

## **Summary**

### Thesis summary

I have taken two complementary approaches to isolating cell-type specific *cis*-regulatory regions upstream of three genes, *egl-17*, *zmp-1* and *cdh-3*. In the first approach (Chapter 2), I used a sufficiency analysis to test genomic regions of DNA upstream of three genes for their ability to confer cell-specific expression on a naïve promoter, *pes-10*. In a second, orthogonal, approach (Chapter 3), I compared homologous upstream regions (phylogenetic footprints) to identify regions of similarity responsible for conferring cell type-specific patterns of expression.

The selection of these three genes stemmed from the fact that they are expressed in a restricted number of overlapping cell types at similar times. Genes that are specifically expressed in the same tissue at the same time might have common regulatory programs and might be recognized by common *trans* factors. Therefore, conserved motifs in genes showing common expression profiles are likely to be involved in spatial/temporal expression. Additionally, with the exception of the early expression of *egl-17* in the presumptive vulE and vulF cells, all vulval and anchor cell expression occurs after terminal differentiation. The isolation of elements that drive post-terminal differentiation expression allows us to determine what makes each of these cell types unique, and to try to make connections between the known signaling pathways involved in these cell's specification and terminal fates decisions.

While it seems that no single approach is going to identify and define all the *cis*-acting regulatory elements responsible for conferring cell type-specific expression, the corroboration of approaches allows for significant progress to be made.

### Sufficiency analysis

The goals of this study was to define the minimal sequences responsible for conferring specificity off a naïve promoter to several vulval cells and the anchor cell in order to search the genome for similar elements. I have narrowed down a 3.9 kb region to: a 143 bp region of *egl-17* that drives vulC and vulD expression, and a separate 102 bp region that is sufficient to drive the early expression in presumptive vulE and vulF cells. I have narrowed a 3.5 kb region to a 300bp region of *zmp-1* that is sufficient to confer expression in vulE, vulA and the anchor cell. And finally, I have examined a 6.0 kb region to define a 689 bp region of *cdh-3* that is sufficient to drive expression in the anchor cell and vulE, vulF, vulD and vulC; a 155 bp region that is sufficient to drive anchor cell expression; and a separate 563 bp region that is also sufficient to drive expression in these vulval cells. One theme that remains the same in all three analyses is that I failed to identify any repressor elements involved in conferring expression in terminally differentiated cell types. Furthermore, it became clear from this study that there are multiple mechanisms used to ensure fidelity of expression patterns even between genes that are expressed in the same cell. These mechanisms include: the use of discrete separable elements that confer cell-type specific expression (*cdh-3* anchor cell expression and *egl-17* expression in sister cells vulC and vulD); the use of complex patterns of binding sites that combinatorially act to establish the fidelity of expression in a variety of cell types from different lineages (*zmp-1*); and the use of tissue-specific elements responsible for driving expression in an entire tissue rather then in sub-domains of its constituent cells (*cdh-3*).

### **Determining the necessity of regions defined by sufficiency analysis**

In one sense, the necessity of these elements was irrelevant to our immediate goal of determining sequences that possess the ability to confer cell type-specific expression of these genes. In our case, the genes themselves are somewhat superfluous compared to the elements, which are sufficient to confer this specificity. What the necessity testing will be invaluable for is putting the results of these analyses back into the context of the native promoters. It will be especially interesting to observe the relative importance of the two non-overlapping regions in upstream sequences of *cdh-3*, both of which, despite qualitative differences, appear sufficient to confer expression in the same cells. Additionally, mutation analysis of the individual elements defined in the sufficiency and phylogenetic footprint studies will allow us to further delimit the boundaries of these regions. If conducted in the context of the native promoter, the significance of these mutations may be weighed in the natural milieu of the gene.

### **Phylogenetic footprinting studies of *cis*-regulatory sequences**

Since continuously occurring mutational events accumulate at neutral positions but are eliminated in functional regions, it is argued that conserved motifs in diverse orthologous promoter sequences are more likely to have a functional role (Tagle *et al.*, 1988). In this study, I used two species of *Caenorhabditis*, *C. elegans* and *C. briggsae*, for sequence comparisons. With a two-species comparison, I was able to identify several blocks of homology. In the cases of *zmp-1* and *cdh-3*, these blocks were located throughout the upstream region, and only by using the sufficiency data was I able to hone in on a single

block in each as conferring expression in the anchor cell and/or the vulva cells.

Presumably, these other blocks of similarities throughout the upstream regions confer expression in other cell types, as these markers are expressed in a variety of tissues. In the case of *egl-17*, the only elements found, by our sequence comparison were in a region that was found to drive expression in the vulval cells. This is not surprising since the expression of this marker is restricted to very few tissues.

The regions of similarity that did direct vulval and anchor cell specific expression are still broad enough to obscure the resolution of distinct binding sites; furthermore, multiple *trans*-acting sites may be needed to confer a specific expression pattern. In order to get a more defined picture of the regions I have found, it will be helpful to compare co-regulated or homologous genes from several other species in order to distinguish signal from the background noise. With the addition of other species, it may be possible to define this region in greater detail. The present nematode tree gives two additional siblings, CB5161 and PS1010, that may be very useful for such comparisons (Figure 1) (Fitch et al., 1995). I am currently trying to isolate the upstream regions of the *egl-17*, *zmp-1* and *cdh-3* genes from these species for use in a four-way comparison. As one adds more species to the analysis, the distinction between conserved motif and diverged background should become clearer. One risk with this type of analysis is that when including many sequences, particularly distantly related ones, there is an increased chance that some of them may have lost, or completely altered, some regulatory elements over the course of evolution (reviewed in Blanchette and Tompa, 2002). This makes the selection of species imperative to the successful outcome of the analysis. One advantage of this type of approach over others is that while other approaches will distinguish a

single site as necessary and/or sufficient, this approach may help delimit multiple elements in the *cis*-acting regions to give a broader view of the *cis*-acting sequences.

### **Practical considerations when identifying phylogenetic footprints**

ClustalW (Higgins *et al.*, 1994) alignments do not always work for identifying such footprints. Regulatory elements tend to be short (8-10 bp) relative to the entire regulatory region. If the species are more diverged, the noise of the diverged nonfunctional background will overcome the short conserved signal. The result is that the alignment will not align the short regulatory elements well; the regulatory elements would go undetected. This failure was the case for the *zmp-1* and *cdh-3* upstream regulatory regions. There is enough divergence in the sequence that elements picked up by the Seqcomp and Family Relations programs were completely obscured in the clustalW alignment (data not shown). The *egl-17* clustalW alignments were able to identify regions of similarity (data not shown). However, there are large blocks of similarity in the upstream sequences of this gene, making this method, while still fruitful, less helpful than in the case of the other two genes. Additionally, many alignment tools and comparisons do not allow the identification of reverse complement similarities, which can be functionally significant in the context of enhancers that may operate in either direction.

### **Combining the results of sufficiency testing and phylogenetic footprinting studies**

By combining the results of my sufficiency testing with the results of the phylogenetic footprinting, it was satisfying to find that both methods were able to hone in on similar regions as those that were important for conferring tissue specific expression. As can be

seen in figures 2 (*egl-17*), 3 (*zmp-1*), and 4 (*cdh-3*), there are conserved elements that fall in the regions of sufficiency in each of these three genes. In the case of *egl-17*, the location of element D, which falls in the middle of the minimal region defined by sufficiency, is very encouraging; putative binding sites or over-represented sequences in this region should provide good candidates for cell-specific elements. The location of element B in *egl-17* is in a region that plays a role in conferring GFP expression in vulE and vulF. In *zmp-1*, the locations of all conserved elements appear to fall in regions that were important for vulE, vulA and anchor cell expression. Multiple conserved elements in *cdh-3* are found in the regions defined by sufficiency analysis to be important for vulval and anchor cell expression.

### **Analysis of putative *trans*-acting factors**

The sufficiency analysis and phylogenetic footprinting experiments defined overlapping regions of importance in conferring cell-type specific expression of several vulva cells and the uterine anchor cell. However, these regions are still broad enough to obscure the resolution of distinct binding sites. To identify putative *trans*-acting factors that drive expression in these cells, I turned to the Transfac database (see Transfac analysis in Chapters 2 and 3) and our knowledge of genes that are likely to be involved in the specification of these cells (Table 1).

In *lin-29* animals (Horvitz et al., 1983), a gene involved in the heterochronic pathway (Arasu et al., 1991; Bettinger et al., 1997), *egl-17* expression in the presumptive vulE and vulF cells persists, and vulC and vulD expression does not ensue. In the case of *zmp-1*, there is no vulE expression in the young adult, though this background does not

affect the *cdh-3* expression during the L3 and early L4 stages. Since this mutation causes the reiteration of earlier developmental stages, it is not surprising that early expression persists at the expense of the later expression pattern (M. Wang and T. Inoue, unpublished observations).

In the PAX family member *egl-38* (Chamberlin et al., 1997), there is no *egl-17* expression in the presumptive vulE and vulF cells, and no *zmp-1* expression in vulE. The HOM-C family member *lin-39* also decreases the *egl-17* expression in the presumptive vulE and vulF cells, suggesting that these genes may play a role in regulating expression in vulE (M. Wang, unpublished observations)

In animals mutant in the *lin-1* gene (Beitel et al., 1995), which encodes an ETS family member, there is no *egl-17* expression in the presumptive vulE and vulF cells. However, *zmp-1* expression in vulE is normal in the *lin-1* background. *lin-1* also effects vulC and vulD expression in the *egl-17* background. This altered expression suggests that *lin-1* may play a specific role in *egl-17* regulation (M. Wang, unpublished observations).

In the *lin-26* animals, a predicted zinc finger transcription factor that plays a role in the generation of Pn.p cells (Labouesse et al., 1994), *egl-17* vulC expression is lost and the vulD expression is dramatically reduced. Additionally, *cdh-3* expression is dramatically reduced in vulC, D and E (T. Inoue, unpublished observations). The *lin-26* gene may play an important role in the specification of these cells.

In animals carrying one allele of the gene encoding a GTX NKx6.2 family member *cog-1* (R. Palmer et al., in press), *sy275*, *egl-17* vulE expression is seen in addition to vulC and vulD expression in the L4 stage. This expression is separate from

the early expression in this cell. This same allele shows no vulE *zmp-1* expression. Perhaps, *cog-1* (sy275) plays a role regulating late *egl-17* expression in vulE cells (M. Wang and T. Inoue, unpublished observations). However, no GTX binding sites were found using the MatInspector program. A second allele of *cog-1*, sy607, does not effect vulE expression. However, this allele shows no *cdh-3* expression in vulC and vulD cells, and a dramatic reduction in vulE cells (M. Wang and T. Inoue, unpublished observations).

In the LIM domain protein, *lin-11* (Freyd et al., 1990), there is no *egl-17* expression in vulC or vulD cells, but there is no effect on the early expression in the presumptive vulE and vulF cells. In *lin-11*, there is also no *zmp-1* expression in either vulA or vulE cells, yet it also alters *cdh-3* expression levels in vulF, vulE, vulC and vulD. This result is surprising because of the *lin-11* effect on *zmp-1* and *cdh-3* expression in the primary lineage. Although we know that *lin-11* animals have altered secondary cell lineage, we have no evidence of it having any effects on the analysis of primary fate (B. Gupta, unpublished observations). Our analysis using the MatInspector program did identify binding sites for the putative LIM homolog, ISLI-1, in conserved regions responsible for driving *egl-17* expression in vulC and vulD. The significance of this finding is not known. This site came up in all the analyses, and has a very loose consensus sequence with a core matrix sequence of TAAT similar to that of other homeodomains.

A loss of function mutation in *lin-17* (Sternberg and Horvitz, 1988), which encodes a WNT-family receptor, causes variable *cdh-3* expression in vulC and vulD and ectopic variable expression in vulA and vulB (T. Inoue, unpublished observations). This

result suggests that this gene probably plays an intimate role in mediated secondary cell fate or transcriptional regulation.

An anchor cell element that drives transcription of LIN-3 has been isolated, and involves *trans*-acting factors that bind to a nuclear hormone receptor site and E-box protein-binding sites (B. Hwang and P. Sternberg, unpublished results). Disruption of these elements does not disrupt the expression of *cdh-3* or *zmp-1::gfp* in the anchor cell. A different mechanism and/or factors must be used to establish the anchor cell expression of these late markers. We have few candidate factors that may be involved in the regulation in this cell.

While the focus of this project was to isolate cell-specific response *cis*-regulatory elements rather than identifying *trans*-acting factors, I was also looking forward to the more distant goal of determining the integration of signaling pathways in the downstream targets of these pathways. The integration, in the upstream sequences, of members of the RAS, NOTCH and WNT pathways, whose signaling is intimately bound with the establishment of these fates, would help establish the hierarchy of action of these pathways and their interactions. In the case of the early expression of the *egl-17* gene (expression in the presumptive vulE and vulF cells), it is still a matter of debate regarding the determination status of these cells at the time of this expression. *egl-17* is expressed at a time when crucial signaling events that result in an invariant cell fate pattern are still occurring, which makes this particular gene, and the elements responsible for conferring its early expression, of special interest. There are several approaches to the identification of the *trans*-acting factors involved in conferring the cell type-specific expression patterns. The preceding section has talked about various genetic backgrounds that have

been examined in the context of the full-length reporter constructs. Some of these genetic backgrounds have a dramatic effect on the ability of these reporters to confer expression. One approach is to use the minimal sufficiency regions defined in this thesis to look at the genetic backgrounds that had an effect on expression patterns, to establish that they are working through these elements, and also to extend this to a greater diversity of genetic backgrounds. This, however, will not get to the crux of the matter of whether these factors are directly binding these sequences, or are regulating something in turn that is directly binding them. It will, however, tell you which genes appear to be involved in establishing the differential gene expression in these cells.

To categorically establish which *trans*-acting factors are binding these sites directly will require biochemical testing of the ability of a specific *trans*-acting factor to bind a particular sequence.

### **Genomic analysis**

Once elements responsible for conferring cell-type specific expression have been defined as concisely as bench-work will allow us (through mutational analysis, or further phylogenetic analysis), it will be both feasible and exciting to search the genome of *C. elegans* and *C. briggsae* for other genes whose *cis*-regulatory sequences contain these elements.

When a single promoter sequence is searched, one often finds many putative elements conserved all over the sequence, making it difficult to choose for further experimental analysis. On the other hand, when multiple promoter sequences are searched simultaneously, the conserved motifs are more likely to be functionally

important. To this end, I used the AlignACE program to look for over-represented sequences in elements of intergenic regions found in our sufficiency analysis; I also looked for over-represented sequences between elements that conferred the same cell specificity (Chapter 2, Table 2 and Chapter 3, Table 3). One caveat of this approach is that its efficacy, while seemingly good in yeast (Hughes *et al.*, 2000), has not been tested on metazoans. The metazoans have much larger non-coding regions use a more combinatorial based system of regulation show long distance regulation via chromatin, and appear to have a vast number of transcription factors not present in yeast. These over-represented sequences that fall into regions which, by our other analysis, appear to be important in conferring cell/ tissue specificity make good candidates to search for in the genome, and also make good candidates for mutational analysis. In order to perform this search with a consensus sequence, we can modify the program ScanACE, which performs a similar search on the genome of *Saccharomyces cerevisiae*.

## References

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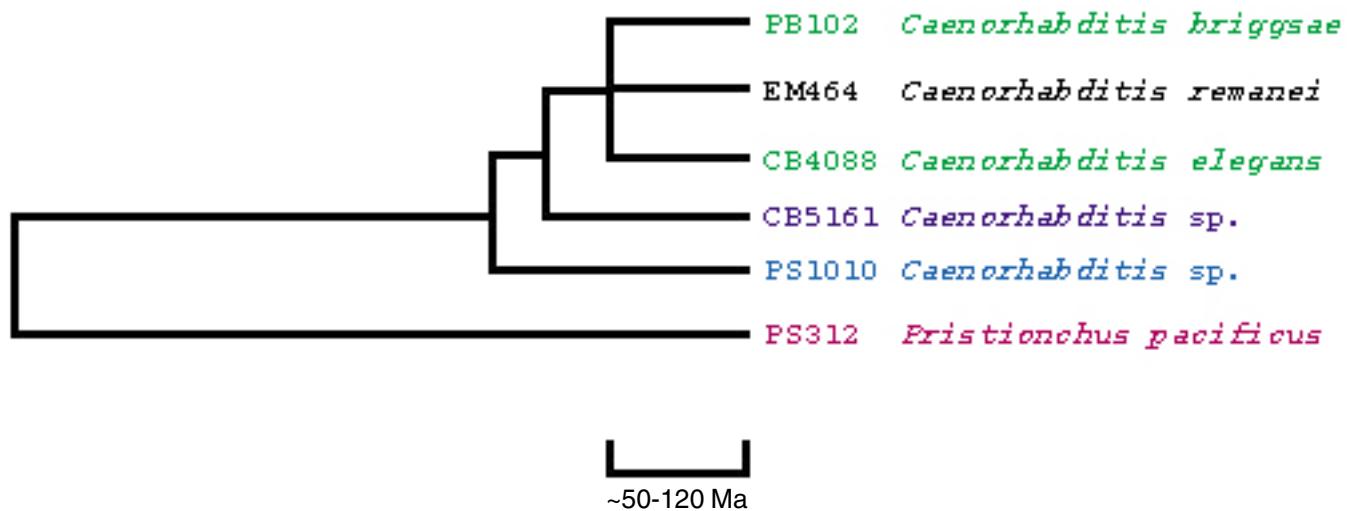
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**Figure 1: Selection of nematode species for comparative genomic analysis**

Closest sibling species to *C. elegans* are listed in this tree diagram, adapted from Fitch *et al.*, 1995. Dates of divergence are hard to predict, but the current prediction of divergence between *C. elegans* and *C. briggsae* is 50-120 million years.

**Figure 1: Selection of nematode species for comparative genomic analysis**



**Figure 2: Combined results of the *egl-17* sufficiency and phylogenetic analyses**

This figure depicts both the *egl-17* sufficiency data as seen in Chapter 2, Figure 3, and the conserved regions identified in the phylogenetic footprinting studies, which have been superimposed on this schematic. A, B, C, D represents element A, element B, and so forth. The boundaries of each element are listed in the top right-hand corner of the figure. The box in the upper right-hand corner depicts the expression pattern of each of the three markers used in these studies.

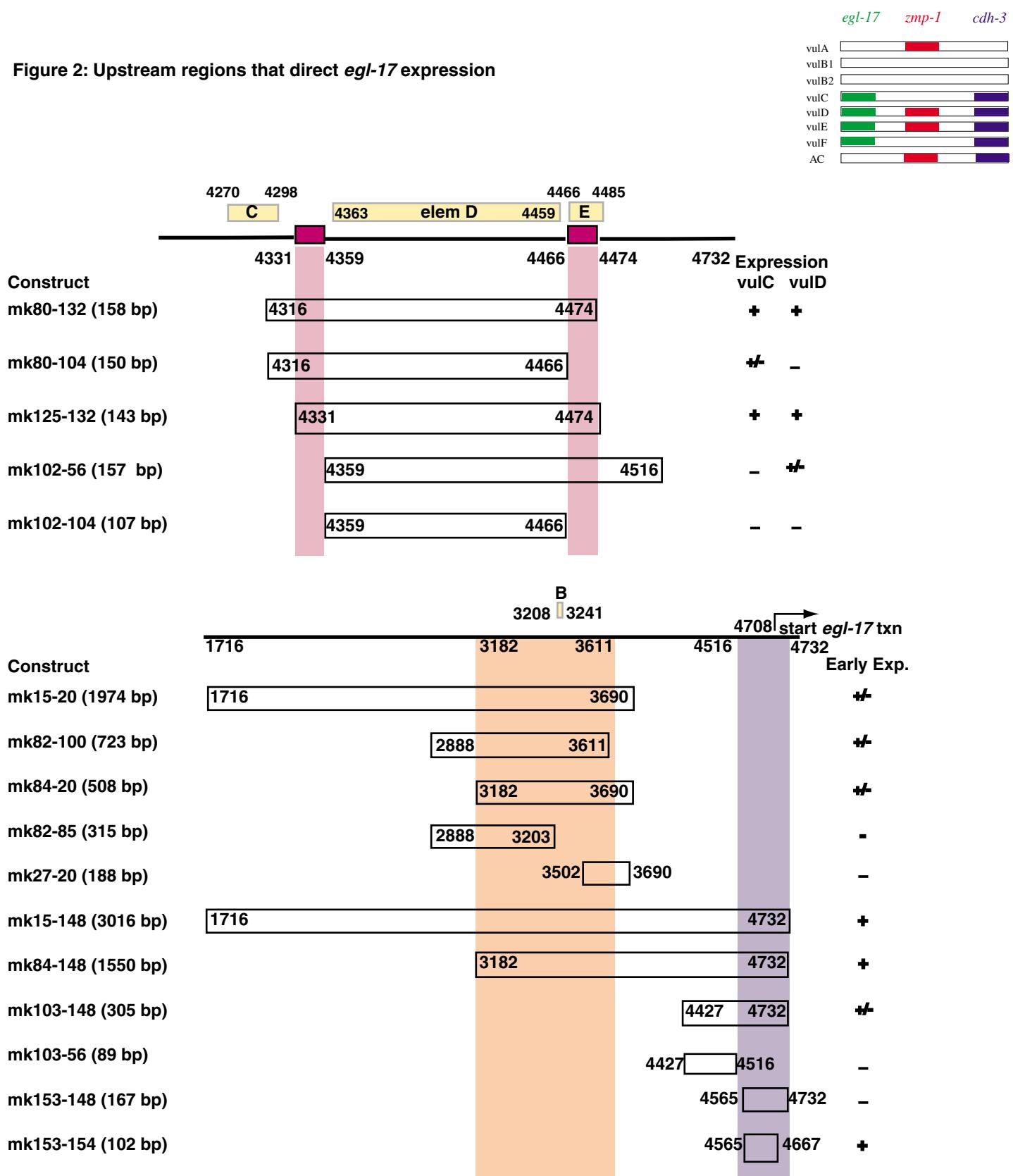
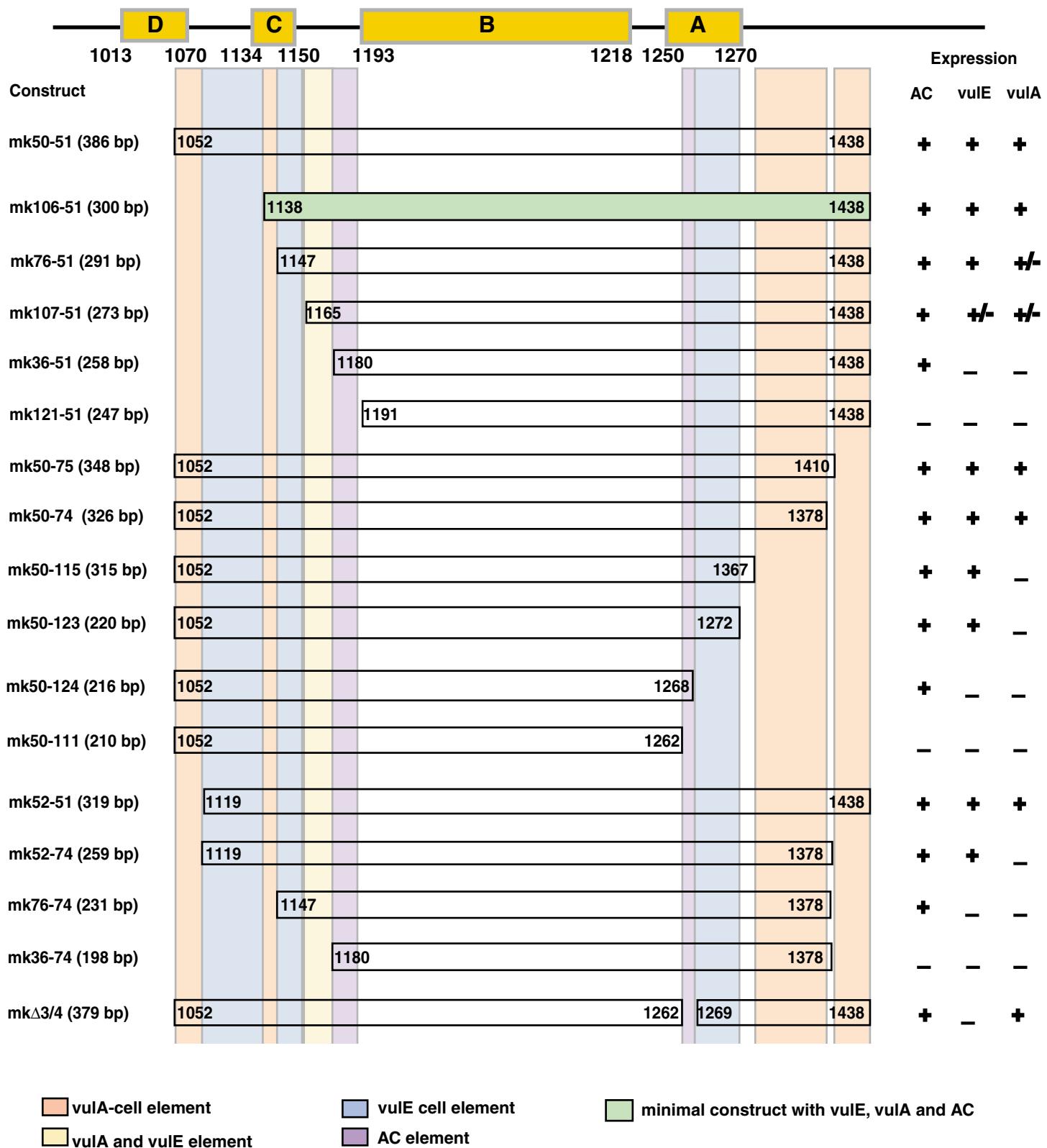
Figure 2: Upstream regions that direct *egl-17* expression

Figure 3: Multiple Regions Direct *zmp-1* expression

**Figure 3: Combined results of the *zmp-1* sufficiency and phylogenetic analyses**

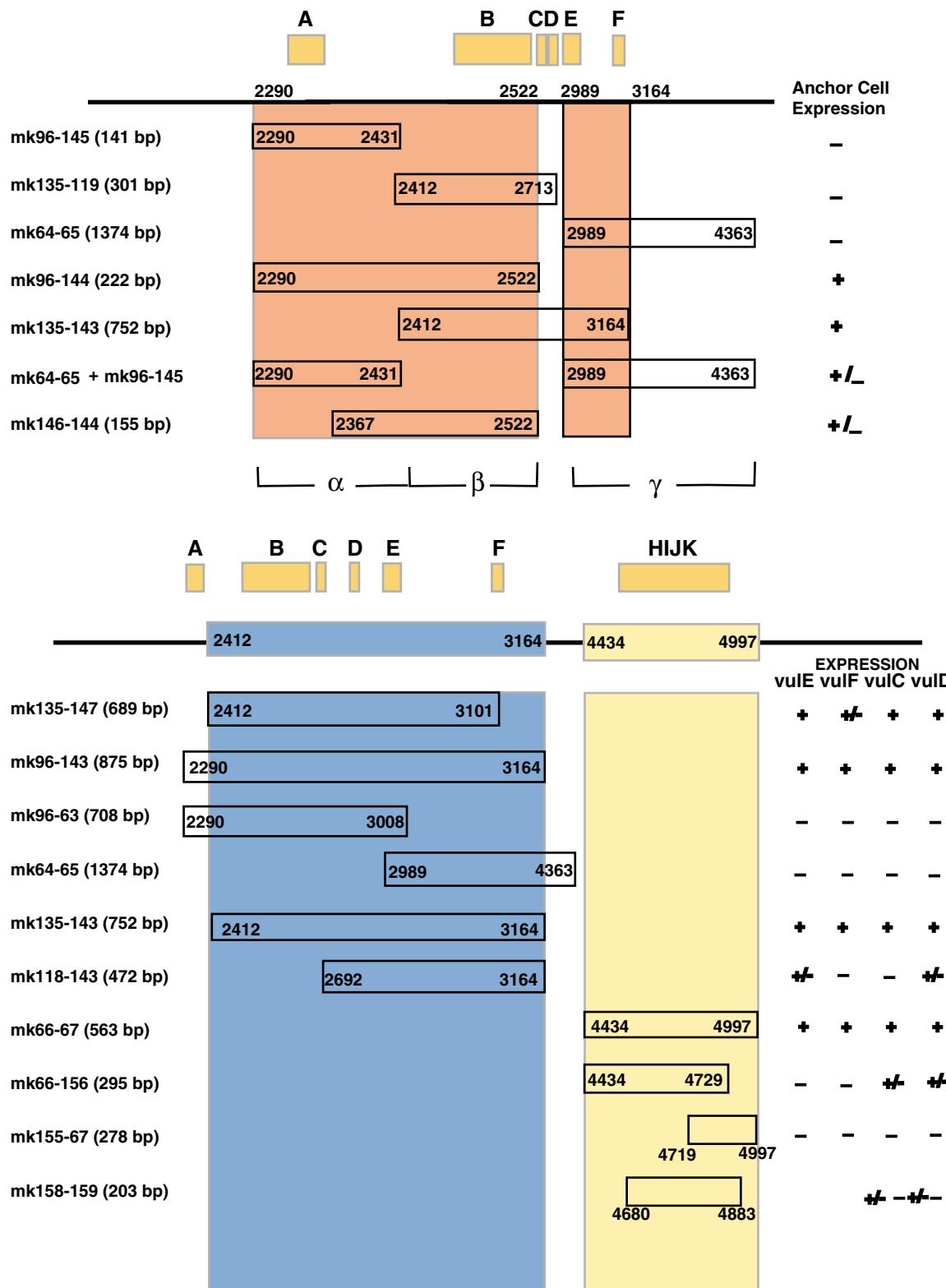
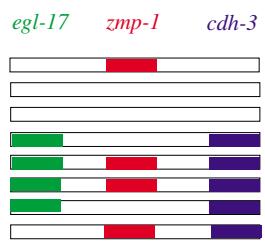
This figure depicts both the *zmp-1* sufficiency data as seen in Chapter 2, Figure 5, and the conserved regions identified in the phylogenetic footprinting studies, which have been superimposed on this schematic. A, B, C, D represents element A, element B and so forth. The boundaries of each element are indicated at the bottom of each element. The box in the upper right-hand corner depicts the expression pattern of each of the three markers used in these studies.

**Figure 4: Combined results of the *cdh-3* sufficiency and phylogenetic analyses**

This figure depicts both the *cdh-3* sufficiency data as seen in Chapter 2, Figure 6, and the conserved regions identified in the phylogenetic footprinting studies, which have been superimposed on this schematic. A, B, C, D represents element A, element B and so forth. Elements H, I, J, K are overlapping a consecutive, and so have been represented by a single box labeled “HIJK”. The boundaries of each element are listed in the top right-hand corner of the figure. The box in the upper right-hand corner depicts the expression pattern of each of the three markers used in these studies.

Figure 4: Regions that direct *cdh-3* Expression

2325	2352	A
2499	2529	B
2683	2707	C
2715	2735	D
2987	3006	E
3101	3138	F
4686	4878	H I J K



**Table 1: Effect of genetic background on marker expression**

For each marker gene listed in the first column, the expression pattern in a variety of different genetic backgrounds (listed in column two) is summarized for cells vulA-F. The expression pattern in the anchor cell was not determined. An “nd” means that the expression pattern was not determined. A “+/-“ indicates that expression was variable or weak. (These data summarize expression studies preformed by M. Wang and T. Inoue, unpublished results.)

**Table 1: Effect of genetic background on marker expression**

marker	Genetic background	vulA	vulB	vulC	vulD	vulE	vulF
<i>egl-17::GFP</i>	wt			+	+	+	+
	<i>lin-29 (sy292 /n333)</i>			-	-	+	+
	<i>lin-26 (ga91)</i>			-	+/-	nd	nd
	<i>cog-1 (sy275)</i>			+	+	++ (at time 2°)	+
	<i>cog-1 (sy607)</i>			+	+	+	+
	<i>lin-11 (n389)</i>			-	-	+	+
	<i>egl-38 (n578)</i>			nd	nd	-	-
	<i>lin-1 (sy254)</i>			+/-	+/-	-	-
	<i>lin-39 (n709)</i>			nd	nd	+/-	+/-
	<i>lin-17</i>			nd	nd	nd	nd
	<i>sqv-3 (n2842)</i>			+	+	+	+
	<i>evl-2 (ar101)</i>			+	+	+	+
	<i>evl-22 (ar104)</i>			+	+	+	+
<i>cdh-3::GFP</i>	wt			+	+	+	+
	<i>lin-29</i>			+	+	+	+
	<i>lin-26 (ga91)</i>			+/-	+/-	+/-	+
	<i>cog-1 (sy275)</i>			+	+	+	+
	<i>cog-1 (sy607)</i>			-	-	+/-	+
	<i>lin-11</i>			-	-	-	+/-
	<i>egl-38 (n578)</i>			nd	nd	nd	nd
	<i>lin-1</i>			nd	nd	nd	nd
	<i>lin-39</i>			nd	nd	nd	nd
	<i>lin-17</i>	+/-	+/-	+/-	+/-	+	+
<i>zmp-1::GFP</i>	wt	+				+	
	<i>lin-29 (sy292)</i>	+				-	
	<i>lin-26 (ga91)</i>	nd				nd	
	<i>cog-1 (sy275)</i>	+				-	
	<i>cog-1 (sy607)</i>	nd				nd	
	<i>lin-11 (n389)</i>	-				-	
	<i>egl-38 (n578)</i>	nd				-	
	<i>lin-1 (sy254)</i>	nd				+	
	<i>lin-39</i>	nd				nd	
	<i>lin-17</i>	nd				nd	
	<i>lin-31 (n301)</i>	+				nd	