TRANSACTION.FIRSTFLOW(IT) TO TRANSACTION.LASTFLOW(IT);          00053240
528      3     2             PCHAR32 = TXNFLOW(ITF).FLOW;  /* PL/I-F RESTRICTION */                 00053250
529      3     2             PUT EDIT (CHAR32,                                                      00053260
                                TXNFLOW(ITF).REPETITIONS, TXNFLOW(ITF).TIME,                        00053270
                                TXNFLOW(ITF).SIGMA )                                                00053280
                                (SKIP(0),X(44),A(32),X(1),(3)(F(10,3)));                            00053290
530      3     2             PUT SKIP (2);                                                          00053300
531      3     2             END;                                                                   00053310
532      3     1          PUT EDIT ('TOTAL FOR TRANSACTION', TRANSACTION.TIME(IT),                  00053320
                             TRANSACTION.SIGMA(IT))(SKIP(0),X(44),A(32),X(11),(2)(F(10,3)));00053330
533      3     1          END;                                                                      00053340


534      3          END OUTPUT_TXNS;                                                                00053350


535      2          END REPORT;                                                                     00053360


536      1          END_OF_JOB:;                                                                    00053370
537      1          END FRED;                                                                       00053380
```

APPENDIX B DETAIL OUTPUT SAMPLE

Page	Report
B-2	Node Summary
B-3	Node Utilization by Transaction
B-4	Flow Detail
B-6	Transaction Detail

```
REL CONFIGURATICN MCDEL - PL/I IMPLEMENTATION VERSICN 4.0 CF 22-AUG-1979 - RUN DATE:  15 FEBRUARY 1980

NODE                            PAGE SIZE PAGE RATE     LSERS  SERVERS  CAPACITY     UTIL    MEAN/SO   SIGMA/SO WGTD TIME
----                            ---- ---- ---- ----     -----  -------  --------     ----    -------   -------- ---- ----

CENTRAL PROCESSOR                      1   1COOCCC       100        1   1CC0000   0.5553    2.2486     2.2486    1.2486
LOCAL DISK ARM                         1        33         1        1        33   0.0000    1.0000     0.0000    0.0000
LCCAL DISK CTLR                     2000        E6         1        1        86   0.0000    1.0000     0.0000    0.0000
ETHERNET                               0   1COOCOO       100        1   1000000   0.0000    1.0000     1.0000    0.0000
REMOTE PROCESSOR                       1   10000CO       100       17  17000000   0.0000    1.0000     1.0000    0.0000
REMOTE DISK                            1        33         6        6       198   0.0000    1.0000     1.0000    0.0000
REMOTE CTLR                         2000        E6         6        6       514   0.0000    1.0000     1.0000    0.0000
```

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 15 FEBRUARY 1980

NODE                      TRANSACTION              PERCENT OF CAPACITY
----                      -----------              ------- -- --------

CENTRAL PROCESSOR         LOGON                        0.0100
CENTRAL PROCESSOR         CHANGE VERSION               0.0180
CENTRAL PROCESSOR         SIMPLE QUERY                 1.6011
CENTRAL PROCESSOR         SIMPLE SENTENCE             11.2412
CENTRAL PROCESSOR         COMPLEX QUERY               42.6576

LOCAL DISK ARM            LOGON                        0.0000
LOCAL DISK ARM            CHANGE VERSION               0.0000
LOCAL DISK ARM            SIMPLE QUERY                 0.0000
LOCAL DISK ARM            SIMPLE SENTENCE              0.0000
LOCAL DISK ARM            COMPLEX QUERY                0.0000

LOCAL DISK CTLR           LOGON                        0.0000
LOCAL DISK CTLR           CHANGE VERSION               0.0000
LOCAL DISK CTLR           SIMPLE QUERY                 0.0000
LOCAL DISK CTLR           SIMPLE SENTENCE              0.0000
LOCAL DISK CTLR           COMPLEX QUERY                0.0000

ETHERNET                  LOGON                        0.0000
ETHERNET                  CHANGE VERSION               0.0000
ETHERNET                  SIMPLE QUERY                 0.0000
ETHERNET                  SIMPLE SENTENCE              0.0000
ETHERNET                  COMPLEX QUERY                0.0000

REMOTE PROCESSOR          LOGON                        0.0000
REMOTE PROCESSOR          CHANGE VERSION               0.0000
REMOTE PROCESSOR          SIMPLE QUERY                 0.0000
REMOTE PROCESSOR          SIMPLE SENTENCE              0.0000
REMOTE PROCESSOR          COMPLEX QUERY                0.0000

REMOTE DISK               LOGON                        0.0000
REMOTE DISK               CHANGE VERSION               0.0000
REMOTE DISK               SIMPLE QUERY                 0.0000
REMOTE DISK               SIMPLE SENTENCE              0.0000
REMOTE DISK               COMPLEX QUERY                0.0000

REMOTE CTLR               LOGON                        0.0000
REMOTE CTLR               CHANGE VERSION               0.0000
REMOTE CTLR               SIMPLE QUERY                 0.0000
REMOTE CTLR               SIMPLE SENTENCE              0.0000
REMOTE CTLR               COMPLEX QUERY                0.0000
```

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  15 FEBRUARY 1980

FLOW                     NODE                          DATA SIZE    PAGES     TIME     SIGMA
----                     ----                          ---- ----    -----     ----     -----

LOAD LOCAL PAGE          CENTRAL PROCESSOR                  2000     2000    0.004     0.004
                         TOTAL FOR FLOW                                      0.004     0.004

LOAD REMOTE PAGE         REMOTE PROCESSOR                   2000     2000    0.002     0.002
                         CENTRAL PROCESSOR                 10000    10000    0.022     0.022
                         ETHERNET                            521    16680    0.017     0.017
                         REMOTE PROCESSOR                  10000    10000    0.010     0.010
                         TOTAL FOR FLOW                                      0.051     0.030

PARSE LOCAL              CENTRAL PROCESSOR                  2000     2000    0.004     0.004
                         CENTRAL PROCESSOR                 36035    36035    0.081     0.081
                         TOTAL FOR FLOW                                      0.086     0.081

PARSE REMOTE             CENTRAL PROCESSOR                  4000     4000    0.009     0.009
                         ETHERNET                            121      970    0.001     0.001
                         REMOTE PROCESSOR                   4000     4000    0.004     0.004
                         REMOTE PROCESSOR                   2000     2000    0.002     0.002
                         REMOTE PROCESSOR                  36035    36035    0.036     0.036
                         TOTAL FOR FLOW                                      0.052     0.037

LOCAL RECORD             CENTRAL PROCESSOR                  2000     2000    0.004     0.004
                         TOTAL FOR FLOW                                      0.004     0.004

REMOTE RECORD            CENTRAL PROCESSOR                  4000     4000    0.009     0.009
                         ETHERNET                            121      970    0.001     0.001
                         REMOTE PROCESSOR                   4000     4000    0.004     0.004
                         REMOTE PROCESSOR                   2000     2000    0.002     0.002
                         CENTRAL PROCESSOR                  4000     4000    0.009     0.009
                         ETHERNET                            121      970    0.001     0.001
```

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  15 FEBRUARY 1980

                          REMOTE PROCESSOR              4000     4000    0.004    0.004
                          TOTAL FOR FLOW                                 0.030    0.014

LOCAL PROJECTION          CENTRAL PROCESSOR           170000   170000    0.382    0.362
                          CENTRAL PROCESSOR             2000     2000    0.004    0.004
                          TOTAL FOR FLOW                                 0.387    0.382

REMOTE PROJECTION         CENTRAL PROCESSOR             4000     4000    0.009    0.009
                          ETHERNET                       121      970    0.001    0.001
                          REMOTE PROCESSOR              4000     4000    0.004    0.004
                          CENTRAL PROCESSOR            20000    20000    0.045    0.045
                          REMOTE PROCESSOR            170000   170000    0.170    0.170
                          REMOTE PROCESSOR              2000     2000    0.002    0.002
                          TOTAL FOR FLOW                                 0.231    0.176

LOCAL OUTPUT              CENTRAL PROCESSOR             2000     2000    0.004    0.004
                          CENTRAL PROCESSOR            70000    70000    0.157    0.157
                          TOTAL FOR FLOW                                 0.162    0.157

REMOTE OUTPUT             CENTRAL PROCESSOR            70000    70000    0.157    0.157
                          REMOTE PROCESSOR             70000    70000    0.070    0.070
                          REMOTE PROCESSOR              2000     2000    0.002    0.002
                          CENTRAL PROCESSOR            42000    42000    0.094    0.094
                          ETHERNET                       521    83400    0.083    0.083
                          REMOTE PROCESSOR             42000    42000    0.042    0.042
                          CENTRAL PROCESSOR             2000     2000    0.004    0.004
                          TOTAL FOR FLOW                                 0.454    0.218
```

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.C OF 22-AUG-1979 - RUN DATE:  15 FEBRUARY 1980

TRANSACTION                    WEIGHT FLOW                                  REPS      TIME      SIGMA
-----------                    ------ ----                                  ----      ----      -----

LOGON                        0.010000 LOAD LOCAL PAGE                       5.000     0.022     0.010
                                      TOTAL FOR TRANSACTION                           0.022     0.010

CHANGE VERSION               0.030000 LOAD LOCAL PAGE                       3.000     0.013     0.008
                                      TOTAL FOR TRANSACTION                           0.013     0.008

SIMPLE QUERY                 0.320000 PARSE LOCAL                           1.000     0.086     0.081
                                      LOCAL RECORD                          6.000     0.027     0.011
                                      TOTAL FOR TRANSACTION                           0.113     0.082

SIMPLE SENTENCE              0.320000 PARSE LOCAL                           1.125     0.096     0.086
                                      LOCAL PROJECTION                      1.375     0.532     0.448
                                      LOCAL OUTPUT                          1.000     0.162     0.157
                                      TOTAL FOR TRANSACTION                           0.790     0.483

COMPLEX QUERY                0.320000 PARSE LOCAL                           1.500     0.128     0.099
                                      LOCAL PROJECTION                      7.000     2.707     1.011
                                      LOCAL OUTPUT                          1.000     0.162     0.157
```

APPENDIX C COST SUMMARIES OF THE CASES

C-2

Cost Function: Paging Disk Centralized Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 06 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

                   1         2         5        10        20        50       100       200       500
      500     35.650    18.325     7.930     4.465     2.767     1.727     1.373     1.219     1.162
    1.000     35.650    18.325     7.930     4.535     2.767     1.727     1.419     1.242     1.179
    2.000     35.650    18.325     8.070     4.535     2.817     1.767     1.449     1.382     1.228
    5.000     35.650    18.475               8.155     4.577               2.281     1.994 TOO SMALL
   10.000                    TOO SMALL
   20.000                    TOO SMALL
   50.000                    TOO SMALL
  100.000                    TOO SMALL
  200.000                    TOO SMALL
  500.000                    TOO SMALL
```

Cost Function: Paging Disk Clustered Architecture

```
RDL CONFIGURATION MODEL - FIX! IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  06 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                      1          2          5          10          20          50          100          200          500
     500          2,191      2,191      2,191       2,191       2,191       2,191       2,191        2,191        2,191
   1,000          2,191      2,191      2,191       2,191       2,191       2,191       2,191        2,191        2,191
   2,000          2,308      2,308      2,308       2,308       2,308       2,308       2,308        2,308        2,308
   5,000          2,308      2,308      2,308       2,308       2,308       2,308       2,308        2,308        2,308
  10,000                TOO SMALL
  20,000                TOO SMALL
  50,000                TOO SMALL
 100,000                TOO SMALL
 200,000                TOO SMALL
 500,000 TOO SMALL
```

C-4

Cost Function: Paging Disk Smart Terminal Architecture

```
KEL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  06 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                        1         2         5        10        20        50       100       200       500
      500           5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550
    1,000           5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550
    2,000           5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550     5,550
    5,000           6,250     6,250     6,250     6,250     6,250     6,250     6,250     6,250     6,250
   10,000 TOO SMALL           6,250     6,250     6,250     6,250     6,250     6,250     6,250     6,250
   20,000 TOO SMALL
   50,000 TOO SMALL
  100,000 TOO SMALL
  200,000 TOO SMALL
  500,000 TOO SMALL
```

C-5

Cost Function: Paging Bubble Centralized Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  26 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                       1
     500 TOO SMALL
   1,000 TOO SMALL
   2,000 TOO SMALL
   5,000 TOO SMALL
  10,000 TOO SMALL
  20,000 TOO SMALL
  50,000 TOO SMALL
 100,000 TOO SMALL
 200,000 TOO SMALL
 500,000 TOO SMALL
```

C-6

Cost Function: Paging Bubble Clustered Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  26 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                         1
        500 TOO SMALL

      1,000 TOO SMALL

      2,000 TOO SMALL

      5,000 TOO SMALL

     10,000 TOO SMALL

     20,000 TOO SMALL

     50,000 TOO SMALL

    100,000 TOO SMALL

    200,000 TOO SMALL

    500,000 TOO SMALL
```

C-7

Cost Function: Paging Bubble Smart Terminal Architecture

```
REL CONFIGURATION MODEL - FI/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  26 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                       1
        500 TOO SMALL
      1,000 TOO SMALL
      2,000 TOO SMALL
      5,000 TOO SMALL
     10,000 TOO SMALL
     20,000 TOO SMALL
     50,000 TOO SMALL
    100,000 TOO SMALL
    200,000 TOO SMALL
    500,000 TOO SMALL
```

Cost Function: Paging CCD Centralized Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  22 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                    1          2          5         10         20         50        100        200        500
      500      32,476     17,068      7,823      4,748      3,210      2,288      1,980      1,825      1,774
    1,000      33,004     17,596      8,351      5,276      3,738      2,816      2,508      2,455      2,302
    2,000      34,060     18,652      9,407      6,332      4,794      3,872      3,564      3,511      3,520
    5,000      37,228     21,820     12,575      9,500      7,962      7,445      6,934      6,679      6,688
   10,000      42,508     27,100     17,855     14,780     14,255     12,725     12,214     12,364 TOO SMALL
   20,000      72,318     47,785     32,465     27,365     24,815     23,285     23,585     22,925 TOO SMALL
   50,000     185,998    119,965     80,345     67,145     60,545     56,587 TOO SMALL
  100,000     238,758    172,758    133,158    119,945    113,345 TOO SMALL
  200,000 TOO SMALL
  500,000 TOO SMALL
```

Cost Function: Paging CCD Clustered Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  22 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                     1          2          5         10         20         50        100        200        500
     500         3,336      3,336      3,336      3,336      3,336      3,336      3,336      3,336      3,336
   1,000         4,392      4,392      4,392      4,392      4,392      4,392      4,392      4,392      4,392
   2,000         6,504      6,504      6,504      6,504      6,504      6,504      6,504      6,504      6,504
   5,000        12,840     12,840     12,840     12,840     12,840     12,840     12,840     12,840     12,840
  10,000        23,400     23,400     23,400     23,400     23,400     23,400     23,400     23,400     23,400
  20,000        44,520     44,520     44,670     44,670     44,670     44,670     44,670     44,670     44,670
  50,000       108,330    108,330    108,330    108,330    108,330    108,330    108,330    108,330    108,330
 100,000       214,541    214,541    214,541    214,541    214,541    214,541    214,541    214,541    214,541
 200,000 TOO SMALL
 500,000 TOO SMALL
```

C-10

Cost Function: Paging CCD Smart Terminal Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  22 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                   1          2          5          10          20
      500      16,976     11,801      8,696      7,661 TOO SMALL
    1,000 TOO SMALL
    2,000 TOO SMALL
    5,000 TOO SMALL
   10,000 TOO SMALL
   20,000 TOO SMALL
   50,000 TOO SMALL
  100,000 TOO SMALL
  200,000 TOO SMALL
  500,000 TOO SMALL
```

Cost Function: Paging EBAM Centralized Architecture

```
REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  22 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                      1          2          5         10         20         50        100        200        500
      500        36,350     18,675      8,270      4,835      2,917      1,847      1,571      1,399      1,291
    1,000        36,350     19,175      8,270      4,835      2,917      1,847      1,571      1,433      1,419
    2,000        37,350     19,175      8,670      4,835      3,117      2,142      1,798      1,728      1,527
    5,000        39,350     22,175      9,470      5,910      3,855      2,732      2,456      2,183      1,999
   10,000        63,600     32,300     15,120      8,060      5,330      3,912      3,366      3,498      2,943
   20,000        71,600     36,300     18,320     12,360      8,280      5,732      4,716      4,038 TOO SMALL
   50,000       168,600     84,800     40,920     20,560     14,180     10,992     10,716 TOO SMALL
  100,000       200,600    132,800     53,720     38,160     25,980     20,432 TOO SMALL
  200,000 TOO SMALL
  500,000 TOO SMALL
```

Cost Function: Paging EBAM Clustered Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.C OF 22-AUG-1979 - RUN DATE: 22 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	2,308	2,308	2,308	2,308	2,308	2,308	2,308	2,308	2,308
1,000	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475
2,000	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475
5,000	2,808	2,808	2,808	2,808	2,808	2,808	2,808	2,808	2,808
10,000	3,475	3,475	3,475	3,475	3,475	3,475	3,475	3,475	3,475
20,000	4,808	4,808	4,808	4,808	4,808	4,808	4,808	4,808	4,808
50,000	12,958	12,958	12,958	12,958	12,958	12,958	12,958	12,958	12,958
100,000	13,258	23,925	23,925	23,925	23,925	23,925	23,925	23,925	23,925
200,000	24,525	24,525	45,858	45,858	45,858	45,858	45,858	45,858	45,858

500,000 TOO SMALL

Cost Function: Paging EBAM Smart Terminal Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  22 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                    1         2         5        10        20        50       100       200       500
     500        7,850     7,850     7,850     7,850     7,850     7,850     7,850     7,850     7,850
   1,000        8,850     8,850     8,850     8,850     8,850     8,850     8,850     8,850     8,850
   2,000        8,850     8,850     8,850     8,850     8,850     8,850     8,850     8,850     8,850
   5,000       10,850    10,850    10,850    10,850    10,850    10,850    10,850    10,850    10,850
  10,000       14,850    14,850    14,850    14,850    14,850    14,850    14,850    14,850    14,850
  20,000       22,850    22,850    22,850    22,850    22,850    22,850    22,850    22,850    22,850
  50,000       71,750    71,750    71,750    71,750    71,750    71,750    71,750    71,750    71,750
 100,000      137,550   137,550   137,550   137,550   137,550   137,550   137,550   137,550   137,550
 200,000      269,150   269,150   269,150   269,150   269,150   269,150   269,150   269,150   269,150
 500,000 TOO SMALL
```

C-14

Cost Function: Distributive Function Disk Centralized

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REL CONFIGURATION MODEL - FL/T IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  12 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)          PISRATE= 3.199995E-01;
```

	1	2	5	10	20	50	100	200	500
500	22,050	11,525	5,210	3,105	2,122	1,449	1,264	1,191	1,123
1,000	22,050	11,525	5,210	3,245	2,122	1,529	1,323	1,220	1,147
2,000	22,050	11,525	5,210	3,245	2,122	1,529	1,323	1,250	1,206
5,000	22,050	11,525	5,450	3,245	2,322	1,769	1,500	1,397	1,247
10,000	22,050	12,225	5,450	3,635	2,617	2,001	1,736	1,633	1,631
20,000	25,250	14,175	7,050	4,825	3,502	2,591	2,267	2,164	2,909
50,000	34,850	21,575	10,170	8,365	6,157	4,479	3,860	3,580	3,832
100,000	57,250	37,575	17,150	13,675	9,657	7,311	6,692	6,088	6,939
200,000	92,450	60,575	29,810	18,455	18,547	13,569	12,179	10,926	12,931
500,000	217,250	144,825	63,550	57,525	43,032	30,793	27,460	26,885	29,183

C-15

Cost Function: Distributive Function Disk Clustered Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 12 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)   MISRATE= 3.199999E-01

	1	2	5	10	20	50	100	200	500
500	19,100	10,050	4,620	4,620	4,620	4,258	4,077	4,077	4,040
1,000	19,100	10,050	4,620	4,620	4,620	4,258	4,077	4,077	4,040
2,000	19,100	10,050	4,620	4,620	4,620	4,258	4,077	4,077	4,040
5,000	19,100	10,050	4,900	4,900	4,900	4,510	4,315	4,315	4,276
10,000	19,100	10,750	4,900	4,900	4,900	4,510	4,315	4,315	4,276
20,000	25,500	14,650	6,460	6,460	6,460	5,914	5,641	5,641	5,586
50,000	38,300	22,450	9,580	9,580	9,580	8,722	8,293	8,293	8,207
100,000	60,700	36,100	15,040	15,040	15,040	13,636	12,934	12,934	12,793
200,000	108,700	65,350	26,740	26,740	26,740	24,166	22,879	22,879	22,621
500,000	259,100	157,000	63,400	63,400	63,400	57,160	54,040	54,040	53,416

C-16

Cost Function: Distributive Function Disk Smart Terminal

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  12 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)          MISRATE= 3.199999E-01:

                  1         2         5        10        20        50       100       200       500
    500      18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100
  1,000      18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100
  2,000      18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100    18,100
  5,000      18,100    18,100    19,500    19,500    19,500    19,500    19,500    19,500    19,500
 10,000      18,100    19,500    19,500    19,500    19,500    19,500    19,500    19,500    19,500
 20,000      21,300    23,400    23,400    23,400    23,400    23,400    23,400    23,400    23,400
 50,000      34,100    39,000    39,000    39,000    39,000    39,000    39,000    39,000    39,000
100,000      53,300    62,400    62,400    62,400    62,400    62,400    62,400    62,400    62,400
200,000      98,100   117,000   117,000   117,000   117,000   117,000   117,000   117,000   117,000
500,000     213,300   257,400   257,400   257,400   257,400   257,400   257,400   257,400   257,400
```

C-17

Cost Function: Logic Per Head Disk Centralized

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.C OF 22-AUG-1979 - RUN DATE: 15 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	45,657	23,328	9,931	5,456	3,278	1,936	1,740	1,502	1,401
1,000	45,657	23,328	9,931	5,456	3,278	1,936	1,740	1,502	1,401
2,000	45,657	23,328	9,931	5,456	3,278	1,936	1,740	1,502	1,401
5,000	45,657	23,328	9,931	5,456	3,278	1,936	1,745	1,409	1,366
10,000	45,657	23,328	9,931	5,456	3,278	1,939	1,663	1,458	1,422
20,000	45,964	23,482	9,952	5,456	3,278	2,139	1,863	1,709	1,629
50,000	46,578	23,789	10,115	5,560	3,966	2,936	2,696	2,524	2,455
100,000	46,578	24,403	10,364	6,929	5,212	4,253	3,977	3,857	3,744
200,000	47,807	25,632	13,840	10,405	8,867	7,801	7,561	7,389	7,313
500,000	55,185	38,010	27,705	24,630	22,913	21,954	21,678	21,558	21,445

Cost Function: Logic Per Head Disk Clustered Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  21 FEBRUARY 1980
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CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	18,157	9,578	4,431	4,431	4,431	4,088	3,916	3,916	3,882
1,000	18,157	9,578	4,431	4,431	4,431	4,088	3,916	3,916	3,882
2,000	18,157	9,578	4,431	4,431	4,431	4,088	3,916	3,916	3,882
5,000	18,157	9,578	4,431	4,431	4,431	4,088	3,916	3,916	3,882
10,000	18,157	9,578	4,431	4,431	4,431	4,088	3,916	3,916	3,882
20,000	18,464	9,732	4,492	4,492	4,492	4,143	3,916	3,916	3,882
50,000	19,078	10,039	4,615	4,615	4,615	4,254	3,968	3,968	3,934
100,000	20,307	10,653	4,864	5,363	5,363	4,927	4,073	4,073	4,037
200,000	22,765	11,882	8,340	9,534	9,534	8,680	4,709	4,709	4,665
500,000	27,665	24,260	22,205	26,172	26,172	23,655	22,397	22,397	22,145

Cost Function: Logic Per Head Disk Smart Terminal Architecture

(output not available)

Cost Function: Logic Per Track Bubble Centralized Architecture

EL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 29 MARCH 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	32,472	17,036	7,654	4,567	3,029	2,008	1,711	1,581	1,774
1,000	33,072	17,636	8,134	5,059	3,522	2,402	2,114	1,975	2,392
2,000	34,395	18,897	9,119	6,044	4,506	3,190	2,922	2,762	3,629
5,000	37,041	21,420	11,186	8,013	6,475	7,837	7,608	7,488	6,080
10,000	42,333	26,466	15,223	11,951	10,414	7,916	7,766	7,646	11,058
20,000	52,916	36,558	23,296	19,828	18,290	14,217	14,224	14,104	21,014
50,000	74,082	56,741	39,442	35,581	34,043	52,025	52,976	51,597	40,926
100,000	116,414	97,107	71,735	67,087	65,550	102,435	104,644	102,008	80,751
200,000	201,078	177,839	136,321	130,100	128,563	203,256	207,981	202,828	160,401
500,000	370,407	355,032	511,252	508,178	506,640	404,896	414,656	404,469	319,755

C-21

Cost Function: Logic Per Track Bubble Clustered Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 29 MARCH 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	7,745	4,672	2,709	2,709	2,709	2,538	2,452	2,452	2,435
1,000	8,345	5,272	3,189	3,189	3,189	2,970	2,860	2,860	2,838
2,000	9,791	6,595	4,198	4,198	4,198	3,878	3,718	3,718	3,686
5,000	12,683	9,241	6,216	6,216	6,216	5,694	5,434	5,434	5,381
10,000	18,466	14,533	10,253	10,253	10,253	9,327	8,865	8,865	8,772
20,000	30,032	25,116	18,326	18,326	18,326	16,593	15,727	15,727	15,554
50,000	53,164	46,282	34,472	65,192	65,192	58,773	55,563	55,563	54,922
100,000	95,428	88,614	66,765	128,205	128,205	115,485	109,124	109,124	107,852
200,000	191,957	173,278	131,351	254,231	254,231	228,908	216,246	216,246	213,714
500,000	439,929	374,064	518,865	518,865	518,865	467,079	441,185	441,185	436,007

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 29 MARCH 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	6,745	6,745	6,745	6,745	6,745	6,745	6,745	6,745	6,745
1,000	7,345	7,345	7,345	7,345	7,345	7,345	7,345	7,345	7,345
2,000	8,545	8,545	8,791	8,791	8,791	8,791	8,791	8,791	8,791
5,000	11,191	11,683	11,683	11,683	11,683	11,683	11,683	11,683	11,683
10,000	16,483	16,483	17,466	17,466	17,466	17,466	17,466	17,466	17,466
20,000	27,066	27,066	29,032	29,032	29,032	29,032	29,032	29,032	29,032
50,000	52,164	52,164	52,164	52,164	52,164	52,164	52,164	52,164	52,164
100,000	98,428	98,428	98,428	98,428	98,428	98,428	98,428	98,428	98,428
200,000	190,957	190,957	190,957	190,957	190,957	190,957	190,957	190,957	190,957
500,000	376,014	376,014	376,014	376,014	376,014	376,014	376,014	376,014	376,014

Cost Function: Logic Per Track Bubble Smart Terminal Architecture

C-22

C-23

Cost Function: Logic Per Track CCD Centralized Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  17 FEBRUARY 1980
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CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
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	1	2	5	10	20	50	100	200	500
500	32,783	17,221	7,884	4,778	3,226	2,294	1,992	1,958	1,813
1,000	33,311	17,749	8,412	5,306	3,754	2,822	2,520	2,486	2,341
2,000	34,367	18,805	9,468	6,362	4,810	3,896	3,613	3,542	3,397
5,000	37,535	21,973	12,636	9,530	8,024	7,064	6,781	6,710	6,565
10,000	42,815	27,253	17,916	14,903	13,304	12,418	12,208	11,990	11,845
20,000	54,296	38,274	28,660	25,463	24,048	22,978	22,768	22,550	22,459
50,000	85,976	69,954	61,078	57,511	55,728	54,953	54,516	54,263	54,139
100,000	142,463	124,597	113,878	110,311	109,265	107,753	107,316	107,097	106,993
200,000	248,063	230,197	222,427	217,386	214,865	213,487	212,983	212,832	212,686
500,000	579,608	554,370	539,227	534,186	532,003	530,556	530,120	529,865	529,565

C-24

Cost Function: Logic Per Track CCD Clustered Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  15 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                   1         2         5        10        20        50       100       200       500
     500       6,541     5,100     3,036     3,181     3,181     2,963     2,854     2,854     2,832
   1,000      10,869     6,528     3,924     4,175     4,175     3,857     3,698     3,698     3,667
   2,000      15,525     9,384     5,700     6,162     6,162     5,646     5,387     5,387     5,336
   5,000      25,908    16,160    10,311    11,406    11,406    10,366     9,845     9,845     9,741
  10,000      31,219    21,455    15,597    17,749    17,749    16,074    15,236    15,236    15,069
  20,000      41,840    32,046    26,169    30,433    30,433    27,490    26,018    26,018    25,724
  50,000      73,643    63,787    57,874    68,473    68,473    61,726    58,352    58,352    57,678
 100,000     126,689   116,710   110,723   131,883   131,883   118,794   112,250   112,250   110,941
 200,000     246,755   229,609   219,216   261,509   261,509   235,458   222,433   222,433   219,827
 500,000 TOO SMALL
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C-25

Cost Function: Logic Per Track CCD Smart Terminal Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 15 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	6,641	6,641	6,641	6,641	6,641	7,541	7,541	7,541	7,541
1,000	8,069	8,069	8,069	8,069	8,069	8,069	8,069	8,069	9,869
2,000	10,925	10,925	14,525	14,525	14,525	14,525	14,525	14,525	14,525
5,000	24,908	24,908	24,908	24,908	24,908	24,908	24,908	24,908	24,908
10,000	30,188	30,219	30,219	30,219	30,219	30,219	30,219	30,219	30,219
20,000	40,779	40,779	40,840	40,840	40,840	40,840	40,840	40,840	40,840
50,000	72,643	72,643	72,643	72,643	72,643	72,643	72,643	72,643	72,643
100,000	125,689	125,689	125,689	125,689	125,689	125,689	125,689	125,689	125,689
200,000	231,781	231,781	231,781	231,781	231,781	231,781	231,781	231,781	231,781
500,000	549,564	549,564	549,564	549,564	549,564	549,564	545,564	549,564	549,564

Cost Function: Single Level Store EBAM Centralized Architecture

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RLL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  26 FEBRUARY 1980


CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)
                   1          2          5         10         20         50        100        200        500
     500      36,350     18,675      8,270      4,635      2,917      1,847      1,503      1,365      1,237
   1,000      36,350     19,175      8,270      4,835      2,917      1,847      1,571      1,399      1,291
   2,000      37,350     19,175      8,670      4,835      3,117      2,007      1,731      1,593      1,473
   5,000      39,350     20,175      9,470      5,235      3,855      2,597      2,456      2,048      1,999
  10,000      51,350     26,175     12,420      6,710      4,992      3,912      3,096      2,958      2,727
  20,000      71,600     36,300     18,320      9,660      8,280      5,732      4,916      3,498 TOO SMALL
  50,000     168,600     84,800     40,920     20,560     14,180     10,992      9,636 TOO SMALL
 100,000     200,600    132,800     53,720     38,160     25,980     18,272 TOO SMALL
 200,000 TOO SMALL
 500,000 TOO SMALL
```

Cost Function: Single Level Store EBAM Clustered Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 26 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	2,308	2,308	2,308	2,308	2,308	2,308	2,308	2,308	2,308
1,000	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475
2,000	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475	2,475
5,000	2,808	2,808	2,808	2,808	2,808	2,808	2,475	2,475	2,475
10,000	3,475	3,475	3,475	3,475	3,475	2,808	2,808	2,808	2,808
20,000	4,808	4,808	4,808	4,808	4,808	3,475	3,475	3,475	3,475
50,000	7,475	7,475	7,475	7,475	7,475	4,808	4,808	4,808	4,808
100,000	12,808	12,808	12,808	12,808	12,808	7,475	7,475	7,475	7,475
200,000	23,475	23,475	23,475	23,475	23,475	12,808	12,808	12,808	12,808
500,000	87,475	87,475	87,475	87,475	87,475	23,475	23,475	23,475	23,475
						87,475	87,475	87,475	87,475

Cost Function: Single Level Store EBAM Smart Terminal Architecture

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REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.C OF 22-AUG-1979 - RUN DATE:  26 FEBRUARY 1980
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CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	7,850	7,850	7,850	7,850	7,850	7,850	7,850	7,850	7,850
1,000	8,850	8,850	8,850	8,850	8,850	8,850	8,850	8,850	8,850
2,000	8,850	8,850	8,850	8,850	8,850	8,850	8,850	8,850	8,850
5,000	10,850	10,850	10,850	10,850	10,850	10,850	10,850	10,850	10,850
10,000	14,850	14,850	14,850	14,850	14,850	14,850	14,850	14,850	14,850
20,000	22,850	22,850	22,850	22,850	22,850	22,850	22,850	22,850	22,850
50,000	38,850	38,850	38,850	38,850	38,850	38,850	38,850	38,850	38,850
100,000	70,850	70,850	70,850	70,850	70,850	70,850	70,850	70,850	70,850
200,000	134,850	134,850	134,850	134,850	134,850	134,850	134,850	134,850	134,850
500,000	518,850	518,850	518,850	518,850	518,850	518,850	518,850	518,850	518,850

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Cost Function: Single Level Store RAM Centralized Architecture

(output not available)

Cost Function: Single Level Store RAM Clustered Architecture

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 15 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
1,000	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
2,000	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
5,000	30,094	24,719	21,494	25,163	25,163	22,746	21,538	21,538	21,296
10,000	44,142	35,367	36,142	42,741	42,741	38,567	36,479	36,479	36,062
20,000	74,039	68,664	65,439	77,897	77,897	70,207	66,362	66,362	65,593
50,000	161,930	157,455	155,290	185,326	185,326	166,893	157,677	157,677	155,833
100,000	310,214	303,939	304,054	363,427	363,427	327,184	309,063	309,063	305,438
200,000	606,782	602,767	TOO SMALL	TOO SMALL					
500,000	TOO SMALL								

C-31

Cost Function: Single Level Store RAM Smart Terminal

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 15 FEBRUARY 1980

CONFIGURATION COST FOR NUMBER OF USERS (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
1,000	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
2,000	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG	TOO BIG
5,000	14,576	14,576	14,576	14,576	TOO BIG	TOO BIG	TOO BIG	10,826	10,826
10,000	20,826	20,826	20,826	20,826	14,576	14,576	14,576	14,576	14,576
20,000	33,326	33,326	33,326	33,326	20,826	20,826	20,826	20,826	20,826
50,000	70,826	70,826	70,826	70,826	33,326	33,326	33,326	33,326	33,326
100,000	135,126	135,126	135,126	135,126	70,826	70,826	70,826	70,826	70,826
200,000	263,726	263,726	263,726	263,726	135,126	135,126	135,126	135,126	135,126
500,000	TOO SMALL				263,726	263,726	263,726	263,726	263,726

Logic Per Head Disk: $c(R_1)$ / $c(R_2)$ Analysis

REL CONFIGURATION MODEL - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE: 18 FEBRUARY 1980

CONFIGURATION COST FOR RELATIVE CLASS SIZE (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)

	1	2	5	10	20	50	100	200	500
500	1,446	1,446	1,446	1,446	1,446	1,455	1,468	1,492	3,183
1,000	1,446	1,446	1,446	1,446	1,455	1,468	1,492	1,904	4,824
2,000	1,446	1,446	1,446	1,455	1,468	1,492	1,904	3,183	14,798
5,000	1,447	1,447	1,457	1,471	1,500	2,410	5,246	15,617	221,151
10,000	1,550	1,550	1,558	1,555	1,724	2,589	16,429	82,029	
20,000	1,757	1,770	1,866	1,922	2,311	5,806	85,225		
50,000	2,511	2,575	3,025	3,547	4,967	259,536		TOO SMALL	
100,000	4,048	4,548	5,634	12,000	70,325			TOO SMALL	
200,000	7,977	13,920	21,972	135,348		TOO SMALL			
500,000	52,234	170,914	745,880	TOO SMALL					

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Distributed Function Architecture: $c(R_1)$ / $c(R_2)$ Analysis

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REL CONFIGURATION TYPE [illegible] - PL/I IMPLEMENTATION VERSION 4.0 OF 22-AUG-1979 - RUN DATE:  18 FEBRUARY 1980

CONFIGURATION COST FOR RELATIVE CLASS SIZE (COLUMN) VERSUS RELATION SIZE IN TUPLES (ROW)        MISRATE= 3.199999E-01;
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	1	2	5	10	20	50	100	200	500
500	1,212	1,212	1,212	1,212	1,212	1,252	1,252	1,252	1,352
1,000	1,252	1,252	1,252	1,252	1,252	1,252	1,252	1,352	1,562
2,000	1,252	1,252	1,252	1,252	1,252	1,252	1,352	1,457	1,945
5,000	1,379	1,379	1,379	1,379	1,343	1,493	1,721	2,017	3,064
10,000	1,607	1,607	1,607	1,607	1,607	1,835	2,245	3,064	5,386
20,000	1,835	1,835	1,949	1,949	2,063	2,473	3,292	4,702	11,330
50,000	2,861	2,861	2,861	3,089	3,385	4,774	6,868	12,135 TOO SMALL	
100,000	4,571	4,571	4,571	4,981	6,028	8,806	14,090	22,090 TOO SMALL	
200,000	7,649	7,649	8,401	9,334	11,314	17,063	26,083 TOO SMALL		
500,000	17,111	18,775	20,734	23,130	28,763 TOO SMALL				